



UNITED STATES OF AMERICA
FEDERAL TRADE COMMISSION
WASHINGTON, D.C. 20580

Daniel McGrath
American Oversight
1030 15th St NW
Washington, DC 20005

FEB 26 2019

Re: FOIA-2019-00338
Records re: political appointees
entering the FTC from January 20,
2017 to present

Dear Mr. McGrath:

This is in response to your request dated January 18, 2019, under the Freedom of Information Act seeking access to records regarding "all employees who entered into a position at the agency as 'political appointees' since January 20, 2017. Due to the government shutdown we did not receive your request until January 28, 2019. In accordance with the FOIA and agency policy, we have searched our records on February 20, 2019.

Your request for a waiver of fees as a member of the news media has been granted.

Thus far, we have located 142 pages of responsive records. These documents are responsive to numbers 1, 2, 3, 4(a) and 4(d) of your request. I am granting partial access to the accessible records. Portions of these pages are exempt from release under FOIA Exemption 6, 5 U.S.C. § 552(b)(6), because individuals' right to privacy outweighs the general public's interest in seeing personal identifying information. *See The Lakin Law Firm v. FTC*, 352 F.3d 1122 (7th Cir. 2003).

We require some additional time to locate and process records responsive to sections 4(b) and 4(c) of your request, and anticipate that we will be able to complete this response by approximately March 19, 2019. We also anticipate potentially identifying additional employees and plan to produce revised lists with supporting documentation at that time. Commissioners' ethics agreements (responsive to section 4(b)) must be requested using the attached form (OGE Form 201) and e-mailed to Regina Duarte at rduarte@ftc.gov.

If you are not satisfied with this response to your request, you may appeal by writing to Freedom of Information Act Appeal, Office of the General Counsel, Federal Trade Commission, 600 Pennsylvania Avenue, N.W., Washington, D.C. 20580, or via email at FOIAAppeal@ftc.gov, within 90 days of the date of this letter. Please enclose a copy of your original request and a copy of this response.

You also may seek dispute resolution services from the FTC FOIA Public Liaison Richard Gold via telephone at 202-326-3355 or via e-mail at rgold@ftc.gov; or from the Office of Government Information Services via email at ogis@nara.gov, via fax at 202-741-5769, or via mail at Office of Government Information Services (OGIS), National Archives and Records Administration, 8601 Adelphi Road, College Park, MD 20740.

If you have any questions about the way we handled your request or about the FOIA regulations or procedures, please contact Christine Ford at 202-326-3352.

Sincerely,



Dione J. Stearns
Assistant General Counsel

Employees who entered into FTC as political appointees since Jan. 20, 2017

Name	Eff Date	Title	TYPE OF APPOINTMENT
SIMONS, JOSEPH JAY	5/1/2018	CHAIRMAN	PAS
PARKER, EDITH	5/7/2018	CONFIDENTIAL ASSISTANT	SCHEDULE C
EZRILEV, JAY	5/14/2018	ECONOMIST	SCHEDULE C
WILSON, CHRISTINE SMITH	9/26/2018	COMMISSIONER	PAS
CHOPRA, ROHIT	5/2/2018	COMMISSIONER	PAS
MEYER, ERIE KATHERINE	6/11/2018	TECHNOLOGY ADVISOR	SCHEDULE C
PHILLIPS, NOAH	5/2/2018	COMMISSIONER	PAS
SLAUGHTER, REBECCA JOY KE	5/2/2018	COMMISSIONER	PAS
MACFARLANE, CATHY M	5/7/2018	DIRECTOR, OPA	SCHEDULE C
SAYYED, BILAL	4/30/2018	DIRECTOR	SCHEDULE C
ABBOTT, ALDEN F	4/2/2018	GENERAL COUNSEL	NON CAREER SES
HOFFMAN, DONALD BRUCE	8/7/2017	ACTING DIRECTOR, BUREAU OF COMPETITION	TEMP TRANSITIONAL SCHEDULE C
HOFFMAN, DONALD BRUCE	2/4/2018	DIRECTOR, BUREAU OF COMPETITION	NON CAREER SES
CONNER, IAN	9/18/2017	ACTING DEPUTY DIRECTOR, BCP	TEMP TRANSITIONAL SCHEDULE C
CONNER, IAN	2/4/2018	DEPUTY DIRECTOR, BUREAU OF CONSUMER	NON CAREER SES
LEVINE, GAIL	10/15/2018	DEPUTY DIRECTOR, BUREAU OF COMPETITION	NON CAREER SES
PAHL, THOMAS B	2/21/2017	ACTING DIRECTOR, BUREAU OF COMPETITION	TEMP TRANSITIONAL SCHEDULE C
PAHL, THOMAS B	9/3/2017	DIRECTOR BCP	NON CAREER SES (TRANSFERRED TO ANOTHER AGENCY ON 4/21/18)
SMITH, ANDREW MACGREGOR	5/21/2018	DIRECTOR BCP	NON CAREER SES
LIPSKY JR, ABBOT		ACTING DIRECTOR, BUREAU OF COMPETITION	*see also "Member of transition team..." list

Name	Title while on detail	Originating Agency or Component	Prior title
CHILSON, NEIL	CHIEF TECHNOLOGY OFFICER	BUREAU OF COMPETITION, FTC	ATTORNEY ADVISOR
MEIER, MARKUS	ACTING DIRECTOR, BUREAU OF COMPETITION	BUREAU OF COMPETITION, FTC	ASSISTANT DIRECTOR FOR HEALTHCARE, BUREAU OF COMPETITION
VITA, MICHAEL	ACTING DIRECTOR, BUREAU OF ECONOMICS	BUREAU OF ECONOMICS, FTC	DEPUTY DIRECTOR, BUREAU OF ECONOMICS
OHLHAUSEN, MAUREEN	ACTING CHAIRMAN	OFFICE OF THE COMMISSIONER, FTC	COMMISSIONER
SCHWARTZ, HAIDEE	ACTING DEPUTY DIRECTOR, BUREAU OF COMPETITION	OFFICE OF THE COMMISSIONER, FTC	GENERAL ATTORNEY
KATSAROS, ANDREW	ACTING INSPECTOR GENERAL	DEPARTMENT OF COMMERCE	INSPECTOR GENERAL
DEVLIN, ALAN	ACTING DEPUTY DIRECTOR, BUREAU OF COMPETITION	OFFICE OF THE COMMISSIONER, FTC	GENERAL ATTORNEY
SHONKA, DAVIS	ACTING GENERAL COUNSEL	OFFICE OF THE GENERAL COUNSEL, FTC	PRINCIPAL DEPUTY GENERAL COUNSEL
DOLAN, JAMES REILLY	ACTING DEPUTY DIRECTOR, BUREAU OF CONSUMER PROTECTION	BUREAU OF CONSUMER PROTECTION, FTC	ASSOCIATE DIRECTOR FOR FINANCIAL PRACTICES
KREBS, JOHN	ACTING CHIEF PRIVACY OFFICER	BUREAU OF CONSUMER PROTECTION, FTC	GENERAL ATTORNEY
KOSLOV, TARA	CHIEF OF STAFF		

Members of transition team who joined the agency as career, political or AD position since Jan. 20, 2017

Name	TITLE	Comment
LIPSKY JR, ABBOTT	ACTING DIRECTOR, BUREAU OF COMPETITION	Separated on 7/3/17

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SMITH, ANDREW MACGREGOR					2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/21/2018							
FIRST ACTION					SECOND ACTION											
5-A. Code 146		5-B. Nature of Action SES NON-CAREER APPT			6-A. Code		6-B. Nature of Action									
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER			6-C. Code		6-D. Legal Authority									
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652			6-E. Code		6-F. Legal Authority									
7. FROM: Position Title and Number					15. TO: Position Title and Number DIRECTOR BCP 1141000 ES00030											
8. Pay Plan ES		9. Occ. Code 0905	10. Grade or Level 00	11. Step or Rate 00	12. Total Salary 179700		13. Pay Basis PA		16. Pay Plan ES		17. Occ. Code 0905	18. Grade or Level 00	19. Step or Rate 00	20. Total Salary/Award 179700		21. Pay Basis PA
12A. Basic Pay 179700		12B. Locality Adj. 0		12C. Adj. Basic Pay 179700		12D. Other Pay 0		20A. Basic Pay 179700		20B. Locality Adj. 0		20C. Adj. Basic Pay 179700		20D. Other Pay 0		
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR WASHINGTON,DC											
EMPLOYEE DATA																
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%					24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES <input checked="" type="checkbox"/> NO						
27. FEGLI D0 BASIC + STANDARD OPTION					28. Annuitant Indicator 9 NOT APPLICABLE					29. Pay Rate Determinant 0						
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 05/21/2018		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period						
POSITION DATA																
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888						
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA												
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI								
45. Remarks TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: NEVER COVERED APPOINTMENT AFFIDAVIT EXECUTED 05-21-18. SUBJECT TO SATISFACTORY COMPLETION OF ONE YEAR SES PROBATIONARY PERIOD BEGINNING 20180521. FROZEN SERVICE NONE EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.																
46. Employing Department or Agency FT - FED TRADE COMMISSION					50. Signature/Authentication and Title of Approving Official 180977137 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/24/2018												

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) ABBOTT, ALDEN F					2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 04/02/2018		
FIRST ACTION					SECOND ACTION						
5-A. Code 146		5-B. Nature of Action SES NON-CAREER APPT			6-A. Code		6-B. Nature of Action				
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER			6-C. Code		6-D. Legal Authority				
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652			6-E. Code		6-F. Legal Authority				
7. FROM: Position Title and Number					15. TO: Position Title and Number GENERAL COUNSEL 0721000 ES00024						
8. Pay Plan ES		9. Occ. Code 0905	10. Grade or Level 00	11. Step or Rate 00	12. Total Salary 179700		13. Pay Basis PA				
12A. Basic Pay 179700		12B. Locality Adj. 0		12C. Adj. Basic Pay 179700		12D. Other Pay 0					
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization OFFICE OF GENERAL COUNSEL WASHINGTON,DC						
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%					24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES X NO	
27. FEGLI C0 BASIC ONLY					28. Annuitant Indicator 1 REEMPLOYED CSRS ANNUIT			29. Pay Rate Determinant 0			
30. Retirement Plan 4 NONE			31. Service Comp. Date (Leave) 11/16/1977		32. Work Schedule F FULL-TIME			33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved			35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code			37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001			39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA								
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 17		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK			
45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 04/02/18. AS A REEMPLOYED ANNUITANT, YOU SERVE AT THE WILL OF THE APPOINTING OFFICER. YOU ARE REQUIRED TO SUBMIT TO THE PERSONNEL OFFICE A COPY OF ANY SUBSEQUENT NOTICE FROM OPM OF ANY CHANGE IN YOUR GROSS ANNUITY RATE. ANNUITY AT PRESENT IS (b)(6) PA. ANNUAL SALARY TO BE REDUCED BY THE AMOUNT OF YOUR RETIREMENT ANNUITY AND BY FUTURE COST OF LIVING INCREASES. FEHB COVERAGE TRANSFERRED FROM OPM.											
46. Employing Department or Agency FT - FED TRADE COMMISSION					50. Signature/Authentication and Title of Approving Official 180692950 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL						
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 04/02/2018							

ALDEN F. ABBOTT

Desired Position: General Counsel, Federal Trade Commission

Employment

Rumpel Senior Legal Fellow and Deputy Director of the Meese Center for Legal and Judicial Studies, **Heritage Foundation**, April 2014 – Present

Special Projects: Rapporteur, Chamber of Commerce report on trade and competition policy for the new Administration; member, Special Trade Commission that advises UK Government on UK trade and investment relationships post-Brexit

Director of Patent and Antitrust Strategy, **Blackberry** (2012-2014)

Deputy Director, Office of International Affairs; Associate Director and Assistant Director, Bureau of Competition, **Federal Trade Commission** (2001-2012)

Acting General Counsel (2001); Assistant General Counsel (Finance and Litigation); Chief Counsel for the National Telecommunications and Information Administration; and Counsellor to the General Counsel, **Department of Commerce** (1989-2001)

Senior Counsel, Office of Legal Counsel, Special Counsel, Office of Legal Policy, Special Assistant to the Assistant Attorney General for Antitrust, **Justice Department** (1981-1989)

Associate, **Fried Frank** law firm, Washington, D.C. (1980-1981)

Attorney-Advisor, Policy Planning Office, **Federal Trade Commission** (1977-1980)

Member, U.S. Govt. **Senior Executive Service** (1989-2012), held security clearances

Adjunct Professor, George Mason (Scalia) Law School, 1991-Present (courses in international law, antitrust, international trade, economic regulation, intellectual property)

Education

B.A. (High Honors, Phi Beta Kappa third year), University of Virginia, 1974; J.D., Harvard Law School, 1977 (Comment and Note Editor, *Harvard International Law Journal*); M.A., Economics, Georgetown University, 1984

Languages

Fluent French and Spanish (have lectured in these languages), good Italian

Professional Honors and Affiliations

Who's Who in America

Leadership, American Bar Association Antitrust Section (Member, ABA International Task Force and Former Editor, *Antitrust Law Journal*)

Non-Governmental Adviser, International Competition Network (association of government competition agencies and expert advisers from around the world)

Rapporteur, Experts' Transition Report on Competition and Trade Policy (2016)

Special Commissioner on UK Brexit Policy, Legatum Institute, London, United Kingdom

Visiting Fellow, All Souls College, Oxford University (2005)

Wasserstein Visiting Fellow, Harvard Law School (2003)

Political

Active Republican, contributed financially to Trump presidential campaign and prior presidential campaigns; Madison Club, Federalist Society; member, Republican National Lawyers Association; endorsed for Federal Trade Commission during Trump Transition by Daniel Schneider

Scholarship

Numerous articles in legal and economic journals on antitrust, international trade, regulation, international policy, intellectual property, telecommunications, environmental law, administrative law, and constitutional law (listed separately); frequent lecturer on these topics at universities and professional associations; testified before Congress

Personal

U.S. citizen (born Bethesda, MD, November 10, 1951); Member, Cosmos Club and Federalist Society (Madison Club); married, one son, one granddaughter; Roman Catholic; interest in international travel (have lectured, taught government officials, and presented research in Latin America, Europe, Asia, Australia, and Africa)

References (partial list)

Edwin Meese III, former U.S. Attorney General (1985-1989); Judge Douglas Ginsburg, U.S. Court of Appeals for the D.C. Circuit; Timothy Muris, former Chairman, Federal Trade Commission (2001-2004), and Professor, Scalia Law School; James F. Rill, former Assistant Attorney General, Antitrust Division (1989-1992); Daniel Schneider, Executive Director, American Conservative Union; Dean Reuter, Vice President, Federalist Society

ALDEN FRANCIS ABBOTT

RESEARCH AND PUBLICATIONS (Partial list, other articles in press)

Numerous articles & postings at Heritage.org, Truthonthemarket.com, and *The Daily Signal*

“How Congress Should Protect Consumers’ Finances” (coauthor), in PROSPERITY UNLEASHED: SMARTER FINANCIAL REGULATION (Heritage, Norbert J. Michel, ed., Feb. 28, 2017)

Anticompetitive Market Distortions as an Ungoverned Space, and Prospects for Reform, 36 SAIS REVIEW OF INTERNATIONAL AFFAIRS, No. 2 (Summer-Fall 2016)

A Strong Competition Policy Prescription for a New U.S. Administration, CONCURRENCES, No. 4, 2016 (November 2016)

US Government Antitrust Intervention in Standard-Setting Activities and the Competitive Process, 18 VANDERBILT J. ENTERTAINMENT & TECHNOLOGY L. 225 (2016)

Telecommunications, ENCYCLOPEDIA OF LAW AND ECONOMICS (2016)

Raisins, Teeth, Coffins, and Economic Liberty, NEW YORK UNIVERSITY JOURNAL OF LAW AND LIBERTY (2016)

Recognizing the Limits of Antitrust: The Roberts Court Versus the Enforcement Agencies, 11 JOURNAL OF COMPETITION LAW AND ECONOMICS 791 (2015)

Standard Setting, Patents, and Competition Law Enforcement—The Need for U.S. Policy Reform, COMPETITION POLICY INTERNATIONAL (2015)

Abuse Of Dominance By Patentees: A Pro-Innovation Perspective, ANTITRUST SOURCE (2014)

The Evolving IP-Antitrust Interface in the United States, Journal of Antitrust Enforcement (2014)

Antitrust Treatment of Intellectual Property Transactions: Economic Analysis and Recent Developments, AIPLA 2013 Spring Meeting Proceedings, May, 2013

Avoiding the “Robin Hood Syndrome” in Developing Antitrust Jurisdictions, 1 WILLIAM E. KOVACIC, AN ANTITRUST TRIBUTE (2013) (coauthor)

FTC Monetary Remedies Policy and the Limits of Antitrust, 12 ANTITRUST SOURCE, No. 2 (December 2012)

RIM’s Prescription for Patent Peace in the Smartphone Arena, *FTC Watch*, July 16, 2012, at 10 (No. 811)

Recent US FTC Antitrust-IP Interface Developments, in RESEARCH HANDBOOK ON INTERNATIONAL COMPETITION LAW (Edward Elgar, 2013) (coauthor)

Competition Policy and International Trade Distortions, EUROPEAN YEARBOOK OF INTERNATIONAL ECONOMIC LAW 2013 (coauthor)

Anticompetitive Market Distortions and Competition Policy, *Concurrences*, No. 4, 2011

An International Overview of Recent Developments in the Treatment of Resale Price Maintenance, *The Price Point* (vol. 10, issue 2, 2011) (coauthor)

A Tale of Two Cities: Brussels, Washington, and the Assessment of Unilateral Conduct, 56 ANTITRUST BULLETIN 103 (No. 1, Spring 2011)

The 2010 U.S. Horizontal Merger Guidelines: An Overview, CZECH ANTITRUST NEWSLETTER (Pravo Hospodarske Souteze) (2010) (coauthor)

The New U.S. Horizontal Merger Guidelines and International Competition Policy Convergence, 25 ANTITRUST, No. 1 (Fall 2010) (coauthor)

Standard Setting and Section 5 of the FTC Act, Oxford Global Competition Policy Centre Research (2010)

Antitrust Analysis of Exclusive Dealing and Tying, in THE LAW AND ECONOMICS OF ANTITRUST (Edward Elgar, 2010) (coauthor)

Single Firm Competition Policy Convergence in a Global Environment, GLOBAL COMPETITION POLICY (Sept. 2009)

The Federal Trade Commission, in TRUSTBUSTERS – COMPETITION POLICY AUTHORITIES SPEAK OUT (Evans and Jenny eds. 2009) (coauthor)

Competition Policy and Its Convergence as Key Drivers of Economic Development, 28 Mississippi College Law Review 37 (2009)

The Globalization of Antitrust Casebooks. Review of Elhauge & Geradin, Global Antitrust Law and Economics, 6 ANTITRUST SOURCE, 4 (2007)

Lessons from the Past: The Lessons of Vietnam, IBM, and Tying, 2 COMPETITION POLICY INTERNATIONAL, No. 1, 3-19 (spring 2006) (coauthor)

Exclusion Payments in Patent Settlements: A Legal and Economic Perspective, 1 JOURNAL OF INTELLECTUAL PROPERTY LAW AND PRACTICE, Issue 3, _____ (2006) (coauthor)

The Right Balance of Competition Policy and Intellectual Property Law: A Perspective on Settlements of Pharmaceutical Patent Litigation, 46 IDEA 1 (2005) (coauthor)

The Right Balance of Competition Policy and Intellectual Property Law:

A Federal Trade Commission Perspective, in TRANS-ATLANTIC ANTITRUST RESEARCH HANDBOOK 356-397 (2006) (coauthor)

U.S. Competitiveness and Innovation as Protected by the United States Federal Trade Commission: Intellectual Property Issues, The Mentor Group: Rome Forum Essays (2005)

Competition Policy as a Welfare-Enhancing Complement to Trade Liberalization: A United States Perspective, in THE FUTURE DEVELOPMENT OF COMPETITION FRAMEWORK 77-88 (2004)

Intellectual Property Licensing and Antitrust Policy: A Comparative Perspective, 34 LAW & POLICY IN INTERNATIONAL BUSINESS 801 (2003)

Revisión Judicial de la Aplicación de Medidas Sobre Fusiones en los Estados Unidos, 222 GACETA JURÍDICA 59 (Nov.-Dec. 2002)

Standard-Setting Disclosure Policies: Evaluating Antitrust Concerns in Light of *Rambus*, 16 ANTITRUST, No. 3, 59 (Summer 2002) (coauthor)

Internet Governance: A Public Choice Perspective, 16 JOURNAL OF PRIVATE ENTERPRISE (2000) (coauthor)

The Telecommunications Act of 1996: Success or Failure?, HARVARD JOURNAL OF LAW AND TECHNOLOGY (Conference Paper, April 17, 1999) (Paper posted at <http://TechCenter.gmu.edu>)

The Liberalization of the Telecommunications Sector: A Rent-Seeking Perspective, 8 EUROPEAN JOURNAL OF LAW AND ECONOMICS 63 (No. 2) (1999) (coauthor)
Review of Waterman and Weiss, *Vertical Integration in Cable Television*, MANAGERIAL AND DECISION ECONOMICS (1998)

Protectionism: A Public Choice Perspective, 14 JOURNAL OF PRIVATE ENTERPRISE (1998) (coauthor) (won award as best article published in JOURNAL in 1998)

The Magnuson Fishing Act: a Pigovian Approach to Externality Enhancement, 12 JOURNAL OF PRIVATE ENTERPRISE (1996) (coauthor) (best article award, 1996)

Dezoning Spectrum: Opportunities for Rent Seeking, 12 JOURNAL OF PRIVATE ENTERPRISE (1996) (coauthor)

The Regulation of International Telecommunications (draft monograph) (1995)

Charity Begins at Home: Health Charities as Rent-Seeking Bureaucracies. Review of Bennett & DiLorenzo, *Unhealthy Charities: Hazardous to your Health and Wealth*, 70 PUBLIC CHOICE ____ (1995)

Innovation-Induced Rent-Seeking: Applications to Environmental Quality, Antitrust, and Telecommunications Policy, draft monograph (1994) (coauthor)

Innovation-Induced Rent-Seeking: Applications to Environmental Regulation, 9 FREE ENTERPRISE, No. 2 (1993) (coauthor)

Antitrust, Competition, and National Economic Policy in the Emerging World Economy: A Commerce Department Perspective, Fordham Corporate Law Institute EC & U.S. Competition Law & Policy (1992) (coauthor)

Current International Antitrust Developments, in 1 THE COMMERCE DEPARTMENT SPEAKS 1992: LEGAL ASPECTS OF INTERNATIONAL TRADE (1992)

Who Should Regulate Business? Assessing the Federal-State Balance of Power, Critical Legal Issues Working Paper, Washington Legal Foundation (1992) (coauthor)

The Political Economy of Statutory Deadlines, 10 CATO JOURNAL 703 (Winter 1991) (coauthor)

Welfare Gains from Innovation-Induced Rent-Seeking, 11 CATO JOURNAL No. 2 (Fall 1991) (coauthor)

Developing a Framework for Intellectual Property Protection to Advance Innovation, in PROTECTION OF INTELLECTUAL PROPERTY RIGHTS IN SCIENCE, TECHNOLOGY, AND ECONOMIC INTERNATIONAL COMPARISONS, 311-339 (Westview Press, 1990)

Innovation-Induced Rent-Seeking: The Case of Air Quality Management, 146 JOURNAL OF INSTITUTIONAL AND THEORETICAL ECONOMICS 328 (1990) (coauthor)

Tollison Rents and Tullock Costs: The Case of Environmental Regulation, 65 PUBLIC CHOICE 157 (1990) (coauthor)

Reforming the Antitrust Treatment of Joint Production Ventures, in 1 THE COMMERCE DEPARTMENT SPEAKS 1990: THE LEGAL ASPECTS OF INTERNATIONAL TRADE 237-245 (1990)

Political Limits of the Market for "BAT Medallions", 13 REGULATION, No. 1, at 61 (Winter 1990) (coauthor)

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Double Jeopardy and Government Appeals of Acquittals, 22 U. MICHIGAN JOURNAL OF LAW REFORM 631 (1989)

Tax Credits Fix Would Spur Innovation, LEGAL TIMES, September 26, 1988

Economic Liberties Protected by the U.S. Constitution, U.S. Justice Department, Office of Legal Policy, 1988

Joint R&D Ventures and Competition: Time for Further Legislative Reform, LEGAL TIMES, December 7, 1987

Case Studies on the Costs of Federal Statutory and Judicial Deadlines, 39 ADMINISTRATIVE LAW REVIEW 467 (1987)

The Case Against Federal Statutory and Judicial Deadlines: A Cost-Benefit Appraisal, 39 ADMINISTRATIVE LAW REVIEW 171 (1987)

Finalist, O'Melveny & Myers Centennial Research Program (1987) (award for proposed research on international joint ventures)

Foreign Competition and Relevant Market Definition Under the Department of Justice's Merger Guidelines, 30 ANTITRUST BULLETIN 299 (1985)

Research assistance to Ginsburg, The Regulation of Interstate Banking, 18 REVIEW OF SECURITIES AND COMMODITIES REGULATION (1985)

Research Assistance to Ginsburg, The Future of Interstate Banking, 9 JOURNAL OF CORPORATE LAW 655 (1984)

Federal Liability Law Would Lessen Uncertainty, LEGAL TIMES, July 9, 1984 (coauthor)

I.R.S., Offer an Amnesty, NEW YORK TIMES, May 5, 1983 (coauthor)

Promises Deferred, Hopes Unfulfilled: A Commentary on the Export Trading Company Act and Proposals for Reform, 17 GEORGE WASHINGTON JOURNAL OF INTERNATIONAL LAW AND ECONOMICS 525 (1983)
A Taxing Approach to Protection, 7 REGULATION, No. 1, at 6 (January-February 1983) (unsigned editorial)

Missing the Boat on Export Trading Companies, 6 REGULATION, No. 6, at 39 (November-December 1982)

How to Sell the Flat Tax Politically, CHRISTIAN SCIENCE MONITOR, September 27, 1982 (coauthor)

More Strategic Planning Models Applied to Antitrust, LEGAL TIMES, June 21, 1982

DOJ, AT&T, House Tangle Over Telecommunications, LEGAL TIMES, June 21, 1982 (coauthor)

Reforming the Glass Steagall Act: Economic Costs-Benefits, 6 DISTRICT LAWYER, No. 4, at 12 (1982)

Paradox Regained: Towards a "New Economic Approach" to Vertical Restraints Policy, 48 GEORGE WASHINGTON LAW REVIEW 565 (1980)

Section 337 of the Tariff Act of 1930 and International Antitrust Enforcement: A Legal and Economic Analysis (1977)

Latin America and International Arbitration Conventions: The Quandary of Non-Ratification, 17 HARVARD INTERNATIONAL LAW JOURNAL 131 (1976)

The Decredentialization of South Africa, 16 HARVARD INTERNATIONAL LAW JOURNAL 576 (1975) (coauthor)

TOPICS IN SPANISH POLITICAL ECONOMY (1974) (thesis)

SPEECHES, LECTURES, PANEL PRESENTATIONS, AND TESTIMONY

Antitrust Concerns and the FDA Approval Process, Testimony before the Subcommittee on Regulatory Reform, Commercial and Antitrust Law, Committee on the Judiciary, U.S. House of Representatives, July 27, 2017

The Report and Recommendations of the International Competition Policy Expert Group (ICPEG), Testimony before the Subcommittee on Regulatory Reform, Commercial and Antitrust Law, Committee on the Judiciary, U.S. House of Representatives, June 29, 2017

Moderator and Speaker at Various Heritage Foundation Conferences and Panels, 2014-17

Moderator, Panel on Stakeholder Involvement in the Advocacy Process, International Competition Network Workshop on Designing Effective Advocacy Strategies, Mexico City, Mexico, November 3, 2016

The CREATES Act: Ending Regulatory Abuse, Protecting Consumers, and Ensuring Drug Price Competition of 2016, Testimony before the Subcommittee on Antitrust, Competition Policy, and Consumer Rights, Committee on the Judiciary, U.S. Senate, June 21, 2016

Numerous lectures at law schools on intellectual property, the Constitution, and antitrust policy, under the aegis of The Federalist Society, 2014-2016

Moderator and Speaker at various academic, policy, and legal (e.g., ABA Spring Meeting) conferences, 2014-2016

Participant, Panel on Abuse of Dominance and the Antitrust-Intellectual Property Interface, ABA Antitrust in Asia: China Conference, Beijing, May 22, 2014

Moderator, *Should Antitrust Tame Patent Trolls*, ABA Antitrust Section Annual Spring Meeting, Washington, D.C., March 26-28, 2014

Participant, International Competition Network Competition Advocacy Workshop, Rome, Italy, December 12-13, 2013

Participant, IP Law and Management Institute, San Diego, Nov. 3-5, 2013

Platform Structure and Antitrust Analysis, Panelist, George Mason Law and Economics Center Conference on Competition Among Online Platforms, Arlington, Virginia, October 10, 2013

Antitrust Analysis of IP Licensing Practices, in the U.S. and Abroad, American Intellectual Property Law Association Spring Meeting, Seattle, Washington, May 2, 2013

FRAND Principles and Competition, Northwestern Journal of Technology and Intellectual Property 10th Anniversary Symposium, Northwestern Law School, Searle, Center, March 8, 2013

Moderator, Panel on Mergers, 15th Annual George Mason Law School Antitrust Symposium, Washington, D.C., January 26, 2012

Dynamic Concerns in Merger Competitive Effects Analysis, George Mason Law School Law & Economics Center, December 14, 2011

IP Litigation and Remedies, a U.S. FTC Perspective; Non-Practicing Entities and Trolls, a U.S. FTC Perspective; and FRAND, a U.S. FTC View, IBC Standards and Patents Conference, London, Nov. 16-17, 2011

Lecturer, Training Program on Analyzing Single Firm Conduct, Competition Commission of India, September 19-23, 2011

Organizing an Economics Office within a Competition Agency, Bogota, Colombia, Superintendency of Industry and Commerce, June 7, 2011

Standard Setting Matters, with a Focus on Hold Ups, Innovation, Competition, & Regulation Law Center, Seoul, Korea, April 8, 2011

Moderator, Panel on U.S. Merger Guidelines, 14th Annual George Mason Law School Antitrust Symposium, Washington, D.C., February 9, 2011

Lecturer, Program on Judicial Application of Competition Law for Central American Judges, Panama, Republic of Panama, December 2-3, 2010

The Competition/Intellectual Property Interface, Seoul, Korea, Korea Institute on Patent Information, September 30, 2010; KFTC, October 1, 2010; and Beijing, China, Chinese Competition Agencies, October 2010

Competition Agencies and the Promotion of Entrepreneurship, World Bank Seminar on Entrepreneurship, Astana, Kazakhstan, May 15, 2010

Competition Policy and Economic Development, Vanderbilt Graduate Program in Economic Development, Washington, D.C., March 10, 2010

Panelist, Knowledge Congress Webcast on Antitrust & Technology for Attorneys, Washington, D.C., February 4, 2010

Moderator, Panel on Evaluating Bundling and Share-Based Rebates in High-Tech Industries, 13th Annual George Mason Law School Antitrust Symposium, Washington, D.C., February 4, 2010.

The IP-Antitrust Balance: A U.S. Government Perspective, International Conference on Intellectual Property Rights: Convergences and Divergences, EU/USA/ASIA, LUISS Guido Carli University, Rome, Italy, December 3, 2009

Competition Law Reform: A U.S. FTC Perspective, International Conference on Competition Law (“Competition Law: An Effective Instrument for Making Markets Work for Inclusive Growth”), Delhi, India, November 6, 2009

The Traffic Light at the Antitrust/IP Intersection, 2009 Annual Meeting of the Licensing Executives Society, San Francisco, October 19, 2009 (remarks delivered by proxy)

Speaker, Federal Trade Commission Developments, The Knowledge Congress, *Antitrust & Technology for Attorneys: Dealing with a Global Anti-Competitive Environment*, Washington, D.C., October 15, 2009

Speaker, Panel on Competition and Trade Policy, World Trade Organization Forum, Geneva, September 29, 2009

Speaker, Panel on Dealing with the Antitrust Enforcement Agencies, PLI Antitrust Law Institute 2009, New York, May 12, 2009

Standard Setting under Section 5 of the FTC Act, Oxford Centre for Competition Law and Policy, *Conference on Intellectual Property and Competition Law: Contemporary Issues*, Oxford, England, March 9, 2009

Panelist, A Roundtable Preview of Antitrust Enforcement in the Obama Administration, New York State Bar Association Annual Meeting, New York, January 29, 2009

Moderator, Panel on Merger Enforcement, 12th Annual George Mason Law Review Antitrust Symposium, Washington, D.C., December 4, 2008

Moderator, Panel on Competition Policy Issues in the Media and Telecom Sector; Discussant, Panel on the Interaction between Regulation and Competition Law in Regulated Industries, *Which Competition Policy for Regulated Industries: Governance and Sector-Specific Perspectives*, University College, London, and Istanbul Bilgi University, Istanbul, Turkey, September 5-6, 2008

Competition Policy and Its Convergence as Key Drivers of Economic Development, Islamabad and Karachi, Pakistan, July 28 and 30, 2008

Abuse of Dominance and Remedies, Fordham Law School Course for Foreign Competition Officials, New York, New York, July 10-11, 2008

Exclusionary Pricing in Art. 82/Chapter II Cases; Financial Services – Dealing with Sector Inquiries, Mergers, Antitrust; Agencies Roundtable, Panelist, 8th Annual Trans-Atlantic Antitrust Dialogue, London, UK, May 15-16, 2008 (three different panels)

Regulation, Deregulation, and the Application of Competition Law in Telecommunications, Wissenschaftliches Institut für Infrastruktur und Kommunikationsdienste, Bonn, Germany, April 24, 2008

Moderator, Panel on New Trends in Licensing Terms and Reactions of Competition Authorities, IBC Conference on Standards and Patents: Examining the Legal Landscape for High-Tech Companies over the Next 10 Years, London, UK, November 29, 2007

Moderator, Panel on the Role of Courts in Shaping Antitrust Policy, 11th Annual George Mason Law Review Antitrust Symposium, Washington, D.C., October 31, 2007

Health Care and Competition Policy: An FTC Perspective, Mentor Group Budapest Forum, Budapest, Hungary, July 11, 2007

The FTC-DOJ IP-Antitrust Report: An Overview, lectures at ABA Antitrust Section Brownbag, Washington, D.C., May 21, 2007; and at United Kingdom Competition Commission and Office of Fair Trading, London, England, June 4 and June 5, 2007

Joint Report by the US Department of Justice and the US Federal Trade Commission on Antitrust/Intellectual Property Law and Policy Enforcement, Mentor Group Forum for EU-US Legal-Economic Affairs, Brussels, Belgium, April 17, 2007

An FTC Perspective on Standard-Setting and IP, eSapience Seminar on Patent Disclosure in Standard-Setting Organizations, Washington, D.C., March 29, 2007

A US Perspective on Standard-Setting Policy, High Level Workshop on Standardization, IP Licensing, and Antitrust, Brussels, January 18, 2007

The Implementation of Competition Policy: The Role of Advocacy in the Portfolio of Policy Instruments, Second Asian Competition Law and Policy Conference, Hong Kong, China, December 11, 2006

Refusals to Deal: A U.S. FTC Perspective, OECD-Korea Regional Centre for Competition, Regional Antitrust Workshop on Abuse of Dominance, Seoul, Korea, October 13, 2006

Exclusive Dealing: United States v. Dentsply, Inc., OECD-Korea Regional Centre for Competition, Regional Antitrust Workshop on Abuse of Dominance, Seoul, Korea, October 12, 2006

An Antitrust Overview of Standards Setting, ASAE & the Center for Association Leadership, Annual Association Law Symposium, Washington, D.C., September 29, 2006

Moderator, Panel on Refusals to Deal, FTC-DOJ Hearings on Single Firm Exclusionary Conduct under Sherman Act § 2, July 19-20, 2006

Competition Policy Toward Unilateral Conduct and Regional Economies, Taiwan 2006 International Conference on Competition Laws/Policies, Taipei, Taiwan, June 20, 2006

Competition Policy in Bilateral Trade Arrangements in the Context of Developing Country Economic Reform, Second ASEAN Conference on Competition Policy and Law, Bali, Indonesia, June 15, 2006

Antitrust and Intellectual Property in the United States, Conference on Intellectual Property Rights and Fair Competition (Beijing Foreign Studies University and DePaul University), Beijing, China, May 31, 2006

Competitiveness and Innovation in the Private Sector Protected by the United States Federal Trade Commission, Mentor Group Forum for EU-US Legal-Economic Affairs, Brussels, Belgium, May 2006

Panelist, Breakout Session on Substantive Merger Analysis, International Competition Network Annual Meeting, Cape Town, South Africa, May 3, 2006

Intellectual Property & Competition: Some Comments on the US Joint FTC/Justice Dept Report, Intellectual Property Forum 2006

(Intellectual Property and Competition Law: Contemporary Issues), Said Business School, Oxford University, England, March 24, 2006

Refusal to Supply and IP Rights, Panel Member, Office of Fair Trading/British Institute of International and Comparative Law Workshop on Article 82, London, England, March 14, 2006

Prepared Statement on Statutory Immunities and Exemptions, Testimony before the Antitrust Modernization Commission, Washington, D.C., December 1, 2005

The Harmonization of Intellectual Property Rights and Competition Policy: A Unified Approach to Economic Progress, APEC High Level Symposium on IPR, Xiamen, China, September 8, 2005

IP and Competition: Bundling Cases, United Kingdom Competition Commission, London, England, June 30, 2005

Patent Pools and Cross Licensing, Panel Member, British Institute of International and Comparative Law Competition Law Forum Meeting, London, England, June 15, 2005

Participant, 10th Annual EU and Competition Policy Workshop: The Interaction between Competition Law and Intellectual Property Law, June 3-4, 2005, European University Institute, Florence, Italy

IP and Competition: Patent Settlements, United Kingdom Office of Fair Trading, London, England, June 2, 2005; and Oxera Economic Consulting, Oxford, England, June 1, 2005

U.S. Competitiveness and Innovation as Protected by the Federal Trade Commission: Intellectual Property Issues, Mentor Group Forum for EU-US Legal-Economic Affairs, Brussels, Belgium, May 24, 2005

Competition Policy and Intellectual Property – What is the Right Balance, Panelist, 5th Annual Trans-Atlantic Dialogue, British Institute of International and Comparative Law, May 9-10, 2005, London, UK

Lectures on American Antitrust Law, Oxford University Graduate Law Course on Competition Law, April-May 2005, Oxford, England

When Firms Ask the State to Squelch Competition: The Role of Advocacy, 15th Annual Conference of the Spanish Competition Tribunal, February 22, 2005, Madrid, Spain

Federal Enforcement: Federal Trade Commission, PLI 44th Annual Antitrust Seminar: Distribution and Marketing, New York, February 1, 2005.

The Future of P2P Technology: Effects on Efficiency and Competition, Panel Moderator, Federal Trade Commission Workshop on Peer-to-Peer File Sharing Technology: Consumer Protection and Competition Issues, Washington, D.C., December 15, 2004

Overview of the Advisory Opinion Process at the Federal Trade Commission, 2004 Golden State Antitrust and Competition Law Institute, Los Angeles, California, October 21, 2004

Price Discrimination, Professions, and Joint Ventures: From Protecting Competitors to Protecting Competition, Panel Moderator, Federal Trade Commission 90th Anniversary Symposium, Washington, D.C., September 22, 2004

Competition Policy and International Competitiveness from a U.S. Federal Trade Commission Perspective: The Case of Merger Enforcement, Symposium on the Globalization of Competition and the New Structure of Competition Policy, Tokyo American Center, Tokyo, Japan, September 10, 2004 (also delivered in Nagoya, Japan, Sept. 13)

State Regulatory Impediments to Internet Distribution, Competition Policy Working Dinner Presentation, Progress and Freedom Foundation Aspen Summit: The Future of the Internet, August 23, 2004, Aspen, Colorado

FTC's Approach to IP and Antitrust in Pharmaceuticals, Antitrust and Trade Regulation Committee of the Bar of the City of New York, March 3, 2004

Commentator, UCLA Law First Annual Institute on US and EU Antitrust Aspects of Mergers and Acquisitions, Los Angeles, California, February 28, 2004

Co-Moderator, Panel on Efficiencies, Dynamic Effects, and Integration, Joint FTC-Department of Justice Workshop on Merger Analysis, Washington, D.C., February 19, 2004

Coordination of Cross-Border Pricing – Advice for Counsel, ABA Antitrust Section Teleconference, Washington, D.C., December 3, 2003

Antitrust Scrutiny of Multi-Product Discounting, ABA Antitrust Section Fall Forum, Washington, D.C., November 18, 2003

Competition Policy as a Welfare-Enhancing Complement to Trade Policy: A United States Perspective, Taiwan Fair Trade Commission International Conference on Competition Policies/Laws, Taipei, Taiwan, October 28, 2003

A Comparative Perspective on IP Licensing, Panel Presentation, Law and Policy in International Business Symposium on the International Harmonization of Antitrust and Intellectual Property, Georgetown University Law School, February 14, 2003

Judicial Review of Merger Enforcement in the United States, Spanish Competition Tribunal Annual Conference, Madrid, Spain, October 7, 2002 (delivered in Spanish)

Antitrust Enforcement at the Federal Trade Commission, Harvard Law School, October 1, 2002

Federal Antitrust Analysis of Patent Pooling, BIO Annual Convention, Toronto, Canada, June 20, 2002

Current Federal Antitrust Scrutiny of Patents: Recent Hearings and Enforcement Initiatives, Joint Meeting, Virginia State Bar Antitrust and Intellectual Property Sections, Virginia Beach, Virginia, June 14, 2002

The Federal Trade Commission - Justice Department Hearings on Competition Law and Intellectual Property, Panel Presentation, American Bar Association Antitrust Section Spring Meeting, April 25, 2002

Antitrust Revisited: The New Frontier, Annual Conference of the Association of Private Enterprise Education, Cancun, Mexico, April 7-9, 2002 (by proxy)

Current Federal Antitrust Scrutiny of Patents, American Intellectual Property Association Mid-Winter Institute, Phoenix, Arizona, Jan. 25, 2002

Antitrust Risks of Sales Over the Internet, B2Bs and Distribution, American Bar Association Antitrust Section Fall Forum: New Technologies/New Administrations, Washington, D.C., November 15, 2001

The Federal Trade Commission's Antitrust Enforcement Agenda, Association of the Bar of the City of New York, Committee on Antitrust and Trade Regulation, New York, New York, November 7, 2001

Federal Trade Commission Patent Pharmaceutical Investigations, American Bar Association Antitrust Section Intellectual Property Brown Bag Lunch Presentation, Washington, D.C., November 1, 2001

Ever Vigilant: A Report from the Bureau of Competition, Luncheon Speaker, Corporate Financial Executive Roundtable, "Navigating the New Economic Reality," Washington, D.C., September 10, 2001

Competition and Choice: Regulating the Telecommunications Industry, Panel Moderator, 1999 Harvard Journal of Law & Technology Symposium, “Changing Times, Changing Technology: The Future of the Telecommunications Act of 1996,” Cambridge, Massachusetts, April 17, 1999

Law, Technology, and Public Policy, Television Show Panelist, Capital Region Roundtable, “Law, Technology, and Public Policy,” Fairfax, Virginia, April 16, 1999 (George Mason University Television)

Internet Governance: A Political Economy Perspective, Public Choice Society, New Orleans, Louisiana, March 13, 1999 (by proxy)

The Telecommunications Act of 1996: Rent-Seeking and the Implications for Federalism, Southern Economics Association, Atlanta, Georgia, November 1997 (by proxy)

International Fisheries Regulation: A Law and Economics Perspective, Association for Private Enterprise Education, Washington, D.C., April 1997 (by proxy)

Markets for Telecommunications Reform: The Rent Seeking Story, Public Choice Society, Houston, Texas, March 1996, and Association for Private Enterprise Education, Las Vegas, Nevada, March 1996 (by proxy), and Southern Economics Association, Washington, D.C., November 1996

Panel Chairman, *Conference on the Economic Analysis of International Law*, Center for Law and Economics, George Mason University Law School, Washington, D.C., May 1995

Competition Policy Issues Presented by the National Information Infrastructure Initiative, American Bar Association, Antitrust Section (Computer Technology, Communications Industry, and Intellectual Property Committee), Annual Spring Meeting, Washington, D.C., April 1994 (remarks delivered by proxy)

Participant, Panel on Privatization in Eastern Europe, 20th Annual International Law Week Conference, Georgetown University and George Washington University Law Schools, November 1993

Innovation-Induced Rent-Seeking: The Implications for Environmental Quality, Public Choice Society, New Orleans, Louisiana, March 1993, and Southern Economics Association, New Orleans, Louisiana, November 1993 (by proxy)

Current International Antitrust Developments, Practicing Law Institute, Washington, D.C., September 1993

Protecting Intellectual Property Rights and Technical Data in Technology Commercialization Partnerships, Workshop Moderator, National Technology Initiative Conference, Golden, Colorado, June 1992

Innovation-Induced Rent-Seeking: The Case of Air Quality Management, George Mason University Law School, December 1991

Antitrust Implications of Joint Networks, User's Open System Conference, Corporation for Open Systems, Reston, Virginia, November 1991

Antitrust, Competitiveness, and National Economic Policy in the Emerging World Economy, Fordham Corporate Law Institute, New York, New York, October 1991, and Public Choice Society, March 1992 (by proxy)

U.S. Laws Governing International Intellectual Property Protection, Antitoycott Regulations, & the Foreign Corrupt Practices Act, National Export Initiative Seminars on Export Promotion, San Jose, California, May 1991, and San Diego, California, May 1991

Welfare Gains from Innovation-Induced Rent-Seeking, Southern Economics Association, New Orleans, Louisiana, November 1990 (by proxy), and Southern Economics Association, Nashville, Tennessee, November 1991 (by proxy)

United States Policies and Laws Affecting Foreign Direct Investment, United States-Mexico Legal Seminar, Mexico City, Mexico, June 1990

Tort Reform: A Bush Administration Perspective, Institute of Law and Economics, University of Pennsylvania Law School, April 1990

The Complexities of U.S. Telecommunications and Information Services Regulation, Conference on Videotex Markets in North America, Paris, France, March 1990 (delivered in French)

Product Liability Reform: A Bush Administration Perspective, Brooklyn Bar Association, New York, New York, March 1990

Intellectual Property Protection in the World Economy, Association of the Bar of the City of New York, Committee on Trademarks and Unfair Competition, New York, New York, March 1990

Product Liability Law Reform, Georgetown University Graduate School of Business, Washington, D.C., September 1989

The Case for Joint Production Venture Antitrust Legislation, American Bar Association, Antitrust Section, Annual Meeting, Honolulu, Hawaii, August 1989, and Practising Law Institute Seminar on the Legal Aspects of International Trade, Washington, D.C., January 1990

A Look at the Department of Commerce, Brookings Institution Conference for Corporate Executives on Understanding Federal Government Operations, Washington, D.C., June, 1989

Developing a Framework for Intellectual Property Protection to Advance Innovation, National Science Foundation Symposium on Protection of Intellectual Property Rights in Science, Technology, and Economic Performance: International Comparisons, Washington, D.C., May 1989

Congressional Rent-Seeking: The Implications for Government Size, Western Economic Association, Lake Tahoe, California, June 1989 (by proxy), and Southern Economics Association, Nov. 1989

Congressional Rent-Seeking: The Case of Statutory Deadlines, Public Choice Society, Orlando, Florida, March 1989 (by proxy)

Innovation-Induced Rent-Seeking: The Case of Air Quality Management, First Annual Symposium of the Institute on International Economic Competitiveness, Radford, Virginia, May 1988; Public Choice Society, San Francisco, California, March 1988; and Southern Economics Association, San Antonio, Texas, November 1988

The Justice Department's Vertical Restraints Guidelines, Oregon Bar Association, Portland, Oregon, April 1985; New York Law Journal Seminar on Distribution, New York, New York, April 1985; Ohio Bar Association, Columbus, Ohio, May 1985; and Practicing Law Institute Seminar on Distribution Problems and Solutions, San Francisco, June 1985

The Justice Department's Perspective on Vertical Restraints, Practicing Law Institute Seminar on Distribution Problems and Solutions, New York, New York, December 1984, and San Francisco, California, January 1985

The Effects of U.S. Antitrust Policies on the International Competitiveness of U.S. Firms, Commentator, Southern Economics Association, Atlanta, Georgia, November 1984 (by proxy)

Antitrust Law and the Regulatory Process, Regulatory Policy Seminar, Executive Seminar Center, Oak Ridge, Tennessee, October 1984

The Justice Department's Merger Guidelines, American University Law School, June 1984 and June 1985

FTC Policy Planning and Antitrust, Yale Law School, April 1978

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PROFESSIONAL PROFILE

Experienced executive with proven success in organizational development, strategic planning, audit leadership, public speaking, communications, budget, administration, and Congressional engagement

KEY AREAS OF EXPERTISE

- Organizational Leadership
- Internal Control
- Office Communications
- Project Management
- Budgeting and Forecasting
- Public Speaking
- Data Analysis
- Financial Management
- Strategic Planning
- Developing Talent
- Congressional Relations
- Audit Leadership

PROFESSIONAL EXPERIENCE

Department of Commerce, Office of Inspector General (OIG) (Dec 2010 – Present)

Assistant Inspector General, Office of Audit and Evaluation (SES, various positions; Nov 2011 – present)

Assistant Inspector General for Audit (GS-15; Dec 2010 – Nov 2011)

Lead a geographically dispersed team of professionals, with responsibility over audit and evaluation products, top management challenges, semiannual reports, and more covering a \$13 billion cabinet-level department

- Promoted into three different positions in less than six years
- Provided testimony before a Senate subcommittee on Commerce's \$7 billion national public safety broadband network in June 2016
- Organizational leadership demonstrated over more than five years in leading the development and delivery of office Top Management Challenge reports and Semiannual reports to Congress
- Demonstrated leadership in the analysis of real property data for the purpose of identifying the timing of lease holdovers
- Strong organizational and project management skills highlighted by leading the OIG's annual strategic risk assessment process for 6 years
- Engaged Congressional committee, subcommittee, and member staff on a variety of departmental priorities
- Presided over the delivery of over one hundred audit and review products and hundreds of recommendations
- Through weekly messages to the organization for over four years, communicated key office activities, progress, and upcoming events to promote a successful attitude, confidence in leadership, and teamwork
- In assuming the role of acting Deputy Inspector General in July 2015, provided inspirational leadership and communicated a vision for the organization while creating a positive environment
- Leading and collaborating with other federal executives, in 2015 and 2016 led the development of the Commerce OIG's Audit Strategic Plan and related deliverables
- Financial analysis leadership demonstrated in the annual assessment of financial transactions covering total assets, high-risk contracts, sole-source awards, and small purchases to inform annual audit plans
- Representing the organization in negotiations with senior-level and presidentially-appointed officials, effectively influenced executives at all levels to help identify and drive organizational initiatives
- Leading the development of an OIG best practices product shared with the Council of Inspectors General for Integrity and Efficiency, and a conduct guide for new employees, demonstrated a clear

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- commitment to high standards of integrity and professional and personal conduct
- Managed organizational change by leading the development of five updated performance metrics aligned with each of the office's strategic goals and objectives, providing thought leadership in determining resources needed to ensure business needs were met
- As the author and signer of numerous public products, and a presenter at many widely attended events, possess excellent written and verbal communication skills including the ability to effectively articulate risks, mitigation proposals, and common themes to stakeholders
- Over 25 years of experience developing audit recommendations to correct control deficiencies and conducting follow-up to ensure management implementation
- Since 2011, responsible for major portions of the office's formulation of a \$35 million+ budget, also leading most monthly execution monitoring activities for the audit division
- Engaged department leadership in routine coordination meetings discussing audit follow-up, new projects, management challenges, and OIG access to financial systems, developing strong working relationships with intra-department executives to accomplish departmental goals and objectives
- Established close relationships between auditors and investigators, providing assistance on casework, and promoting and championing a vision to drive unity, alignment, and support
- Employee development leadership demonstrated in 2017 in establishing an Employee Development database populated with training courses for all major office job series, at every grade level

Department of Justice, Executive Office for United States Attorneys (Jan 2008 – Dec 2010)

Assistant Director for Audit and Review, GS-511-15

Reported to the Chief Financial Officer, leading the entire U.S. Attorneys' financial audit, evaluation and review program; responding to the needs of customers; ensuring the integrity of programs, personnel, and administrative actions; continuously assessing office processes and programs, and identifying opportunities and techniques to increase efficiency of operations and improve customer service

- Developed the U.S. Attorneys' first standing internal audit and review program
- Delivered over one hundred reports on financial reviews in less than three years, including results on remote operational efforts

U.S. Agency for International Development (USAID), OIG (Aug 1999 - Jan 2008)

Director of Financial Audits (Dec 2003 – Jan 2008), GS-511-15

Assistant Director, Financial Audits (Apr 2000 – Dec 2003), GS-511-14

Audit Team Leader (Aug 1999 – Apr 2000), GS-511-13

Reported to the Deputy Assistant Inspector General for Audit in leading and directing financial statement audit operations for the largest audit division, covering \$13 billion in annual federal appropriations

- Promoted to manager within nine months of my arrival, and twice in a four year period
- Led the restatement of USAID's FY 2005 financial statements

Department of Defense, Office of Inspector General (May 1990 – Jul 1999)

Job Title: Auditor/Team Leader/Project Manager, GS-511-13

Conducted audits of Defense military and civilian programs and later served as the National Single Audit Coordinator responsible for the oversight of all grant audits conducted on Department of Defense awards

- Promoted four times in first four years in the organization, successfully competing for a GS-13 team leader position at earliest eligibility

SIGNIFICANT PROFESSIONAL PUBLICATIONS

- *Senate Testimony before a hearing of the Committee on Commerce, Science, and Transportation Subcommittee on Communications, Technology, Innovation and the Internet*, June 2016
<https://www.oig.doc.gov/OIGPublications/OIG-16-034-T.pdf>
- *Frequently Asked Questions on Audits*, July 2015
<https://www.oig.doc.gov/Pages/FAQs-About-OIG-Audits.aspx>
- *Organizational Risk Assessments (PowerPoint)*, January 2014
<https://www.ignet.gov/sites/default/files/files/DOC%20Organizational%20Risk%20Assessment%20011614.pdf>
- *Grant Monitoring*, March 2017
https://www.oig.doc.gov/Pages/Expanding_Monitoring_Controls_Will_Strengthen_the_Management_of_the_State_Local_Implementation_Grant_Program.aspx
- *Grant Administration and Financial Controls*, October 2017
<https://www.oig.doc.gov/Pages/Strengthening-Grant-Administration.aspx>
- *Review of Broadband Infrastructure*, September 2016
https://www.oig.doc.gov/Pages/Review_of_the_Sustainability_of_Broadband_Technology_Opportunity_Program_Comprehensive_Community_Infrastructure_Awards.aspx
- *FirstNet Interagency Agreements*, June 2016
<https://www.oig.doc.gov/Pages/FirstNet-Can-Strengthen-Its-Controls-by-Documenting-Procedures-to-Close-and-Track-Interagency-Agreements.aspx>
- *NOAA Fisheries' Use of Contract*, June 2016
<https://www.oig.doc.gov/Pages/NOAA-Fisheries%E2%80%99-Alaska-Regional-Office-Use-of-Contract-Raises-Issues-Regarding-Personal-Services.aspx>
- *FirstNet Workforce*, August 2015
<https://www.oig.doc.gov/Pages/Audit-of-FirstNet%27s-Workforce-and-Recruiting-Challenges,-Participation-at-Discretionary-Events,-and-Internal-Control-.aspx>
- *National Institute of Standards and Technology Measurement Services*, August 2015
<https://www.oig.doc.gov/Pages/Audit-of-NIST-Quality-System-for-Measurement-Services.aspx>
- *Premium Travel*, August 2015
<https://www.oig.doc.gov/Pages/DOC-Must-Strengthen-Controls-over-Premium-class-Travel.aspx>
- *Revolving Loan Funds*, June 2015
<https://www.oig.doc.gov/Pages/EDA-Faces-Challenges-in-Effectively-Monitoring-Its-Revolving-Loan-Funds.aspx>
- *FirstNet Financial Disclosures and Contracts*, December 2014
<https://www.oig.doc.gov/Pages/FirstNet-Must-Strengthen-Management-of-Financial-Disclosures-and-Monitoring-of-Contracts.aspx>
- *Patent Contracts*, December 2014
<https://www.oig.doc.gov/Pages/USPTO-Awarding-and-Administering-of-Time-and-Materials-and-Labor-Hour-Contracts-Needs-Improvement.aspx>
- *Working Capital Funds*, May 2014
<https://www.oig.doc.gov/Pages/Office-of-Secretary-Working-Capital-Fund-Billing-Control-Issues-Resulted-in-Incorrect-Charges.aspx>
- *National Oceanic and Atmospheric Administration Fisheries*, May 2014
<https://www.oig.doc.gov/Pages/Review-of-NOAA-Catch-Share-Programs.aspx>
- *Manufacturing Extension Partnership Conferences*, February 2014
<https://www.oig.doc.gov/Pages/Manufacturing-Extension-Partnership-Incurred-Avoidable-Conference-Costs.aspx>
- *High Risk Contracts*, November 2013
<https://www.oig.doc.gov/Pages/Department-Awarding-and-Administering-of-Time-and-Materials-and-Labor-Hours-Contracts-Needs-Improvement.aspx>

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- *Purchase Cards*, June 2013
<https://www.oig.doc.gov/Pages/Internal-Controls-for-Purchase-Card-Transactions-Need-to-Be-Strengthened.aspx>
- *Conferences, October 2012*
<https://www.oig.doc.gov/Pages/Quarterly-Conference-Reporting-Processes-Need-Improvement.aspx>
- *Public Safety Interoperable Communications*, February 2013
<https://www.oig.doc.gov/Pages/PSIC-Grant-Program-4th-Annual-Assessment.aspx>

MEDIA AND PUBLIC SPEAKING

- *Senate Testimony*, June 2016
- *Federal News Radio*, Interview, April 2014
- *AICPA Financial Management Conference*, Washington, DC, August 2015
- *Pacific Northwest Intragovernmental Audit Forum*, Anchorage, AK, September 2016
- *Federal Audit Executive Council*, Washington, DC, January 2014, February 2016
- *AICPA Management Conference (Risk Assessments)*, Washington, DC, July 2014
- *Department of Justice, National Advocacy Center Training Conferences*, Columbia, SC (six conference and training presentations), 2008 - 2010
- *U.S. Attorney's Offices Training and Conferences*, Houston, Minneapolis, Detroit, Nashville, and Mobile, 2009 - 2010
- *Department of Commerce Annual Acquisition Conferences*, four presentations, 2011 – 2016
- *Department of Commerce, Financial Management Conference, October 2012*

EDUCATION AND CERTIFICATIONS

Bachelor of Business Administration, Accountancy, Western Michigan University, Kalamazoo, MI, 1990
Licensed CPA, Commonwealth of Virginia
Certified Financial Planner
Top Secret Clearance (renewal in process)

AWARDS AND RECOGNITION

Department of Commerce, OIG, Annual Performance Awards (2011-2017)
Executive Office for U.S. Attorneys, Performance Awards (2008, 2010) and Quality Step Increase (2009)
USAID OIG, Performance Awards (2001, 2002, 2003, 2006, 2007)
USAID OIG, Superior Accomplishment Group Awards (2) (2005)
USAID OIG, Distinguished Honor Group Award (2004)
President's Council on Integrity and Efficiency (PCIE), Single Audit Team Award (1999)
Department of Defense, OIG, Audit Policy Staff Member of the Year (1998), Superior Accomplishment Awards (1994, 1998, 1999), Outstanding Performance Awards (1991, 1992, 1993, 1996, 1998), Financial Auditor of the Year (1992)

AFFILIATIONS

Member, American Institute of Certified Public Accountants
Volunteer (Northern Virginia Swim League, Summerfield Homeowners Association, Reston Masters Swim Team)

ANDREW M. SMITH

PROFESSIONAL SUMMARY

- Concentrates practice on retail financial services, credit reporting, data privacy, fair lending, debt collection, payment systems, consumer protection and administrative law issues.
 - Speaks frequently to legal and professional audiences (see attached list).
 - **Chair of the American Bar Association's Consumer Financial Services Committee.**
 - Chaired the ABA Task Force that successfully challenged the FTC's application of its Red Flags Rule to the legal profession. Held numerous other leadership positions.
 - Recognized as a Fellow of The American College of Consumer Financial Services Lawyers.
 - Recommended in *Best Lawyers in America*, *Chambers Global*, *Chambers USA*, *Legal 500*
- Advises lenders, credit bureaus, technology companies and vendors regarding regulatory compliance, litigation, and transactional matters.
 - Regularly represents clients before FTC, CFPB, NYDFS and other federal and state agencies in law enforcement and rulemaking proceedings.
- Served in senior supervisory and policy-making positions at the **Federal Trade Commission** and the **Securities and Exchange Commission**:
 - Led a team of 45 professionals to develop and draft **ten rules** and **six studies** under the Fair and Accurate Credit Transactions Act of 2003 ("FACT Act").
 - Managed the FTC's efforts in 2003 to enact FACT Act: developed FTC policy, consulted with Congressional staff, the Administration, industry and consumer advocates.
 - Supervised the drafting of published opinions of the SEC in administrative appeals, and defended the final decisions on appeal to federal circuit courts.

EXPERIENCE

COVINGTON & BURLING LLP, WASHINGTON, D.C.

- | | |
|--|-----------------|
| • <i>Partner</i> | 2014 TO PRESENT |
| • Co-Chair, Consumer Financial Services Practice Group | 2016 TO PRESENT |
| • Co-Chair, Financial Institutions Practice Group | 2017 TO PRESENT |

MORRISON & FOERSTER LLP, WASHINGTON, D.C.

- | | |
|---------------------|--------------|
| • <i>Partner</i> | 2008 TO 2014 |
| • <i>Of Counsel</i> | 2005 TO 2007 |

FEDERAL TRADE COMMISSION, WASHINGTON, D.C.

- | | |
|---|--------------|
| • <i>FACT Act Program Manager</i> | 2004 TO 2005 |
| • <i>Assistant to the Director of the Bureau of Consumer Protection</i> | 2001 TO 2004 |

LOVELLS, WASHINGTON, D.C.

- | | |
|--------------------|--------------|
| • <i>Associate</i> | 2000 TO 2001 |
|--------------------|--------------|

SECURITIES & EXCHANGE COMMISSION, WASHINGTON, D.C.

- | | |
|---|--------------|
| • <i>Assistant General Counsel (Acting)</i> | 1999 TO 2000 |
| • <i>Senior Counsel and Staff Attorney</i> | 1997 TO 1999 |

ANDREW M. SMITH

EDUCATION

COLLEGE OF WILLIAM AND MARY, WILLIAMSBURG, VIRGINIA

J.D., May 1994

William and Mary Law Review: Professional Articles Editor

WILLIAMS COLLEGE, WILLIAMSTOWN, MASSACHUSETTS

B.A., History, June 1987

PROFESSIONAL ACTIVITIES

AMERICAN COLLEGE OF CONSUMER FINANCIAL SERVICES LAWYERS

- *Fellow of the College* INDUCTED 2012

AMERICAN BAR ASSOCIATION

- Business Law Section
 - Consumer Financial Services Committee
 - **Chair** 2015 TO PRESENT
 - **Vice Chair** 2011 TO 2015
 - **Chair**, Federal and State Trade Practices Subcommittee 2006 TO 2009
 - **Vice Chair**, Federal and State Trade Practices Subcommittee 2005 TO 2006
 - Consumer Financial Services Institute 2012 TO PRESENT
- Antitrust and Trade Regulation Section 2005 TO PRESENT
- Committee on Application of FTC Identity Theft Red Flags Rule to Lawyers
 - **Chair** 2009 TO 2011

INTERNATIONAL ASSOCIATION OF PRIVACY PROFESSIONALS

- Privacy Tracker Board Member 2010 TO 2013

ADMITTED TO PRACTICE

- Virginia (1994)
- District of Columbia (1997)

PROFESSIONAL RANKINGS

BEST LAWYERS IN AMERICA

- Banking and Finance Law (2012 to 2018); Financial Services Regulation Law (2012 to 2018)

CHAMBERS USA

- National, Privacy and Data Security (2009 to 2015)
- National, Financial Services Regulation (2015 to 2017)

CHAMBERS GLOBAL

- Privacy and Data Security (2010 to 2015)

LEGAL 500

- Data Protection and Privacy (2009 to 2016)

ANDREW M. SMITH

PUBLICATIONS

Privacy and Fair Credit Reporting Act Update 2017, 73 BUS. LAW. ____ (forthcoming 2018) (co-author with A. Soukup and L. Bartholomew)

House Financial Services Committee, Subcommittee on Financial Institutions and Consumer Credit, "Examining Opportunities and Challenges in the Financial Technology ('Fintech') Marketplace" (Jan. 30, 2018)

Safe Bank-Fintech Partnerships Can Help Consumers, Law360 (Jan. 26, 2018) (LexisNexis) (co-author with D. Smith)

Senate Banking Committee, "Consumer Data Security and the Credit Bureaus" (Oct. 17, 2017) (testifying on behalf of Consumer Data Industry Association)

Privacy and Fair Credit Reporting Act Update 2016, 72 BUS. LAW. 475 (2017) (co-author with L. Bartholomew)

Reconsidering CFPB V. PHH, Law360 (Feb. 17, 2017) (LexisNexis) (co-author with E. Mogilnicki)

Privacy and Fair Credit Reporting Act Update 2015, 71 BUS. LAW. 661 (2016) (co-author with P. Gilbert)

The FTC Report on How Big Data Interacts with the Law, E-Commerce Law and Policy at 9 (March 2016)

Privacy and Fair Credit Reporting Act Update 2014, 70 BUS. LAW. 585 (2015) (co-author with P. Gilbert)

CFPB Final Privacy Notice Rule Will Hurt Consumers, Law360 (Oct. 28, 2014) (LexisNexis) (co-author with R. Rogers and A. Mollo)

Consumer Financial Protection Bureau: The Year in Review, 45 UCC L.J. 335 (2014) (co-author with L. Chanin, J. Nguyen)

Fair Credit Reporting Act Enforcement Actions: The Players Change, but the Games Remain the Same, 19 What's in Store 16 (Spring 2014) (ABA Section of Antitrust Law) (co-author with J. Mandell)

Fair Credit Reporting Act Update 2013, 69 BUS. LAW. 573 (2014) (co-author with P. Gilbert)

Brave New World for Data Furnishers, Law360 (Sept. 17, 2013) (LexisNexis) (co-author with A. Ignat)

Fair Credit Reporting Act Update 2012, 68 BUS. LAW. 593 (2013) (co-author with P. Gilbert)

Robocalling and Wireless Numbers: Understanding the Regulatory Landscape, Business Law Today (May 2013) (co-author with D. Smith)

New Automated Opt-Out, Text Message and Prerecorded Telemarketing Rules to Take Effect in 2013, 50 CORP. LAW. (ILL. STATE BAR ASS'N), Issue 4 (Feb. 2013) (co-author with J. O'Neill)

Consumer Financial Protection Bureau: The First Year, 44 UCC L.J. 371 (2012) (co-author with J. Nguyen)

ANDREW M. SMITH

Fair Credit Reporting Act Update 2011, 67 BUS. LAW. 585 (2012) (co-author with P. Gilbert)

Fair Credit Reporting Act Update 2010, 66 BUS. LAW. 473 (2011) (co-author with P. Gilbert)

Proposed Rule to Limit 'Robocalls' Could Have Much Broader Application, 9 PRIV. & SEC. L. REP. 14 (Apr. 5, 2010) (BNA) (co-author with J. O'Neill)

Fair Credit Reporting Act Update 2009, 65 BUS. LAW. 595 (2010) (co-author with P. Gilbert)

Fair Credit Reporting Act Update: New Rules and Litigation Developments, 64 BUS. LAW. 579 (2009) (co-author with P. McCorkell)

Fair Credit Reporting Act Update: Firm Offers, Willfulness, Adverse Action and Receipt Truncation, 63 BUS. LAW. 677 (2008) (co-author with R. Gottlieb)

D.C. Circuit Curtails FTC Jurisdiction Under the GLBA, 6 PRIV. & INFO. L. REP., Issue 4 at 1 (Dec. 2005)

SEC Cease-and-Desist Orders, 52 ADMIN. L. REV. 1197 (1999)

Note, Using Impartial Experts in Valuations: A Forum-Specific Approach, 35 WM. & MARY L. REV. 1241 (1994)

Voting in Contested Elections, INSIGHTS: CORP. & SEC. L. ADVISOR, March 1991, at 25

CLIENT ALERTS

CFPB Publishes Final Rule on Pre Dispute Arbitration Agreements (07/10/17)

The Supreme Court Limits the SEC's Disgorgement Power (06/07/17)

Lessons Learned from CFPB Credit Reporting Exams: The CFPB's Special Report (03/06/17)

FTC Enforcement Action Signals Possible Changes Coming In Unfairness Doctrine (02/10/17)

President Trump Begins Efforts to Roll Back Financial Regulations (02/03/17)

CFPB Fall Regulatory Agenda Released (12/6/2016)

OCC to Issue Special Purpose National Bank Charters to Fintech Companies (12/5/2016)

Post-Election Outlook for Financial Regulatory Agencies: Federal Trade Commission (11/21/2016)

Comments Due Soon on CFPB's Proposed Amendments Relating to Disclosure of Records and Information (10/20/2016)

U.S. Supreme Court Issues Its Much-Anticipated Ruling in Spokeo (05/16/2016)

ANDREW M. SMITH

[CFPB Sharpens Focus on Online Marketplace Lenders \(03/08/16\)](#)

[CFPB Outlines Nine Key Goals for the Next Two Years \(02/26/16\)](#)

[U.S. Supreme Court To Address Plaintiffs' Ability To Sue For Violations Of Federal Law, Including The FCRA, Despite Suffering No Concrete Harm \(4/28/2015\)](#)

[CFPB's Promise to Ease Privacy Notice Burdens Needs Clarification \(5/12/2014\)](#)

[Brave New World for Data Furnishers \(9/9/2013\)](#)

[CFPB Issues FDCPA and UDAAP Guidance \(7/11/2013\)](#)

[Further Setback for the CFPB Director's Authority? \(5/17/2013\)](#)

[D.C. Circuit Hands the CFPB a Setback? \(1/29/2013\)](#)

[New Automated Opt-Out, Text Message, and Prerecorded Telemarketing Rules to Take Effect in 2013 \(1/8/13\)](#)

[CFPB Warning and Bulletin for Nationwide Specialty CRAs \(11/30/12\)](#)

[CFPB Examination Procedures for Larger Participants \(9/5/12\)](#)

[CFPB Issues Final Rule Broadly Defining Larger Participants for the Consumer Reporting Market \(7/17/12\)](#)

[Financial Services Report, Summer 2012 \(6/8/2012\)](#)

[Financial Services Report, Spring 2012 \(4/2/2012\)](#)

[Market Solutions, Vol. 21, No. 1 *Financial Markets Association* \(3/2012\)](#)

[FCC Publishes Final Robocall Rule \(3/15/2012\)](#)

[CFPB Issues Proposed Rule Defining "Larger Participants" for Certain Consumer Financial Markets \(2/16/2012\)](#)

[Consumer Financial Protection Bureau Finalizes Regulatory Scheme for Remittance Transfers \(2/10/2012\)](#)

[Market Solutions, Vol. 20, No. 4 *Financial Markets Association* \(12/2011\)](#)

[FTC Rescinds FCRA Commentary in Handoff to CFPB \(7/22/11\)](#)

[Federal Reserve and FTC Publish Final Credit Score Disclosure Rules \(07/15/11\)](#)

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Federal Reserve Board Proposes New Regulatory Scheme for Remittance Transfers (05/23/11)

Federal Reserve and FTC Propose Credit Score Disclosure Rules (03/02/11)

Consumer Financial Protection Act User Guide (11/11/2010)

Compliance with the New Risk-Based Pricing Rule (4/5/2010)

Proposed Rule Could Limit Service and Collections Calls to Cell Phones (3/22/2010)

FTC Obtains \$1.1 Million in Penalties for Alleged FCRA Data Furnishing Violations (3/4/2010)

P&C Insurers Are Not “PHR Vendors” Under FTC HITECH Rule (2/1/2010)

Court Issues Decision Limiting Scope of FTC’s Red Flags Rule (12/2/2009)

Identity Theft Red Flags Rule and Address Discrepancy Rule (7/9/2008)

Federal Agencies Issue Long-Awaited Affiliate-Marketing Rule (11/28/2007)

SPEAKING ENGAGEMENTS

SPONSOR/CONFERENCE	DATE	TOPIC(S)
Experian's 2018 Law Conference	February 27, 2018	Alternative Data
Online Lenders Alliance	January 17, 2018	Annual Advertising and Marketing Best Practices Compliance Review
American Bar Association, Consumer Financial Services Committee	January 7, 2018	Leadership Meeting
American Bar Association, Consumer Financial Services Committee	January 7, 2018	Welcome & Introductions
Financial Markets Association	October 25, 2017	Regulation of Fintech
ABA Consumer Financial Services Institute	October 20, 2017	Perspectives on Consumer Protection
LEND360	October 12, 2017	CFPB Small Dollar Rule
ID Analytics Advisory Bd.	September 26, 2017	Financial Regulatory Outlook
American Bar Association, Business Law Section Annual Meeting	September 15, 2016	Consumer Financial Services Committee Leadership Meeting
American Bankers Ass'n Briefing	August 16, 2017	Is Your Credit Reporting Accurate?
Online Lenders Alliance Compliance University	July 26, 2017	What We Have Learned from the Regulators on Compliance
American Financial Services Association, Operations and Regulatory Compliance Committee	June 14, 2017	Credit Reporting and Bankruptcy
American Bankers Association Regulatory Compliance Conference 2017	June 12-13, 2017	Credit Reporting & Data Furnishing
MBA's Legal Issues and Regulatory Compliance Conference 2017	May 9, 2017	Individual Liability
Online Lenders Alliance Spring Summit	May 2, 2017	FTC Update
Covington Financial Regulatory Forum	April 19, 2017	The Future of the CFPB
American Bar Association, Consumer Financial Services Committee	April 8, 2017	Leadership Meeting
American Bar Association, Consumer Financial Services Committee	April 6, 2017	Welcome & Introductions

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
Online Lenders Alliance Advertising and Marketing Webinar	March 27, 2017	FTC & CFPB Update
American Bar Association, Consumer Financial Services Committee Webinar	March 8, 2017	Bankruptcy Reporting
ID Analytics Online Lending Network Roundtable	February 24, 2017	Financial Regulatory Outlook 2017
American Bar Association, Consumer Financial Services Committee Webinar	January 25, 2017	Financial Regulatory Outlook 2017: The Future of the CFPB
Covington & Burling Client Webinar	January 18, 2017	Financial Regulatory Outlook 2017
American Bar Association, Consumer Financial Services Committee	January 15, 2017	The Future of the CFPB
American Bar Association, Consumer Financial Services Committee	January 15, 2017	Leadership Meeting
American Bar Association, Consumer Financial Services Committee	January 13, 2017	Welcome & Introductions
LEND360	December 21, 2016	Financial Regulatory Agency Outlook
National Institute on Consumer Financial Services	October 18, 2016	Perspectives on how Technology is Changing Consumer Finance
LEND360	October 5, 2016	The Landscape of Non-Bank Consumer Finance Post CFPB Rule
Marketplace Lending + Investing	September 27, 2016	Hot Legal Issues Facing Today's Marketplace Investors
CCFL Annual Consumer Financial Services Conference	September 15, 2016	Fair Credit Reporting Act Data Furnishing
American Bar Association, Business Law Section Annual Meeting	September 10, 2016	Consumer Financial Services Committee Leadership Meeting
American Conference Institute Emerging Payment Systems	July 28, 2016	The Evolving Federal Regulatory and Enforcement Paradigm
OLA Compliance University	July 26, 2016	What Compliance Officers Need to Know about the CFPB Rule
Consumer Data Industry Association	July 20, 2016	CFPB Small Dollar Rule Proposal
Practicing Law Institute	July 18, 2016	CFPB Small Dollar Rule Proposal
American Financial Services Association State Government Affairs Committee	June 15, 2016	Marketplace Lending
American Financial Services Association Law Committee	June 13, 2016	CFPB Small Dollar Rule Proposal

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
MBA's Legal Issues and Regulatory Compliance Conference 2016	May 2, 2016	FCRA Data Furnishing
Online Lenders Alliance, Spring Summit	April 28, 2016	Road to the CFPB Rule Proposal
Online Lenders Alliance, Spring Summit	April 27, 2016	FTC Update
J.P. Morgan Chase Law Institute	April 22, 2016	Marketplace Lending
Union Bank	April 14, 2016	Current Issues in Credit Card Lending
Women in Housing & Finance Annual Symposium	April 14, 2016	What is "FinTech"?
American Bar Association, Business Law Section Spring Meeting	April 8, 2016	Leadership Meeting
AFSA Vehicle Finance Conference	March 29, 2016	Furnishing Data To Credit Bureaus
Consumer Data Industry Association Webinar	March 16, 2016	The CFPB's Policy Priorities
Covington/Promontory Financial Regulatory Forum	March 8, 2016	Where is the CFPB Headed Next?
Covington Senior Lawyer Retreat	February 20, 2016	What is "FinTech"?
Online Lenders Alliance Webinar	February 16, 2016	The TSR's New Prohibitions On Payment Method
ID Analytics Online Lending Network Roundtable	February 9, 2016	2016 Regulatory Outlook
American Bar Association, Consumer Financial Services Committee	January 12, 2016	Leadership Meeting
Marketplace Lending + Investing Conference (SourceMedia)	November 5, 2015	Innovation and regulation
ACI's Consumer Lending Regulatory Compliance Forum	October 30, 2015	Controversial and Emerging Lending Trends
Lend360	October 15, 2015	Financial innovation and regulation
Lend360	October 15, 2015	Ability to Repay
Lend360	October 14, 2015	CFPB Rulemaking Update
Lend360	October 14, 2015	FTC, CFPB and FCC Update
American Bar Association, Consumer Financial Services Institute	October 9, 2015	Perspectives on Regulation
Consumer Data Industry Association Webinar	October 7, 2015	The FCRA and the CFPB: Furnishing Data to Credit Bureaus
AFSA Law Committee	October 6, 2015	FCRA Data Furnishing

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
Crowdfinance2015 - The Evolution of Marketplace Lending	September 28, 2015	Shifting Sands, Navigating Banking Compliance
MBA's Regulatory Compliance Conference	September 21, 2015	Compliance Management Systems
American Bar Association, Business Law Section Annual Meeting	September 18, 2015	Leadership Meeting
LendLab	September 10, 2015	Consumer Lending Regulatory Compliance
Lend Academy & FICO Credit Boot Camp	July 29, 2015	Hot Button Regulatory Trends for Online Lenders
Online Lenders Alliance, Compliance University	July 28, 2015	Regulatory Update
American Financial Services Association Webinar	July 28, 2015	FCRA & Data Furnishing
AFSA Law Committee	June 2, 2015	FCRA & Data Furnishing
MBA's Legal Issues and Regulatory Compliance Conference 2015	May 5, 2015	Privilege & Confidentiality
Online Lenders Alliance, Spring Summit	April 29, 2015	Small Business Review Panel Recap
Consumer Data Industry Association	April 22, 2015	Regulatory Compliance "Lightning Round"
American Bar Association, Business Law Section Spring Meeting	April 18, 2015	Everything You Wanted to Know About Credit Scoring
American Bar Association, Business Law Section Spring Meeting	April 17, 2015	CFPB Rulemaking on Payday, Title and Similar Loans
Practicing Law Institute, 20th Annual Consumer Financial Services Institute	April 7, 2015	Retail Banking & Emerging Payment Models
Covington & Burling/Promontory Financial Regulatory Summit	March 10, 2015	CFPB: Emerging Policies and Priorities
Community Financial Services Association	March 4, 2015	Consumer Lending: The Future of Small-Dollar Credit
GE Commercial Finance	December 4, 2014	CFPB Authority Over Non-Banks
FICO World	November 13, 2014	Debt Collection
FICO World	November 11, 2014	Conduct Risk
Lend360	October 17, 2014	Operation Chokepoint
Lend360	October 16, 2014	Best Practices
Consumer Data Industry Association Teleseminar	October 16, 2014	CFPB Data Furnishing Initiatives

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
Lend360	October 15, 2014	CFPB Enforcement Program
American Bar Association National Institute on Consumer Financial Services Basics	October 7, 2014	Perspectives on Consumer Finance
American Bar Association National Institute on Consumer Financial Services Basics	October 7, 2014	UDAAP
MBA Regulatory Compliance	September 29, 2014	Marketing and Advertising
MBA Risk Management & Quality Assurance Forum	September 8, 2014	Social Media
American Conference Institute National Forum on Reinsurance Regulation	July 30, 2014	Privacy & Data Security Trends
Online Lenders Alliance Compliance University	July 29, 2014	Regulatory Outlook
Mortgage Bankers Association Webinar	July 17, 2014	Social Media
Law Seminars International	June 19, 2014	Tribal Lending and Financial Institutions
MBA's Legal Issues and Regulatory Compliance Conference 2014	May 6, 2014	Use of Social Media in Mortgage Lending
Online Lenders Alliance Spring Summit	May 2, 2014	Regulatory Outlook
American Conference Institute Insurance Regulation National Forum	February 28, 2014	Electronic Contracting
Arch Mortgage Insurance	February 7, 2014	Consumer Financial Protection
American Bar Association, Consumer Financial Services Committee	January 13, 2014	Litigation and Investigations of Banks for Payments Activities
American Bar Association, Consumer Financial Services Committee	January 13, 2014	Fair Credit Reporting Act
CSAA Insurance Group	December 18, 2013	TCPA and Insurance
Consumer Data Industry Association Teleseminar	November 21, 2013	Keeping Pace with the CFPB Enforcement Program
Federal Bar Association 15th Annual D.C. Indian Law Conference	November 15, 2013	Internet Lending and Tribal Sovereignty
IAPP Practical Privacy Series – Financial Services	November 7, 2013	Convergence of Privacy and Info Security within Financial Services
Automobile Club of Southern California	October 18, 2013	TCPA and Insurance
Online Lenders Alliance Annual Meeting	October 17, 2013	Ask the Regulators
Electronic Transactions Association Strategic Leadership Forum	October 16, 2013	Big Data in Payments

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
MBA Regulatory Compliance Conference	September 30, 2013	Mortgage Advertising
Consumer Financial Services Institute	September 30, 2013	Financial Privacy
MBA Regulatory Compliance Conference	September 29, 2013	Regulatory Jurisdiction
American Bar Association Annual Meeting	August 9, 2013	Big Data
OLA Compliance University	July 30, 2013	Payment Processing
State Farm Mutual Automobile Insurance Company	June 18, 2013	TCPA Revisions
Citigroup Privacy Forum	May 23, 2013	CFPB and Privacy
Online Lenders Alliance Spring Summit	April 26, 2013	Payments Processing
Online Lenders Alliance Spring Summit	April 24, 2013	CFPB and FTC Outlook
Farmers Insurance Group	February 8, 2013	TCPA and Robocalls
Consumer Data Industry Association Teleseminar	January 31, 2013	CFPB Enforcement Program
IAPP Practical Privacy	January 29, 2013	Privacy Implications of Mergers and Acquisitions
American Bar Association, Consumer Financial Services Committee	January 7, 2013	TCPA Revisions
American Insurance Association Law Committee	November 8, 2012	Cybersecurity
Global Privacy Alliance	October 18, 2012	Privacy Enforcement Actions
American Bar Association National Institute on Consumer Financial Services Basics	October 9, 2012	What to Expect
American Bar Association National Institute on Consumer Financial Services Basics	October 8, 2012	Financial Privacy and Credit Reporting
Online Lenders Alliance Annual Meeting	September 21, 2012	Ask the Regulators
Online Lenders Alliance Annual Meeting	September 20, 2012	OLA Accreditation
American Bar Association Webinar	August 10, 2012	Consumer Financial Services Basics - Fair Credit Reporting
Online Lenders Alliance Compliance University (Chancellor)	June 21, 2012	What to Expect in a CFPB Exam
Online Lenders Alliance Compliance University (Chancellor)	June 20, 2012	What is an Effective Compliance Program?
MBA's Legal Issues and Regulatory Compliance Conference 2012	May 22, 2012	Unfairness and Deception: Lessons from the FTC

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
MoneyGram International Sales and Marketing Leadership Meeting	May 16, 2012	CFPB Update
Federal Reserve Bank of Richmond; Payments Advisory Council	May 15, 2012	Remittance Transfers
IAPP Privacy Tracker Update	May 3, 2012	Attorney-Client Privilege
Payment Card Institute	May 2, 2012	Debt Collection
Online Lenders Alliance Spring Summit	April 25, 2012	CFPB and FTC Outlook
ABA Section of Antitrust Law 60th Antitrust Spring Meeting	March 28, 2012	Defining Roles for CFPB, FTC, and States
Online Lenders Alliance	March 27, 2012	Identifying and Managing Consumer Financial Regulatory Risks Effectively
ABA Business Law Section Spring Meeting Institute for the Young Business Lawyer	March 22, 2012	Consumer Financial Services Basics - Fair Credit Reporting
Small Business Financial Exchange	March 14, 2012	Navigating Regulations in a Rapidly Changing Environment
IAPP Global Privacy Summit	March 9, 2012	Who's on First? New Roles and Authority for the CFPB, FTC, Banking Agencies and Securities
Consumer Data Industry Association	March 6, 2012	CFPB's Non-Bank Supervision Program – Get Ready to be Examined
IAPP Privacy Tracker Update	March 1, 2012	FCC Robocall Rulemaking
American Bar Association Business Law Section	February 28, 2012	Privacy and Anti-Money Laundering: An Oxymoron?
Online Lenders Alliance	February 17, 2012	Compliance 101
Global Association of Risk Professionals	December 13, 2011	The Ramifications of Dodd-Frank: Consumer Protection
National Credit Reporting Association	November 10, 2011	Consumer Financial Protection Bureau
Online Lenders Alliance Annual Meeting	October 10, 2011	Regulatory Update
IAPP Privacy Tracker Update	October 6, 2011	Background Screening
Online Lenders Alliance	October 5, 2011	Credit Score Disclosures
Lorman Education Services	August 23, 2011	Reporting Resident Rent Payment History
American Bar Association Annual Meeting	August 4, 2011	Privacy and AML: An Oxymoron?
IAPP Privacy Tracker Update	August 3, 2011	CFPB Rulemaking

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
2011 Mortgage Fraud Consortium Members' Meeting (CoreLogic)	June 9, 2011	The Changing Regulatory Landscape – Implications for Mortgage Risk Professionals
Mortgage Bankers Association – Legal Issues Conference	May 18, 2011	FCRA and FACTA, Data Security and Privacy
Online Lenders Alliance Spring Meeting	May 13, 2011	Regulatory Developments
Online Lenders Alliance Spring Meeting	May 12, 2011	Privacy and Technology
American Conference Institute, Financial Services Compliance	May 5, 2011	Consumer Financial Protection Bureau
Payment Card Institute	May 4, 2011	Consumer Financial Protection Act
IAPP Privacy Tracker Update	April 7, 2011	Financial Privacy
IAPP Privacy Tracker Update	March 3, 2011	Financial Privacy
American Conference Institute, Insurance Regulatory Compliance	March 1, 2011	Privacy and Data Security
Online Lenders Alliance	February 3, 2011	Restore Online Shopper Confidence Act
IAPP Privacy Tracker Update	February 3, 2011	Financial Privacy
American Bar Association, Consumer Financial Services Committee	January 9, 2011	Privacy Amendments in Dodd-Frank Act
Credit Builders Alliance	December 16, 2010	Risk-Based Pricing Regulations
IAPP Privacy Tracker Update	December 2, 2010	GLBA and Red Flags Rule
National Credit Reporting Association	November 11, 2010	Consumer Financial Protection Act
Lorman Education Services	October 14, 2010	Risk-Based Pricing Regulations
IAPP Privacy Tracker Update	October 7, 2010	FOIA Developments
Mortgage Bankers Association –Regulatory Compliance Conference	September 28, 2010	Privacy and Credit Reporting Developments
LexisNexis Customer Advisory Meeting	September 24, 2010	Dodd-Frank Regulatory Reform
TransUnion Bank Advisory Committee	September 23, 2010	Consumer Financial Protection Bureau
Online Lenders Alliance	September 15, 2010	Online Data Privacy
IAPP Privacy Tracker Update	September 2, 2010	Financial Privacy
National Credit Reporting Association	August 31, 2010	Risk-Based Pricing Regulations
IAPP Privacy Tracker Update	August 5, 2010	CFPA Privacy Provisions
Credit Builders Alliance	August 3, 2010	FCRA Furnisher Rules

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
Consumer Data Industry Association Teleseminar	July 27, 2010	Regulatory Reform and CFPA
Capital One Legal Issues Roundtable	July 22, 2010	Regulatory Issues
Global Privacy Alliance	June 15, 2010	Financial Regulatory Reform
Privacy Law Scholars Conference	June 3-4, 2010	Privacy and Data Security
IAPP Privacy Tracker Update	June 3, 2010	Financial Regulatory Reform
IAPP Privacy Tracker Update	May 6, 2010	Financial Regulatory Reform
Mortgage Bankers Association – Legal Issues Conference	May 5, 2010	FCRA and FACTA, Data Security and Privacy
San Francisco Bank Attorneys Association	May 3, 2010	Privacy Developments
Consumer Data Industry Association Teleseminar	April 29, 2010	FCRA Furnisher Rules
Mortgage Bankers Association – National Technology in Mortgage Banking Conference	April 27, 2010	Identity Theft Laws and Rules
American Bar Association, Antitrust Section Spring Meeting	April 22, 2010	Consumer Financial Protection Act of 2010
FICO World 2010	April 14, 2010	Regulatory Developments
IAPP Privacy Tracker Update	April 2, 2010	FCC Proposed Rulemaking
27th Regional Conference of Mortgage Bankers Associations	March 18, 2010	Privacy and Data Security Update
Mortgage Bankers Association, Campus MBA Live Online Conference	March 15, 2010	Furnisher Accuracy and Integrity and Direct Dispute Rules
IAPP Privacy Tracker Update	March 4, 2010	Financial Privacy Update
American Conference Institute, Insurance Regulatory Compliance	February 24, 2010	Marketing Issues in Insurance
Consumer Data Industry Association Teleseminar	February 17, 2010	Implementing the New Risk-Based Pricing Regulations
IAPP Privacy Tracker Update	February 4, 2010	2010 Financial Privacy Rules
Sustainable Brands	January 14, 2010	Avoiding Greenwashing: What's New At FTC
IAPP Privacy Tracker Update	January 14, 2010	2010 Outlook
IAPP Privacy Tracker Update	December 3, 2009	Gift Card and Privacy Rules
Wharton Finance Conference 2009	November 20, 2009	Corporate Social Responsibility vs. Government Regulation

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SPONSOR/CONFERENCE	DATE	TOPIC(S)
Thomas Jefferson Law School	November 19, 2009	Fair Credit Reporting Act
West Legalworks Webinar	October 29, 2009	Data Furnisher Rules and ID Theft Red Flags Update
American Bar Association Administrative Law Conference	October 22, 2009	Identity Theft and ID Theft Red Flags Rule
Privacy Piracy (radio interview)	October 21, 2009	Privacy and Data Security
American Financial Services Association Webinar	October 1, 2009	Furnisher Accuracy and Integrity and Direct Dispute Rules
Lawyer2Lawyer (radio interview)	September 30, 2009	ABA v. FTC (Identity Theft Red Flags Rule lawsuit)
Pro Bono Partnership	September 30, 2009	Identity Theft Red Flags Rule
Connecticut Privacy Forum	September 21, 2009	Information Security and Identity Theft
Mortgage Bankers Association –Regulatory Compliance Conference	September 16, 2009	FCRA and FACTA
American Bar Association Annual Meeting	August 3, 2009	Federal and State Law Enforcement Priorities
American Gas Association Legal Forum	July 21, 2009	Managing Consumer Credit Risk
Consumer Data Industry Association Teleseminar	July 16, 2009	Furnisher Accuracy and Integrity and Direct Dispute Rules
MPC Insurance, Ltd. Teleseminar	July 8, 2009	Red Flags Rule and Lawyers
American Bar Association, Antitrust Section	July 7, 2009	Privacy Update
Lorman Education Services	June 30, 2009	FCRA and FACT Act Regulatory and Litigation Update 2009
Mortgage Bankers Association – Legal Issues and Regulatory Compliance Conference	May 4, 2009	FCRA and FACTA, Fair Lending and HMDA, Data Security and Other Legal and Regulatory Compliance Issues
West Legalworks Webinar	April 28, 2009	FCRA and FACT Act 2009
American Bar Association, Business Law Section Spring Meeting	April 17, 2009	Doing Business in Asia: Privacy Requirements
Consumer Data Industry Association Teleseminar	March 31, 2009	Red Flags Rule and Address Discrepancy Rule
Mortgage Bankers Association – National Technology in Mortgage Banking Conference	March 18, 2009	Statutory and Regulatory Developments Regarding Consumer Privacy

ANDREW M. SMITH

SPONSOR/CONFERENCE	DATE	TOPIC(S)
Fair Isaac InterACT	March 11, 2009	Regulatory Developments for Lenders
American Conference Institute, Insurance Regulatory Compliance	February 27, 2009	Consumer Protection and Privacy Issues
American Insurance Association Teleseminar	February 17, 2009	Address Discrepancy Rule
International Association of Privacy Professionals Teleseminar	February 5, 2009	Social Security Number Laws, Rules and Policy
American Conference Institute, Privacy & Security of Consumer and Employee Information	January 28, 2009	Affiliate Marketing Rule
American Bar Association, Consumer Financial Services Committee	January 12, 2009	Telemarketing Laws and Rule
Boston Bar Association	October 24, 2008	Financial Privacy Developments
New York Bankers Association	October 23, 2008	Privacy and Security Developments; e-Discovery
American Bar Association, Antitrust Section	October 14, 2008	Privacy Update
Mortgage Bankers Association, Campus MBA Live Online Conference	October 10, 2008	Red Flags and Address Discrepancy Rules
Association of Insurance Compliance Professionals	October 7, 2008	E-Commerce and Insurance Transactions
Lorman Education Services	September 30, 2008	FCRA Affiliate Marketing and Red Flags Rules
Mortgage Bankers Association, Regulatory Compliance Conference	September 16, 2008	FCRA, FACT Act, Data Security
American Gas Association Teleseminar	September 12, 2008	Identity Theft Red Flags Rule
American Bar Association Annual Meeting	August 2008	UDAP Actions Against Credit Card Issuers
Mortgage Bankers Association, Technology Issues Teleconference	August 2008	Identity Theft Red Flags Rule
American Financial Services Association Webinar	July 2008	Affiliate Marketing Rule
American Financial Services Association Webinar	July 2008	Identity Theft Red Flags Rule
American Insurance Association Teleconference	July 2008	Affiliate Marketing Rule

ANDREW M. SMITH

SPONSOR/CONFERENCE	DATE	TOPIC(S)
American Conference Institute, Data Breaches & Identity Theft (Chair)	June 2008	Federal Regulatory Outlook and Initiatives; Red Flags Implementation
International Association of Privacy Professionals	June 2008	Understanding and Applying the Affiliate Marketing Rule
George Washington University Law School, Privacy Law Scholars Conference	June 2008	Privacy Law and Financial Privacy
National Retail Federation, General Counsels Forum	June 2008	Affiliate Marketing Rule and Red Flags Rule
American Financial Services Association, Law Committee	June 2008	FCRA Rulemaking and Litigation
Fordham Law School, Law and Information Society Symposium	May 2008	Credit Report Cases – Effective Remedies?
Mortgage Bankers Association Commercial/Multifamily Servicing and Technology Conference	May 2008	Identity Theft Red Flags Rule
Mortgage Bankers Association, Regulatory Compliance Conference	April 2008	FCRA, FACT Act, Data Security
Experian Law Conference	April 2008	FACT Act Rulemaking; FCRA Litigation
American Bar Association, Business Law Section	April 2008	Data Security; FACT Act Receipt Truncation; FTC's Hispanic Initiative
American Financial Services Association, Independents Conference	March 2008	Identity Theft and Fraud
Mortgage Bankers Association, Commercial Technology Initiatives Committee	March 2008	Identity Theft Red Flags Rule
Bank of America Professional Development Forum	February 2008	Cross-Marketing Restrictions
American Conference Institute, Privacy & Security of Consumer and Employee Information	January 2008	State and Federal Social Security Number Legislation
Thomson Legalworks Webinar	January 2008	FACT Act Rulemaking: Red Flags and Affiliate Marketing
American Bar Association, Consumer Financial Services Committee	January 2008	Bank Agency Unfairness Rulemaking
Mortgage Bankers Association, Legal Issues in Mortgage Technology Conference	November 2007	Data Privacy and Security

ANDREW M. SMITH

SPONSOR/CONFERENCE	DATE	TOPIC(S)
American Conference Institute, Financial Services Marketing Compliance (Chair)	October 2007	Data Use and Protection
American Conference Institute, Financial Services Marketing Compliance (Chair)	October 2007	Truth-in-Lending and RESPA
American Conference Institute, Financial Services Marketing Compliance (Chair)	October 2007	Financial Services Marketing
Mortgage Bankers Association Regulatory Compliance Conference	September 2007	Privacy and Data Security; FCRA and FACT Act; Litigation Developments
American Bar Association Annual Meeting	August 2007	Gift Cards and Other Recent State and Federal Enforcement Activity
International Association of Privacy Professionals	June 2007	Aftermath of a Security Breach
The Hartford Insurance Co.: Practical Privacy	June 2007	Credit Reporting; Financial Privacy and Data Security
Capital One Legal Issues Roundtable	June 2007	Consumer Financial Services Recent Developments
Thomson Legalworks Webinar	June 2007	FCRA Litigation: Current Issues
Experian Law Conference	March 2007	FCRA Litigation and Rulemaking
American Financial Services Association	March 2007	Data Security and FTC Safeguards Rule
American Bar Association (Chair), Business Law Section	March 2007	Consent Decrees and the Administrative Procedures Act
Morrison & Foerster Technology Transactions Group	January 2007	Introduction to Consumer Protection Law
American Bar Association, Business Law Section	January 2007	Truth-in-Lending
American Bar Association, Business Law Section	January 2007	State Attorney General Enforcement
American Bar Association, Antitrust Section	January 2007	Privacy Update
Capital One Legal Issues Roundtable	December 2006	Consumer Financial Services Recent Developments
American Conference Institute (Chair)	October 2006	Financial Services Marketing; Unfair and Deceptive Acts or Practices
American Bar Association, Business Law Section	August 2006	Unfairness Under the FTC Act

ANDREW M. SMITH

SPONSOR/CONFERENCE	DATE	TOPIC(S)
American Bankers Association	June 2006	Employee Background Checks
Financial Services Roundtable	May 2006	Data Security
Experian Law Conference	May 2006	FCRA Litigation and Data Security
Bank of America Professional Development Forum	May 2006	Current Topics in FCRA Compliance and Data Security
Washington Metro Area Corporate Counsel Association	April 2006	Current Topics in FCRA Compliance and Data Security
American Bar Association, Business Law Section	April 2006	Fair Lending Compliance and Consent Decrees
American Financial Services Association	March 2006	FCRA Compliance
American Conference Institute	January 2006	Insurance Compliance
American Bar Association, Business Law Section	January 2006	GLBA Compliance
American Conference Institute	October 2005	Financial Services Marketing Laws and Rules
Payment Card Institute	May 2005	Data Sharing Requirements
Hudson Cook	May 2005	FCRA Litigation
Glasser Legalworks	May 2005	FACT Act Implementation
Pennsylvania Bar Institute	April 2005	New FACT Act Requirements
Credit Union National Association	April 2005	New FACT Act Requirements
American Financial Services Association	April 2005	New FACT Act Requirements
Credit Union National Association	February 2005	New FACT Act Requirements
American Financial Services Association	January 2005	FCRA Compliance
National Credit Reporting Association	November 2004	FCRA Compliance
Credit Union National Association	November 2004	New FACT Act Requirements
American Financial Services Association	November 2004	New FACT Act Requirements
New York State Banking Department	October 2004	New FACT Act Requirements
Glasser Legalworks	September 2004	FACT Act Implementation
American Financial Services Association	September 2004	New FACT Act Requirements
State Financial Regulators Roundtable	August 2004	New FACT Act Requirements
American Bar Association, Business Law Section	August 2004	FCRA Rulemaking
DC Bar Association	June 2004	FACT Act Implementation

ANDREW M. SMITH

SPONSOR/CONFERENCE	DATE	TOPIC(S)
Payment Card Institute	May 2004	Data Sharing Rules
National Association of Attorneys General	May 2004	FACT Act Implementation
Hudson Cook	May 2004	FACT Act Implementation
Financial Services Roundtable	May 2004	FACT Act Implementation
Financial Services Roundtable	April 2004	FACT Act Implementation
American Bar Association, Business Law Section	April 2004	FACT Act Implementation
Glasser Legalworks	February 2004	FACT Act Implementation
American Bar Association, Business Law Section	January 2004	FACT Act Implementation
National Credit Reporting Association	November 2003	FCRA Legislation
National Retail Federation	June 2003	FCRA Legislation
National Credit Reporting Association	November 2002	FTC FCRA Enforcement
National Association of Legal Investigators	June 2002	FTC Enforcement Priorities
National Association of Credit Managers	February 2002	FTC Enforcement Priorities
American Bar Association, Business Law Section	March 2000	Privacy and Pretexting



D. Bruce Hoffman
Partner

PRACTICES

Antitrust and Competition
Antitrust Litigation
Consumer Protection and
Advertising Review
Criminal Antitrust Defense and
Investigations
Customs and International Trade
Merger Review
Retail
Energy Sector Security Team
Consumer Products



EDUCATION

JD, University of Florida, high honors, W.D. McDonald Prize (first in class), Order of the Coif, Board Member, *Florida Law Review*, 1992

BA, Penn State University, high distinction, 1989

BAR ADMISSIONS

District of Columbia
Florida

Bruce's practice focuses on antitrust and unfair competition, including investigations and litigation.

Bruce serves as head of the global competition practice at Hunton & Williams, where he focuses on antitrust and unfair competition, including merger reviews, government investigations, and private and government antitrust litigation. Bruce also represents a broad range of clients in general commercial litigation.

Before joining the firm, Bruce served at the Federal Trade Commission in Washington, DC, as Deputy Director and previously Associate Director of Regional Litigation of the Bureau of Competition. During his tenure at the FTC, he oversaw the Bureau's competition programs, activities and investigations and led numerous high-profile cases involving mergers, price fixing, monopolizations, conspiracies and other issues in a broad range of industries. He has also participated in amicus brief efforts before the US Supreme Court. Bruce is admitted to practice before the US Supreme Court, the US Courts of Appeals for the DC, Sixth, Eighth and Eleventh Circuits; the US District Courts for the District of Columbia, the District of Colorado, the Southern, Middle and Northern Districts of Florida, and the Western District of Wisconsin; and in the District of Columbia and all state courts in Florida.

Throughout his career, Bruce has been recognized as a highly respected lecturer and author on numerous topics. He regularly speaks at legal and industry seminars and conferences across the country, and publishes articles in a broad range of journals, magazines and other publications.

Relevant Experience

- Represented one of the world's largest supermarket chains before the Federal Trade Commission in connection with strategic divestitures of grocery assets in the United States.
- Represented a leading provider of funeral, cremation and cemetery services before the Federal Trade Commission in investigation into proposed acquisition of another provider of funeral, cremation and cemetery services.
- Represented Universal Music Group before the Federal Trade Commission in its \$1.9 billion acquisition of the recorded music business of EMI Music. After a Second Request and an extensive investigation, the FTC voted 5-0 to close its investigation without requiring divestitures or other remedies.
- Represented Delta Air Lines in the antitrust review of a proposed La Guardia

and Reagan-National airport slot exchange with US Airways.

- Represented Delta Air Lines before the U.S. Department of Justice in its successful acquisition of Northwest Airlines. Following a second request and extensive investigation, the DOJ closed its investigation without requiring any divestitures or other remedies.
- Represented a major recording company in its acquisition of the Spanish-language music division of a major media company. The acquisition was cleared by the Federal Trade Commission.
- Represented a leading producer of construction aggregates in its \$4.6 billion acquisition of another leader in this industry. The merger was cleared with a divestiture after a second request from the DOJ.
- Defending a major beverage manufacturer against allegations of anticompetitive collusion with retailers.
- Successfully represented a major music company in antitrust investigations by state Attorneys General of licensing terms.
- Representing leading paper products company in antitrust class actions alleging conspiracies.

More Memberships

- Chair, Advisory Board to the University of Florida Law Alumni Group, D.C. Chapter, 2015
- Fellow, Litigation Counsel of America (LCA), 2014
- Member, American Bar Association, Antitrust Section Task Force, including drafting response to FTC's 2008 Proposed Administrative Litigation Rules
- Senior Editor, *Antitrust Law Journal*
- Former Chair, Vice Chair, American Bar Association, Section of Antitrust Law, Exemption & Immunities Committee
- Former Vice Chair, American Bar Association, Section of Antitrust Law, Internet Committee
- Former Vice Chair, American Bar Association, Section of Antitrust Law, Communications Industry Committee

News

- Hunton & Williams Announces Standings in Chambers USA 2015, May 27, 2015
- Environmental Practice Wins Chambers USA Award of Excellence; 32 Practices, 86 Lawyers Ranked, May 27, 2014
- FTC Breaks New Ground By Winning Antitrust Challenge to St. Luke's Physician Practice Acquisition, January 31, 2014
- Antitrust and Competition Team Recognized as a Highly Recommended Firm by *Global Competition Review* for the 8th Consecutive Year, January 8, 2014
- Hunton & Williams LLP Attains 33 Practice and 91 Lawyer Rankings in Chambers USA 2013, June 24, 2013

Alerts

- But Who Will Supervise the Supervisors? Insights into the Supreme Court's *North Carolina State Board of Dental Examiners* Decision, February 27, 2015
- DC Circuit Deems POM Wonderful Advertisements Deceptive But Finds FTC's Attempt to Require Two Randomized Placebo-Controlled Clinical Trials for Future Claims Violates First Amendment, February 10, 2015
- Justice Department Imposes \$5 Million Fines and Profit Disgorgement for Improper Premerger Coordination in Abandoned Deal, November 13, 2014
- FTC Steps Up Advertising Enforcement Against Reputable Companies and Mainstream Ads, October 8, 2014
- Settlement Reached in Antitrust Challenge to High-Tech Employee Recruiting Practices, May 12, 2014

Publications

- Co-author, How a Recent Supreme Court Ruling on Antitrust Laws Could Impact Providers: A Law Review Q&A, *The Daily Briefing, The Advisory Board Company*, May 8, 2015
- Co-author, Company Reputation Won't Stop FTC Ad Investigations, *Law360*, October 21, 2014
- Author, Boarding For An Unknown Destination: The Remedy in the American/US Airways Merger, *The Threshold, American Bar Association Section of Antitrust Law*, Vol. XIV, No. 2, Spring 2014
- Author, Rhetoric vs. Reality: An Assessment of Trends in Civil Non-Merger Antitrust Enforcement Comparing the Bush and Obama Administrations, *The Journal of the Antitrust and Unfair Competition Law Section of the State Bar of California*, Vol. 22, No. 2, Fall 2013
- Co-author (with Joe Simons), Known unknowns: Uncertainty and its implications for antitrust policy and enforcement in the standard-setting context, *The Antitrust Bulletin*, Vol. 57, No. 1, Spring 2012

Events

- Panelist, Big Issues in Payer Litigation: Themes, Issues and Contradictions, Co-Sponsored with American Health Lawyers Association, ABA Section of Antitrust Law, and ABA Health Law Section, Antitrust in Health Care, May 13, 2014
- Panelist, Antitrust & Information Sharing, National Cyber-Forensics & Training Alliance (NCFTA), Annual Cyber Crime Forum 2014, May 6, 2014
- Panelist, International Mergers in the Airlines Industry, International Committee and Transportation Committee, ABA Section of Antitrust Law, December 5, 2013
- Panelist, Vertical Foreclosure in Front of the Agencies and the Courts, The Hal White Antitrust Conference, Bates White Economic Consulting, Washington, DC, June 3, 2013
- Panelist, Government Civil Enforcement: Developments and Trends at the



Federal Agencies, Antitrust Law: Critical Issues and Timely Resolutions
Conference, San Francisco, California, February 21, 2013

Awards & Recognition

- Received *Global Competition Review* GCR Award for "M&A Transaction of the Year—Asia-Pacific, Middle East and Africa," for role in "Opposition to the BHP Billiton/Rio Tinto Joint Venture"
- Listed in *International Who's Who of Competition Lawyers* by Global Competition Review, 2006-2015
- Leader in the Field, Antitrust, District of Columbia, *Chambers USA*, 2006-2015
- Named a "DC Super Lawyer" in Antitrust, *Super Lawyers*, 2013-2015
- Included in "Washington's Best Lawyers" for Antitrust, *Washingtonian*, 2013-2014
- Official Commendation for Superior Service, awarded by the Federal Trade Commission, December 9, 2004

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CHILSON, NEIL ALAN					2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 04/20/2018							
FIRST ACTION					SECOND ACTION											
5-A. Code 317		5-B. Nature of Action RESIGNATION			6-A. Code		6-B. Nature of Action									
5-C. Code RPM		5-D. Legal Authority REG 715.202			6-C. Code		6-D. Legal Authority									
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority									
7. FROM: Position Title and Number ATTORNEY ADVISOR (TR) 0101000 0010080					15. TO: Position Title and Number											
8. Pay Plan GS		9. Occ. Code 0905	10. Grade or Level 15	11. Step or Rate 10	12. Total Salary 164200		13. Pay Basis PA		16. Pay Plan		17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary/Award		21. Pay Basis
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay		
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON,DC					22. Name and Location of Position's Organization											
EMPLOYEE DATA																
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%					24. Tenure 2 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES <input checked="" type="checkbox"/> NO						
27. FEGLI C0 BASIC ONLY					28. Annuitant Indicator 9 NOT APPLICABLE					29. Pay Rate Determinant 0						
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 11/02/2007		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period						
POSITION DATA																
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888						
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA												
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 5		44. POSITION SENSITIVITY MODERATE RISK								
45. Remarks FORWARDING ADDRESS: (b)(6) EMPLOYEE GAVE NO REASON FOR RESIGNATION. LUMP-SUM PAYMENT TO BE MADE FOR ANY UNUSED ANNUAL LEAVE. HEALTH BENEFITS COVERAGE IS EXTENDED FOR 31 DAYS DURING WHICH YOU ARE ELIGIBLE TO CONVERT TO AN INDIVIDUAL POLICY (NONGROUP CONTRACT). YOU ARE ALSO ELIGIBLE FOR TEMPORARY CONTINUATION OF YOUR FEHB COVERAGE FOR UP TO 18 MONTHS. SF 2819 WAS PROVIDED. LIFE INSURANCE COVERAGE IS EXTENDED FOR 31 DAYS DURING WHICH YOU ARE ELIGIBLE TO CONVERT TO AN INDIVIDUAL POLICY (NONGROUP CONTRACT).																
46. Employing Department or Agency FT - FED TRADE COMMISSION					50. Signature/Authentication and Title of Approving Official 180866816 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/09/2018												

ROHIT CHOPRA

PROFESSIONAL EXPERIENCE

CONSUMER FINANCIAL PROTECTION BUREAU

Washington, DC
2010-Present

Team Lead, Office of Students

- Develop objectives and execute all aspects of the Office for Students' mission and vision of consumer education and engagement
- Provide subject matter expertise on all major student-related consumer financial products and services, including student loan origination and servicing, proprietary colleges, and credit/debit/stored value cards. Represent CFPB and present on these topics to other Federal agencies, state attorneys general, Congressional members and staff, and other external stakeholders
- Manage complex projects related to student loans, for-profit colleges, and cards: served as primary negotiator for a Congressionally mandated memorandum of understanding with the Department of Education related to student loan complaint handling; leading team of cross-divisional staff to understand risks and opportunities in the proprietary college sector; leading team of attorneys and financial analysts to address aggressive practices to market high-fee cards to students
- Conduct complex analyses, provide recommendations, and independently draft action memoranda on policy options and implications for CFPB leadership, Congressional members/staff, and other agencies
- Lead projects to convey complex information campaigns to student borrowers on financial products, including development of web tools and frequently asked questions; support media relations colleagues by speaking to journalists on student-related and other financial products and services topics
- Facilitate and provide expertise with CFPB staff across divisions to author a study and recommendations for Congress on risks and borrower behavior in the private student loan marketplace
- Designated by the Secretary of the Treasury to serve as the first CFPB student loan ombudsman, a new position created by the Dodd-Frank Wall Street Reform and Consumer Protection Act
- Manage the Office for Students' work to respond to private student loan borrower inquiries, analyze private student loan complaints, and prepare reports and recommendations to Congress
- Recruit, onboard, and manage staff; develop plans, timelines, budgets, and milestones for team project work
- In support of CFPB outreach efforts, author and deliver speeches, talking points, and blogs, and represent CFPB with a broad range of consumer groups, student groups, higher education groups, and industry associations

MCKINSEY & COMPANY

Various Locations
2008, 2009-2010

Associate; McKinsey Global Institute Fellow

- Led workstreams to provide analysis and expertise to Department of Education Federal Student Aid senior management team to improve the student loan borrower experience
- Managed complex quantitative and qualitative analyses and offered recommendations on strategies related to student financial products and the proprietary college business model
- Developed significant knowledge of latest trends in loan modification, servicing, and collections issues in multiple credit products
- In research and consulting work, focused on the intersection of public policy and consumer credit products, with an emphasis on student loan and mortgage markets
- Co-authored two marquee publications for MGI, the firm's economics and public policy think tank. Works have been featured in major financial publications around the world, including the *WSJ* and the *FT*
- Managed the research, analytical, and written work of junior colleagues; provided extensive feedback and advice on employee personal development plans, including training and career mobility

PROSPER MARKETPLACE
Consultant

San Francisco, CA
2008-2009

Prosper is a consumer financial service startup that pioneered peer-to-peer lending in the US

- Provided senior management with assessment of new initiatives, including a peer-to-peer student loan, and identified methods to help borrowers use the platform to reduce credit card debt
- Created information campaigns, original content, designed tools, and authored blogs and other communications to push users on the platform toward more optimal borrower and lending decisions
- Developed performance metrics and evaluated effectiveness of proprietary credit scores to predict behavior

FULBRIGHT FELLOWSHIP
U.S. Fulbright Fellow

Seoul, Korea
2006-2007

- Selected in a U.S. State Department national competition for a grant to pursue academic and cultural studies
- Worked with academics, political leaders, and business leaders to conduct research on community economic development, technology, and the impact of the Olympics

WPP GROUP
Project Manager

Seattle, WA
2006

- Managed a team of analysts on a high-profile project to develop consumer engagement and messaging initiatives for a Fortune 100 consumer technology company
- Supervised research goals and provided overall direction over consumer surveys and studies conducted in multiple languages and geographies
- Established performance objectives for team, counseled team members on personal development goals and training opportunities, and participated in hiring and promotion decision-making

BOOZ ALLEN HAMILTON
Business Management Analyst

Washington, DC
2004-2006

- Supported the development of a strategy to enhance detection of money laundering and other financial crimes for a federal law enforcement agency
- Recipient of several Firm awards for excellence in problem solving and communication

KERRY-EDWARDS 2004

Southwest US
2004

Deputy Field Director, Arizona and New Mexico

- Trained and provided management oversight to over 30 field organizers spread over large geography
- Conducted outreach to activists, donors, and political leaders to ensure high levels of coordination across state, Congressional, and presidential campaigns
- Analyzed voter contact and external data to prioritize resource allocation

EDUCATION

THE WHARTON SCHOOL, UNIVERSITY OF PENNSYLVANIA
MBA in Finance and Public Policy, 2009

Philadelphia, PA

- Awarded Henry Morgenthau Prize: awarded by family of former Treasury Secretary for excellence in and commitment to the advancement of public policy and finance
- Teaching Assistant: Advanced Management Communication, Corporate Finance, Financial Accounting
- Research and coursework: financial regulation, student indebtedness, financial literacy, housing finance

HARVARD UNIVERSITY

Cambridge, MA

BA in Government, cum laude, 2004

- President of Student Body: served as chief student representative to the Faculty and University. Led several successful initiatives to expand student financial aid and improve student mental health services
- Prison Education Instructor: taught inmates in a large Boston prison, leading many to successful GED completion

PUBLICATIONS

Consumer credit and public policy: research as a McKinsey Global Institute fellow focused on public policy issues related to post-recession economic recovery

Cummings, Chopra, et al. *Growth and competitiveness in the United States*. McKinsey Global Institute. June 2010.
Dobbs, Lund, Chopra, et al. *Farewell to Cheap Capital?* McKinsey Global Institute. December 2010.

Financial education: Authored a series of financial education articles targeted to young adults for MSNBC anchor Carlos Watson's news site, *The Stimulist*. Topics included student debt, landlord/tenant, insurance, and banking

Travel: Authored work that has appeared in several guidebooks in the *Let's Go* series, targeted to young budget travelers

Brown, Chopra, et al. *Let's Go California*. New York: St. Martin's Press, 2005.

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CHOPRA, ROHIT				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/02/2018			
FIRST ACTION				SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action					
5-C. Code ZNM		5-D. Legal Authority 15 USC 2 (I, SEC 41)		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number COMMISSIONER 0103000 EX00003							
8. Pay Plan EX	9. Occ. Code 0905	10. Grade or Level 04	11. Step or Rate 00	12. Total Salary 155500	13. Pay Basis PA	16. Pay Plan EX	17. Occ. Code 0905	18. Grade or Level 04	19. Step or Rate 00	20. Total Salary/Award 155500	21. Pay Basis PA
12A. Basic Pay 155500		12B. Locality Adj. 0		12C. Adj. Basic Pay 155500		12D. Other Pay 0					
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF COMM CHOPRA WASHINGTON,DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO			
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant T			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 05/02/2018		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 17		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK			
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/02/18. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. INELIGIBLE FOR LEAVE. APPOINTED AS THE FEDERAL TRADE COMMISSIONER FOR THE SEVERN YEAR TERM BEGINNING 05/02/2018 AND ENDING 09/25/2019 SCD SUBJECT TO CHANGE UPON RECEIPT OF PRIOR FEDERAL SERVICE.											
46. Employing Department or Agency FT - FED TRADE COMMISSION				50. Signature/Authentication and Title of Approving Official 180859550 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/08/2018							

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CONNER, IAN				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 02/04/2018															
FIRST ACTION						SECOND ACTION																	
5-A. Code 546		5-B. Nature of Action CONV TO SES NONCAREER APPT				6-A. Code		6-B. Nature of Action															
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER				6-C. Code		6-D. Legal Authority															
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652				6-E. Code		6-F. Legal Authority															
7. FROM: Position Title and Number ACTING DEPUTY DIRECTOR, BCP 1029000 1029008						15. TO: Position Title and Number DEPUTY DIRECTOR 1029000 ES03102																	
8. Pay Plan GS		9. Occ. Code 0905		10. Grade or Level 15		11. Step or Rate 10		12. Total Salary 164200		13. Pay Basis PA		16. Pay Plan ES		17. Occ. Code 0905		18. Grade or Level 00		19. Step or Rate 00		20. Total Salary/Award 174900		21. Pay Basis PA	
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0		20A. Basic Pay 174900		20B. Locality Adj. 0		20C. Adj. Basic Pay 174900		20D. Other Pay 0									
14. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON,DC						22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON,DC																	
EMPLOYEE DATA																							
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite						25. Agency Use		26. Veterans Preference for RIF YES X NO									
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0											
30. Retirement Plan KF FERS-FRAE & FICA						31. Service Comp. Date (Leave) 09/18/2017						32. Work Schedule F FULL-TIME						33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA																							
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved						35. FLSA Category E E - Exempt N - Nonexempt						36. Appropriation Code						37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA																	
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK															
45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED																							
46. Employing Department or Agency FT - FED TRADE COMMISSION												50. Signature/Authentication and Title of Approving Official 180411477 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 02/03/2018																			

EXPERIENCE

FEDERAL TRADE COMMISSION, BUREAU OF CONSUMER PROTECTION
600 Pennsylvania Ave, NW Washington, DC 20580

Associate Director, Division of Financial Practices (SES detail)
Supervisor: Jessica Rich (b)(6)

Jun 2013 - Present
Nov 2011 - Jan 2012

Develop and implement policy and law enforcement priorities in connection with the Bureau's mission to protect consumers from fraud, deception, and unfair practices in the financial services area, and to promote stronger consumer protections in the mobile marketplace. Recommend program direction to the Bureau Director and implement the Bureau's mission through overseeing the work of the Division and regional offices. Review complaint and settlement recommendations to ensure program consistency and advancement of program goals. Advocate for Division recommendations to the Bureau Director and staff, Attorney Advisors, and Commissioners. Testify before Congress, brief Congressional staff, and represent the FTC in meetings with officials from the Department of Justice (DOJ), the Consumer Financial Protection Bureau (CFPB), and other state and federal agencies. Address, disseminate, and discuss FTC policy with industry trade associations, state and federal officials, consumer advocacy groups, and the public. Establish, maintain, and advance relationships and coalitions with governmental, industry, public, and private groups with varying perspectives and interests. Manage the budget, strategic and operations planning, hiring, personnel issues, and information resources for the Division's more than 40 attorneys and other professionals.

- Led team that brought first FTC action, *United States v. National Attorney Collection Service*, against a debt collector who used text messaging to attempt to collect debts in an unlawful manner, resulting in a \$1 million civil penalty and permanent order.
- Oversaw sweep of cases against several car dealers settling charges that they falsely advertised the cost or available discounts for their vehicles as part of the FTC's crackdown on deceptive motor vehicle dealer practices pursuant to authority granted the FTC in the 2010 Dodd-Frank Wall Street Reform and Consumer Protection Act.
- Testified before Congress on the FTC's debt collection law enforcement and policy initiatives.

Deputy Director, Bureau of Consumer Protection (SES detail)
Supervisor: Charles Harwood (b)(6)

Apr 2013 - Jun 2013

Advised the Bureau Director on the development and implementation of policy and law enforcement priorities in connection with the Bureau's mission. Reviewed complaint, settlement, and other Bureau and regional office recommendations to ensure consistency with the agency's policies and priorities. Advised the Bureau Director on strategic planning, case recommendations, and personnel issues. Collaborated with the Bureau's Associate Directors, Office of the General Counsel, Bureau of Economics, Office of the Secretary, Offices of the Commissioners, and regional offices on subjects within the Bureau's mission. Represented the FTC in meetings with officials from state and federal agencies, industry trade associations, and consumer advocacy groups.

- Recommended revisions to legal theory applied in first FTC settlement with defendants engaged in mobile cramming, resulting in refunds to a large number of consumers and an additional \$1.2 million disgorgement judgment.

Assistant Director, Division of Financial Practices (GS-905-15)

Supervisor: Jessica Rich (b)(6)

Jan 2012 - Apr 2013

Apr 2006 - Nov 2011

Supervised investigations and federal court and administrative litigation enforcing consumer protection statutes and rules. Formed legal strategies and theories and guided teams on agency policy and litigation strategy. Ensured memoranda, pleadings, reports, and other written work addressed policy and legal issues appropriately and persuasively. Mentored and provided feedback to staff to help them develop and advance their analytical, written, and oral presentation skills. Collaborated with the Bureau's Associate Directors to develop and implement policy and law enforcement priorities in connection with the Bureau's mission in the financial services area. Coordinated with the Commission's regional offices, DOJ, the CFPB, and the banking regulatory agencies on issues within the Divisions' programmatic responsibilities. Addressed Congressional staff, industry, officials from state and federal agencies, consumer advocacy groups, and the public to disseminate and discuss FTC policy. Established, maintained, and advanced effective relationships with governmental, industry, public, and private groups with varying perspectives and interests. Managed the Division's budget, strategic planning, recruitment hiring, and information resources for more than 40 attorneys and other professionals. Reviewed and monitored Division employees' performance, provided ongoing feedback, appraised performance and assigned ratings, recently managed an attorney on a performance improvement plan, and recommended or initiated personnel actions as warranted.

- Led a staff of more than 40 Division employees in achieving highly successful performance recognized by the FTC in its annual recognition programs and performed during a period of continuous change including a Bureau reorganization, three Associate Director changes, five Assistant Director changes, and turnover of more than two-thirds of staff from 2006 through the present.
- Led team that filed first FTC case, *FTC v. Wise Media*, against defendants engaged in mobile cramming.
- Led team litigating against a payday lending operation, *AMG Services Inc.*, resolving allegations that the defendants threatened consumers in debt collection calls and violated the Electronic Fund Transfer Act and establishing a significant precedent that the FTC has the authority to bring suit against Indian Tribes, arms of Indian Tribes, and employees and contractors of arms of Indian Tribes alleged to be violating the FTC Act.
- Led the Commission's law enforcement initiative to combat mortgage assistance relief scams. Initiated, planned, coordinated, and led two law enforcement sweeps in 2009 with federal and state partners and represented the agency on the DOJ Financial Fraud Enforcement Task Force's Mortgage Fraud Working Group (MFWG) and other mortgage fraud task forces. The first sweep involved 189 actions by 25 federal and state agencies and the second sweep involved 118 actions by 26 federal and state agencies. Success of the program resulted in the Bureau Director placing the program entirely under my leadership without any intervening review.

- Revitalized the Commission's debt collection enforcement program from an underperforming program to one producing record results – from the filing of 11 cases and obtaining \$14.5 million in monetary relief from 2000 to 2006 to filing 24 cases and obtaining monetary judgments totaling more than \$67 million in the seven years since I assumed leadership of the program.
- Awarded the FTC's prestigious annual Janet D. Steiger Award for Outstanding Team Effort in October 2011 for the results the team and I achieved combating mortgage assistance relief fraud by filing over 30 FTC cases in a three-year period; obtaining orders, including permanent bans, against approximately 200 defendants; and obtaining judgments for consumer redress in excess of \$180 million.
- Awarded the FTC's prestigious annual Excellence in Supervision Award in October 2010 for my leadership in the debt collection enforcement program and in leading the team that investigated and successfully litigated *FTC v. CompuCredit*, a company engaged in deceptive practices while marketing and servicing credit cards targets to consumers with sub-prime credit but claimed to be outside the FTC's enforcement authority. I led this highly contentious case that included lengthy discovery disputes and novel legal challenges with a staff of largely new FTC attorneys whom I mentored in developing leadership skills and acquiring and applying a strong knowledge of FTC law, obtaining over \$114 million in redress to consumers and establishing a significant precedent on deceptive credit card marketing. Case also established a significant precedent on the FTC's jurisdiction over banks' non-bank affiliates.
- Awarded the FTC's annual Janet D. Steiger Award for Outstanding Team Effort in September 2009 for the results the *CompuCredit* team and I achieved.

Assistant Director, Division of Enforcement (GS-905-15)

Apr 2000 - Apr 2006

Supervisor: James Kohm (b)(6)

Assigned responsibilities and performed work described above under the Assistant Director of Financial Practices experience block, except relative to the Division of Enforcement's mission of enforcing federal court injunctions, administrative orders, and rules that address consumer protection issues. Exercised primary responsibility for managing the Division's budget, strategic planning, and recruitment and hiring for more than 40 attorneys and other professionals. Reviewed and monitored the Division employees' performance, provided ongoing feedback, appraised employees' performance, and assigned ratings.

- Led litigation team in *In Re Telebrands, Inc.*, resulting in a Commission decision and opinion setting significant precedents that a marketer can be held liable for intentional references to other deceptive advertising and that the Commission has the authority to order a performance bond.
- Led "Project Scofflaw," the Commission's initiative to increase enforcement of its federal court orders via civil or criminal contempt actions. During my time as project leader, 23 defendants were sentenced to serve nearly 77 years in prison or home confinement and courts awarded nearly \$66 million in civil or criminal restitution.
- Awarded the FTC's prestigious annual Paul Rand Dixon Award in October 2004 for my outstanding contributions to the FTC's consumer protection mission, including initiating and

leading the Commission's initiative to combat unfair and deceptive marketing of negative option plans and creating and leading "Project Scofflaw."

- Awarded the annual Bureau of Consumer Protection Director's Award in May 2001 for supervising the successful civil penalty action against *Alpine Industries*, resulting in \$1.49 million judgment, and overseeing "Project BOSS" ("Banish Office Supply Scams"), an extensive, grass-roots business education campaign designed to reach new companies at their inception and to provide existing companies with new tools to help them detect and avoid fraud.

Legal Advisor to the Bureau Director on Regional Operations (GS-905-15) Apr 1998 - Apr 2000

- Awarded the Bureau of Consumer Protection Director's Award in October 1998 for my outstanding contributions reviewing recommendations from regional offices to ensure consistence with agency policies; advocating recommendations to the Bureau Director, Attorney Advisors, and Commissioners; and advising regional office staff on litigation strategy and policy.
- As a Special Assistant United States Attorney, co-prosecuted case charging 17 defendants with mail fraud, wire fraud, money laundering, and conspiracy for fraudulently operating a telemarketing "recovery room;" second chair in six-week trial against five defendants. Co-prosecuted case charging defendant with criminal contempt of federal court injunction entered in an FTC action.

Staff Attorney, Division of Service Industry Practices (GS-905-14) Sep 1991 - Apr 1998

- Awarded the FTC's annual Outstanding Team Effort Award in October 1996 for coordinating, planning, and leading "Project Jackpot," a sweep of cases against fraudulent prize promotion marketers, which resulted in 56 enforcement actions against 79 defendants in 17 states, as part of a series of sweeps to enforce the newly promulgated Telemarketing Sales Rule.

EDUCATION

THE GEORGE WASHINGTON UNIVERSITY NATIONAL LAW CENTER
Juris Doctor, with honors
UNIVERSITY OF COLORADO
Bachelor of Arts, Political Science with emphasis on Soviet Studies

Washington, DC
June 1991
Boulder, CO
May 1987

BAR ADMISSIONS

MARYLAND COURT OF APPEALS
U.S. DISTRICT COURT FOR THE DISTRICT OF MARYLAND

Admitted December 17, 1991
Admitted March 27, 1992

Jay Ezrielev



EDUCATION

Ph.D. Economics, New York University, 2001.

Dissertation: Managerial Ownership and Project Choice: Theory and Evidence
Fields of specialization: Industrial Organization, Financial Economics and Corporate Governance.

M.S. Electrical Engineering, Rutgers University, 1989.

B.S. Electrical Engineering, Rutgers University, 1987 (with highest honors).

PROFESSIONAL EXPERIENCE

Executive Vice President, Compass Lexecon, Washington, DC (2003 – Present).

Lead economic and financial analysis for mergers, litigation, regulatory review, arbitration proceedings, and strategic planning matters.
Develop economic models and perform econometric analysis.

Adjunct Professor of Economics, Johns Hopkins University, Washington, DC campus (2010 – Present).

Teach graduate level financial economics.

Senior Economist, Competition Economics, Inc., Washington, DC (2001 – 2003).

Performed economic and financial analysis for litigation, regulatory review, and strategic planning matters.

Software Development Consultant, Goldman, Sachs & Co., New York, NY (1994 – 1996).

Developed fixed income securities trade processing software.

Scientist, Siemens Medical Systems, Inc., Iselin, NJ (1987 –1994).

Designed and developed three dimensional graphics and image processing software for medical applications.

RESEARCH AND ARTICLE PUBLICATIONS

“The 2010 Merger Guidelines, Critical Loss, and Linear Demand” (with Joseph J. Simons), *Journal of Competition Law and Economics*, (August 2011).

“The 2010 Horizontal Merger Guidelines: A Static Compass in a Dynamic World” (with Janusz A. Ordover), *Antitrust Source* (October 2010).

WORKING PAPERS

“Most Favorite Nation Clauses and Investment” (September 2017). Available at SSRN: <https://ssrn.com/abstract=3043394>.

“Mergers under Capacity Constraints and Multimarket Competition” (January 2018).

DECLARATIONS

Declaration *In The Matter of Applications of AT&T Inc. and Deutsche Telekom AG For Consent To Assign or Transfer Control Licenses and Authorization*, with Robert D. Willig and Jonathan M. Orszag, Submitted to the Federal Communications Commission (WT Docket No. 11-65), Commissioned by AT&T Inc., June 9, 2011.

Declaration *In The Matter of TCR Sports Broadcasting Holding, LLP v. Comcast Corporation*, with Jonathan M. Orszag, Submitted to the Federal Communications Commission (File No. CSR-8001-P), Commissioned by Comcast Corporation, July 31, 2008.

Declaration *In The Matter of NFL Enterprises LLC v. Comcast Cable Communications, LLC*, with Jonathan M. Orszag, Submitted to the Federal Communications Commission (File No. CSR-7876-P), Commissioned by Comcast Corporation, June 20, 2008.

Declaration *In The Matter of Satellite Home Viewer Extension and Reauthorization Act of 1994*, with Jonathan M. Orszag, Submitted to the Library of Congress, Copyright Office (Docket No. RM 2005-07), Commissioned by EchoStar Satellite L.L.C., September 1, 2005.

Declaration *In The Matter of A La Carte and Themed Tier Programming and Pricing Options for Programming Distribution on Cable Television and Direct Broadcast Satellite Systems*, with Robert D. Willig and Jonathan M. Orszag, Filed in Conjunction With Comments Submitted to the Federal Communications Commission (MB Docket No. 04-207), Commissioned by Discovery Communications, Inc., July 15, 2004.

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) EZRIELEV, JAY FIRST ACTION		2. Social Security Number [REDACTED]	3. Date of Birth [REDACTED]	4. Effective Date 05/14/18
5-A. Code 170		5-B. Nature Of Action EXC APPT		
5-C. Code Y7M		5-D. Legal Authority SCH C, 213.3326		
5-E. Code		5-F. Legal Authority		

7. FROM: Position Title and Number						15. TO: Position Title and Number ECONOMIST 0101000 GS60032									
8. Pay Plan GS	9. Occ. Code 0110	10. Grade/Level 15	11. Step/Rate 01	12. Total Salary \$105123	13. Pay Basis PA	16. Pay Plan GS	17. Occ. Code 0110	18. Grade/Level 15	19. Step/Rate 01	20. Total Salary/Award \$134789	21. Pay Basis PA				
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay \$105123		20B. Locality Adj. \$ 29666		20C. Adj. Basic Pay \$134789		20D. Other Pay \$ 0	
14. Name and Location of Position's Organization						22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON, DC									

EMPLOYEE DATA

23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
27. FEGLI WAIVED FEGLI COVERAGE B0				28. Annuitant Indicator 9 NOT APPLICABLE		29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA POSITION DATA				31. Service Comp. Date (Leave) 05/14/18		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period	
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category N E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888	
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA					
40. Agency Data CLS 99		41. VET-STAT X		42. EDUC LVL 21		43. SUPV STAT 8		44. POSITION SENSITIVITY	

45. Remarks
APPOINTMENT AFFIDAVIT EXECUTED 05/14/18.
CREDITABLE MILITARY SERVICE: NONE
PREVIOUS RETIREMENT COVERAGE: NEVER COVERED
EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.

46. Employing Department or Agency FEDERAL TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL 180934370	
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 05/14/18	PTC-19-0044-A-000067	

GAIL F. LEVINE



EMPLOYMENT

UBER TECHNOLOGIES, INC.

Director, U.S. Competition (2018-present)

Head of U.S. Regulatory Affairs (2016-17)

- Building national competition program
- Crafted strategic plan for regulatory engagement nationwide
- Advising heads of business for North America
- Leadership of nationwide team

VERIZON COMMUNICATIONS INC.

Vice President & Associate General Counsel (2011-16)

Assistant General Counsel (2006-11)

- Strategic antitrust matters
- Led high-tech competition initiatives
- Managed patent team and company-wide patent portfolio

FEDERAL TRADE COMMISSION

Attorney Advisor to FTC Chairman Deborah P. Majoras (2005-06)

Deputy Assistant General Counsel (2001-04)

Assistant Director, Office of Policy Planning (1999-2001)

- Advised Chairman on high-tech, innovation, and competition matters
- Helped lead FTC reports on innovation, health care & electronic marketplaces
- Steiger Award for exceptional dedication and team effort (2004)
- Outstanding Team Effort Award (2003)

UNITED STATES DEPARTMENT OF JUSTICE

Trial Attorney in the Civil Division, Federal Programs Branch (1995-99)

- Represented United States in federal district court in constitutional challenges, complex administrative law disputes, and personnel actions
- Extensive trial experience
- Special Achievement Award (1996)

EDUCATION

HARVARD LAW SCHOOL, J.D., *magna cum laude*, 1993

Harvard Law Review, editor

UNIVERSITY OF TEXAS AT AUSTIN, B.A., *summa cum laude*, 1990

Phi Beta Kappa

Plan II Honors Program (150 students admitted)

Outstanding Student Finalist (awarded to 6 of University's 36,000 students)

Dean's Distinguished Graduate (awarded to top 0.1% of College)

JUDICIAL CLERKSHIPS

JUDGE PATRICK E. HIGGINBOTHAM

United States Court of Appeals for the Fifth Circuit (1994-95)

JUDGE ROYCE C. LAMBERTH

United States District Court for the District of Columbia (1993-94)

GAIL F. LEVINE

Page 2

**BOARDS
& COMMITTEES**

**ADVISORY BOARD, HARVARD LAW SCHOOL ACCESS TO JUSTICE
LAB** (2016-present)

MLEX ADVISORY BOARD (2016-present)

BUSINESS STEERING COMMITTEE for the Concurrences Journal/George
Washington University Antitrust Writing Awards (2015-present)

**PROFESSIONAL
ACTIVITIES**

SECTION OF ANTITRUST LAW, AMERICAN BAR ASSOCIATION
Council Member (2013-16); Long Range Planning Committee (2013-14); Nominating
Committee (2011); Co-Chair, Communications & Digital Technologies Industry
Committee (2009-11); Vice Chair, Computer & Internet Committee (2001-09)

ABA PRESIDENTIAL TRANSITION TASK FORCE

Advisor on competition, innovation, and consumer protection policy (2012-13)

ABA PRESIDENTIAL TASK FORCE ON PLEADING STANDARDS (2010-11)

FREQUENT PUBLIC SPEAKER on innovation, competition, and consumer
protection issues

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) HOFFMAN, DONALD BRUCE				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 02/04/2018															
FIRST ACTION						SECOND ACTION																	
5-A. Code 546		5-B. Nature of Action CONV TO SES NONCAREER APPT				6-A. Code		6-B. Nature of Action															
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER				6-C. Code		6-D. Legal Authority															
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652				6-E. Code		6-F. Legal Authority															
7. FROM: Position Title and Number ACTING DIRECTOR, BUREAU OF COMPETITION 1029000 1029000						15. TO: Position Title and Number DIRECTOR 1029000 ES05035																	
8. Pay Plan GS		9. Occ. Code 0905		10. Grade or Level 15		11. Step or Rate 10		12. Total Salary 164200		13. Pay Basis PA		16. Pay Plan ES		17. Occ. Code 0905		18. Grade or Level 00		19. Step or Rate 00		20. Total Salary/Award 179700		21. Pay Basis PA	
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0		20A. Basic Pay 179700		20B. Locality Adj. 0		20C. Adj. Basic Pay 179700		20D. Other Pay 0									
14. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON,DC						22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON,DC																	
EMPLOYEE DATA																							
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite						25. Agency Use		26. Veterans Preference for RIF YES <input checked="" type="checkbox"/> NO									
27. FEGLI X0 BASIC + OPTIONAL(5X) + STANDARD						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0											
30. Retirement Plan KF FERS-FRAE & FICA						31. Service Comp. Date (Leave) 08/07/2017						32. Work Schedule F FULL-TIME						33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA																							
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved						35. FLSA Category E E - Exempt N - Nonexempt						36. Appropriation Code						37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA																	
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK															
45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) CREDITABLE MILITARY SERVICE: NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED																							
46. Employing Department or Agency FT - FED TRADE COMMISSION												50. Signature/Authentication and Title of Approving Official 180411515 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 02/03/2018																			

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) HOFFMAN, DONALD BRUCE		2. Social Security Number [REDACTED]	3. Date of Birth [REDACTED]	4. Effective Date 08/07/17
FIRST ACTION		SECOND ACTION		
5-A. Code 190	5-B. Nature Of Action PROVISIONAL APPT NTE 12-05-17	6-A. Code	6-B. Nature of Action	
5-C. Code Y9K	5-D. Legal Authority SCH C, 213.3302(A)	6-C. Code	6-D. Legal Authority	
5-E. Code	5-F. Legal Authority	6-E. Code	6-F. Legal Authority	

7. FROM: Position Title and Number						15. TO: Position Title and Number ACTING DIRECTOR, BUREAU OF COMPETITION 1029000 1029000					
8. Pay Plan	9. Occ. Code	10. Grade/Level	11. Step/Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade/Level	19. Step/Rate	20. Total Salary/Award	21. Pay Basis
						GS	0905	15	10	\$161900	PA
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay	
						\$134776		\$ 27124		\$161900	
12D. Other Pay						20D. Other Pay					
						\$ 0					
14. Name and Location of Position's Organization						22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON, DC					

EMPLOYEE DATA						24. Tenure						25. Agency Use		26. Veterans Preference for RIF	
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other. 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%						3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite								<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
27. FEGU BASIC ONLY C0						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 08/07/17		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA						35. FLSA Category						36. Appropriation Code		37. Bargaining Unit Status	
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved						E E - Exempt N - Nonexempt								8888	
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA									
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI							
45. Remarks APPOINTMENT IS ON A PROVISIONAL BASIS. YOU ARE ELIGIBLE FOR RETIREMENT COVERAGE AND FOR HEALTH BENEFITS AND LIFE INSURANCE. IF YOUR PERFORMANCE IS SATISFACTORY, AND YOU MEET ALL LEGAL QUALIFICATIONS, AND OTHER APPLICABLE REQUIREMENTS, YOU MAY BE CONVERTED TO A NONTEMPORARY APPOINTMENT BEFORE THIS APPOINTMENT EXPIRES. APPOINTMENT AFFIDAVIT EXECUTED 08/07/17. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.															

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL 171451488		
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 08/07/17	FTC-19-0044-A-000071		

IAN R. CONNER

Experience

Kirkland & Ellis LLP

Partner, Washington, DC

October 2011 to Present

Of Counsel, Washington, DC

March 2011 to October 2011

Antitrust partner representing merging parties before the U.S. Department of Justice, Federal Trade Commission, and state Attorneys General, including handling ten Second Request investigations. Key areas of experience include pharmaceuticals, oil and gas, retail services, telecommunications, transportation, and health care. Represent third parties in merger reviews in responding to Civil Investigative Demands and coordinating with federal agencies in litigated merger challenges, including *U.S. v. Anthem* and *FTC v. Advocate Health*. Represent class action defendants in multi-district antitrust litigation.

Hunton & Williams LLP

Associate, Competition Team, Washington, DC

July 2007 to March 2011

Defended BCBS-Michigan in suit brought by Department of Justice alleging MFNs violated antitrust laws. Lead associate representing Delta Air Lines in Department of Justice's review of merger with Northwest Airlines and related civil lawsuit. Lead antitrust associate in litigation and second-chair at trial in monopolization case against municipal water utility, deposing four expert witnesses and drafting response to motion to dismiss.

William and Mary School of Law

Adjunct Professor, "Antitrust & Mergers," Williamsburg, Virginia

Spring 2009 and Spring 2010

U.S. Department of Justice, Antitrust Division, Transportation, Energy & Agriculture Section

Trial Attorney, Attorney General's Honors Program, Washington, DC

August 2003 to July 2007

Led investigation of multi-billion dollar merger in the oil and gas industry. Drafted pleadings, Civil Investigative Demands and declarations, and served as liaison to state Attorneys General in *U.S. v. Monsanto and Delta & Pine Land Company*. Drafted Second Request in merger review. Represented United States on brief in seven asylum and immigration appeals before various U.S. Courts of Appeals.

U.S. Department of Justice, United States Attorney's Office for the Eastern District of Virginia

Special Assistant United States Attorney, Alexandria, Virginia

May 2005 to January 2006

Examined witnesses, briefed and argued motions, and wrote legal memoranda in multi-week securities fraud trial. Represented United States on brief in three criminal appeals before the U.S. Court of Appeals for the Fourth Circuit, including *U.S. v. Alvarado*, 440 F.3d 191 (4th Cir. 2006). First chaired seven misdemeanor trials, obtaining guilty verdicts in all seven. Presented cases to the Grand Jury for indictment. Negotiated felony plea agreements, and briefed and argued sentencing motions.

Awards:

Merger Control Matter of the Year-Americas, Global Competition Review Awards, 2017
Top 40 Under 40, Global Competition Review, 2015
One of five "Rising Stars" in Competition by Law360, 2014

Admissions: Virginia; District of Columbia; U.S. Supreme Court

Activities: Vice Chair, Mergers and Acquisitions Committee, ABA Section of Antitrust Law, 2016-Present
 Vice Chair, Civil Practice and Procedure Committee, ABA Section of Antitrust Law, 2014-2016
 Chair, Agriculture and Food Committee, ABA Section of Antitrust Law, 2012-2014
 Co-Chair, Agriculture and Food Committee, ABA Section of Antitrust Law, 2010-2012
 Co-Chair, Antitrust Committee, ABA Young Lawyers Division, 2010-2011

Education

William and Mary School of Law, Williamsburg, Virginia Juris Doctor, 2003
Honors: Thomas Jefferson Prize for most outstanding student note in the *Bill of Rights Journal*
Activities: *William and Mary Bill of Rights Journal*, Articles Editor, 2002-2003

Washington and Lee University, Lexington, Virginia Bachelor of Arts in Public Policy, 2000

Political Activities

Advance Team for the Office of the Vice President and for Bush-Cheney '04	2001-2003
2000 Republican National Convention, Assistant to the Director of Transportation	Summer 2000
Elizabeth Dole for President, Intern	Summer 1999
Office of Congressman Richard Armey, U.S. House of Representatives, Intern	Summer 1998
Office of Senator Strom Thurmond, U.S. Senate, Intern	July 1997
1996 Republican National Convention, Page	Summer 1996

Publications

Author, "Chapter 28 - The United States." *The Public Competition Enforcement Review*, 4th Ed. (2012), Law Business Research (ed. S. Goodman)

Author, "Chapter 42 - The United States." *The Public Competition Enforcement Review*, 3d. Ed. (2011), Law Business Research (ed. S. Goodman)

Contributor, Agriculture Section, *ANTITRUST L. DEV.*, vol. VII (2012)

Contributor, Air Transportation Section, *ANTITRUST L. DEV.*, vol. VII (2012)

Contributor, Air Transportation Section, *2009 ANN. REV. ANTITRUST L. DEV.* (2010)

Co-Author, *Delta-Northwest: Lesson and Comments from Navigating an Extensive Merger Review Process*, The Threshold, American Bar Association Section of Antitrust Law (2009)

Co-Author, *Cartel Penalties: Should the Sky Really Be the Limit*, American Bar Association Section Fall Forum (2007)

Speeches & Panels

Chair and Moderator, "The Government Always Rings Twice," ABA Antitrust Section Spring Meeting (2015)

Moderator, "Distilling Issues in Alcohol Distribution", ABA Section of Antitrust Law (2014)

Interview on private merger challenges, CNBC TV18 India (2014)

Panelist, Surviving a Hart-Scott-Rodino Act Second Request, ABA CLE Program, Antitrust Section and Center for Professional Development (2014)

Interview on antitrust in the airline industry, *The Capitol Forum* (2013)

Panelist, A Plain English Guide to the Revised FTC/DOJ Horizontal Merger Guidelines, DC Bar CLE Program (2012)

Chair and Moderator, "Go Low or Go Home! Monopsony a Problem?," ABA Antitrust Section Spring Meeting (2012)

Chair, Competition on the Farm, ABA Antitrust Section Spring Meeting (2011)

Panelist, Antitrust Issues in Agriculture, Annual Agricultural Law Symposium, American Agriculture Law Association (2010)

Lecturer, Mergers and Acquisitions Practicum, Washington and Lee University School of Law (2010)

Moderator, Antitrust in the Airline Industry, ABA Section of Antitrust Law (2010)

Moderator, Growing Competition: A Review of the DOJ/USDA Joint Workshop Series So Far, ABA Section of Antitrust Law (2010)

FTC Investigation Matters

(Represented first listed party unless otherwise noted; bolded matters are on-going)

MERGERS I

ABB Concise/Optical Distribution Group (contact lenses)

Akorn/Hi-Tech (pharmaceuticals) (consent)

Beechcraft/Textron-Cessna (airplanes)

Boehringer Ingelheim/Hikma (pharmaceuticals) (consent)

Bristol-Myers Squibb/Amylin (pharmaceuticals)

Bristol-Myers Squibb/Inhibitex (pharmaceuticals)

Furiex/Forest (pharmaceuticals)

Luxotica/Essilor – third party ABB (lenses/eye glasses)

Prestige/Insight – divestiture buyer WellSpring (pharmaceuticals)

Teva/Allergan (pharmaceuticals) (consent)

Teva/Cephalon (pharmaceuticals) (consent)

Teva/Mylan (pharmaceuticals)

Teva/NuPathe (pharmaceuticals)

MERGERS II

Amsted/US Pipe (pipes)

Tronox/Cristal (chemicals)

Uretex-Archer/Trelleborg (rubber)

Valspar/Sherwin Williams – third party Fortune Brands (paint)

MERGERS III

BP/Marathon (Texas City refinery sale) (oil & gas)

Platts Oil pricing review – CID recipient BP (oil & gas)

Palladium/ICCN (batteries)

MERGERS IV

FTC v. Advocate Health/NorthShore -- witness Blue Shield and Blue Cross of Illinois (hospitals)

FTC v. Sysco/US Foods -- third party PFG (subsequently represented by Skadden due to conflicts) (food distribution)

Sun Products/Henkel (laundry detergent)

HEALTH CARE

Minocycline investigation -- Ranbaxy (pharmaceuticals)

Non-public patent settlement matter

REMS investigation -- third party Teva (pharmaceuticals)

ANTICOMPETITIVE PRACTICES

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) KOSLOV, TARA I.				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/01/2018															
FIRST ACTION						SECOND ACTION																	
5-A. Code 930		5-B. Nature of Action DETAIL NTE 07-13-18				6-A. Code		6-B. Nature of Action															
5-C. Code		5-D. Legal Authority				6-C. Code		6-D. Legal Authority															
5-E. Code		5-F. Legal Authority				6-E. Code		6-F. Legal Authority															
7. FROM: Position Title and Number SUPERVISORY GENERAL ATTORNEY DEPUTY DIRECTOR 0412000 0040015						15. TO: Position Title and Number SUPERVISORY GENERAL ATTORNEY DEPUTY DIRECTOR 0412000 0040015																	
8. Pay Plan GS		9. Occ. Code 0905		10. Grade or Level 15		11. Step or Rate 10		12. Total Salary 164200		13. Pay Basis PA		16. Pay Plan GS		17. Occ. Code 0905		18. Grade or Level 15		19. Step or Rate		20. Total Salary/Award		21. Pay Basis PA	
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0		20A. Basic Pay				20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay							
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC						22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC																	
EMPLOYEE DATA																							
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30%						24. Tenure 1 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite						25. Agency Use		26. Veterans Preference for RIF YES X NO									
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0											
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 02/24/1997		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period													
POSITION DATA																							
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved						35. FLSA Category E E - Exempt N - Nonexempt						36. Appropriation Code						37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA																	
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY MODERATE RISK															
45. Remarks DETAIL TO THE CHAIRMANS OFFICE NTE 07/13/18																							
46. Employing Department or Agency FT - FED TRADE COMMISSION												50. Signature/Authentication and Title of Approving Official 180983748 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/25/2018																			

TARA ISA KOSLOV

EXPERIENCE

VINSON & ELKINS L.L.P., Washington, DC

September 1994 - present; Summer 1993

- Represent clients in all stages of numerous merger investigations. Analyze Hart-Scott-Rodino filing requirements and prepare premerger filings. Respond to informal and formal requests for additional information. Supervise document productions. Interview customers and prepare affidavits. Work with teams of economists and attorneys to formulate arguments and write white papers. Attend meetings with agency personnel. Assist in drafting of consent orders. Monitor compliance under existing orders.
- Provide antitrust counseling in strategic planning stages. Review client proposals for health care networks, group purchasing programs, and numerous other ventures in a variety of industries. Write detailed memoranda analyzing antitrust risks of proposed conduct. Draft antitrust compliance manuals for clients.
- Edit ABA Antitrust Section publications, including annual updates to *Antitrust Law Developments 3d* and upcoming release of *Antitrust Law Developments 4th*.
- Participate in ongoing litigation training program for associates, with emphasis on motions practice, witness preparation, taking and defending depositions, examining witnesses, and using evidence at trial.

HALE & DORR LEGAL SERVICES CENTER, Jamaica Plains, MA

Spring 1993

Provided legal services to persons with AIDS/HIV as clinical component of seminar on AIDS law. Prepared wills, living wills, health care proxies, and powers of attorney. Filed bankruptcy petitions and negotiated with debtors on behalf of clients.

REBOUL, MACMURRAY, HEWITT, MAYNARD & KRISTOL, New York, NY

Summer 1992

Performed research and writing assignments relating to antitrust damages models, RICO, sovereign immunity, act of state doctrine, franchise law, and family law.

RHODE ISLAND DEPARTMENT OF HEALTH, Providence, RI

September 1990 - May 1991

Designed and authored state agency report surveying the Rhode Island nursing home industry.

NEW YORK CITY HEALTH AND HOSPITALS CORPORATION, New York, NY

Summer 1990

Tracked and analyzed trends among patients in municipal hospital system, including insurer mix, reasons for admission, average lengths of stay, and causes of death.

NEW YORK CITY BOARD OF EDUCATION, Brooklyn, NY

Summer 1987, 1988, 1989

Provided administrative, editorial, and graphic design assistance to

January 1988, 1989, 1990

management personnel in the Division of Special Education. Compiled and analyzed in-school staffing data.

EDUCATION

HARVARD LAW SCHOOL, J.D., 1994

Honors: *cum laude*

Activities: Supervising Editor, *Harvard Journal on Legislation*
President, HLS Law and Health Care Society
Recent Developments Editor, *Journal of Law, Medicine and Health Care*
Musical Director, HLS Scales of Justice (a cappella singing group)
Executive Board, HLS Drama Society
Soprano, Radcliffe Choral Society

BROWN UNIVERSITY, A.B. in Classics, unofficial minor in Health Policy, 1991

Honors: *magna cum laude*

Phi Beta Kappa
Lucius Lyon Prize in Latin
Harvey A. Baker Fellowship for Graduate and Professional Study
Senior Class Marshal

PUBLICATIONS

Ky P. Ewing and Tara Isa Koslov, "Antitrust Developments in Healthcare: Emphasis on Net Effects Rather Than Structural Presumptions," *Antitrust Report* (May 1996).

Tara Isa Koslov, "Abortion on the Supreme Court Agenda: *Casey v. Planned Parenthood* and its Possible Consequences," *Journal of Law, Medicine & Health Care* (Fall 1992).

INTERESTS

Strong interests in health policy, technology, and ethical/moral issues relating to science and business. Enjoy music and theater (as performer or spectator), cooking, healthy eating, writing, travel, and classical music.



1

TARA ISA KOSLOV

(b)(6)

(b)(6)

EXPERIENCE

VINSON & ELKINS L.L.P., Washington, DC

September 1994 - present; Summer 1993

- Represent clients in all stages of numerous merger investigations. Analyze Hart-Scott-Rodino filing requirements and prepare premerger filings. Respond to informal and formal requests for additional information. Supervise document productions. Interview customers and prepare affidavits. Work with teams of economists and attorneys to formulate arguments and write white papers. Attend meetings with agency personnel. Assist in drafting of consent orders. Monitor compliance under existing orders.
- Provide antitrust counseling in strategic planning stages. Review client proposals for health care networks, group purchasing programs, and numerous other ventures in a variety of industries. Write detailed memoranda analyzing antitrust risks of proposed conduct. Draft antitrust compliance manuals for clients.
- Edit ABA Antitrust Section publications, including annual updates to *Antitrust Law Developments 3d* and upcoming release of *Antitrust Law Developments 4th*.
- Participate in ongoing litigation training program for associates, with emphasis on motions practice, witness preparation, taking and defending depositions, examining witnesses, and using evidence at trial.

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REBOUL, MACMURRAY, HEWITT, MAYNARD & KRISTOL, New York, NY

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NEW YORK CITY BOARD OF EDUCATION, Brooklyn, NY

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January 1988, 1989, 1990

management personnel in the Division of Special Education. Compiled and analyzed in-school staffing data.

EDUCATION

HARVARD LAW SCHOOL, J.D., 1994

Honors: *cum laude*

Activities: Supervising Editor, *Harvard Journal on Legislation*
President, HLS Law and Health Care Society
Recent Developments Editor, *Journal of Law, Medicine and Health Care*
Musical Director, HLS Scales of Justice (*a cappella* singing group)
Executive Board, HLS Drama Society
Soprano, Radcliffe Choral Society

BROWN UNIVERSITY, A.B. in Classics, unofficial minor in Health Policy, 1991

Honors: *magna cum laude*

Phi Beta Kappa
Lucius Lyon Prize in Latin
Harvey A. Baker Fellowship for Graduate and Professional Study
Senior Class Marshal

PUBLICATIONS

Ky P. Ewing and Tara Isa Koslov, "Antitrust Developments in Healthcare: Emphasis on Net Effects Rather Than Structural Presumptions," *Antitrust Report* (May 1996).

Tara Isa Koslov, "Abortion on the Supreme Court Agenda: *Casey v. Planned Parenthood* and its Possible Consequences," *Journal of Law, Medicine & Health Care* (Fall 1992).

INTERESTS

Strong interests in health policy, technology, and ethical/moral issues relating to science and business. Enjoy music and theater (as performer or spectator), cooking, healthy eating, writing, travel, and classical music.



1

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) KOSLOV, TARA I.				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 06/24/2018					
FIRST ACTION					SECOND ACTION								
5-A. Code 548		5-B. Nature of Action CONV SES LTD TERM APPT NTE 06-23			6-A. Code		6-B. Nature of Action						
5-C. Code V4M		5-D. Legal Authority 5 U.S.C. 3394(A) LIMITED TERM			6-C. Code		6-D. Legal Authority						
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority						
7. FROM: Position Title and Number SUPERVISORY GENERAL ATTORNEY DEPUTY DIRECTOR 0412000 0040015					15. TO: Position Title and Number SPECIAL ADVISOR TO THE CHAIRMAN CHIEF OF STAFF 0101000 ES17002								
8. Pay Plan GS		9. Occ. Code 0905	10. Grade or Level 15	11. Step or Rate 10	12. Total Salary 164200	13. Pay Basis PA	16. Pay Plan ES	17. Occ. Code 0905	18. Grade or Level 00	19. Step or Rate 00	20. Total Salary/Award 181000	21. Pay Basis PA	
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0	20A. Basic Pay 181000		20B. Locality Adj. 0		20C. Adj. Basic Pay 181000		20D. Other Pay 0
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC							22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON,DC						
EMPLOYEE DATA													
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES X NO		
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE			29. Pay Rate Determinant 0				
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 02/24/1997		32. Work Schedule F FULL-TIME			33. Part-Time Hours Per Biweekly Pay Period				
POSITION DATA													
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code			37. Bargaining Unit Status 8888				
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA									
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY MODERATE RISK					
45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: NONE EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C)													
46. Employing Department or Agency FT - FED TRADE COMMISSION						50. Signature/Authentication and Title of Approving Official 181230862 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 06/24/2018									

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) KOSLOV, TARA I.				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 06/23/2018							
FIRST ACTION					SECOND ACTION										
5-A. Code 932		5-B. Nature of Action TERM OF DETAIL			6-A. Code		6-B. Nature of Action								
5-C. Code		5-D. Legal Authority			6-C. Code		6-D. Legal Authority								
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority								
7. FROM: Position Title and Number SUPERVISORY GENERAL ATTORNEY DEPUTY DIRECTOR 0412000 0040015					15. TO: Position Title and Number SUPERVISORY GENERAL ATTORNEY DEPUTY DIRECTOR 0412000 0040015										
8. Pay Plan GS		9. Occ. Code 0905	10. Grade or Level 15	11. Step or Rate	12. Total Salary	13. Pay Basis PA		16. Pay Plan GS		17. Occ. Code 0905	18. Grade or Level 15	19. Step or Rate 10	20. Total Salary/Award 164200	21. Pay Basis PA	
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay 136659		20B. Locality Adj. 27541		20C. Adj. Basic Pay 164200		20D. Other Pay 0	
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC					22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC										
EMPLOYEE DATA															
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%					24. Tenure 1 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES X NO					
27. FEGLI C0 BASIC ONLY					28. Annuitant Indicator 9 NOT APPLICABLE					29. Pay Rate Determinant 0					
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 02/24/1997		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA															
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA											
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY MODERATE RISK							
45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C)															
46. Employing Department or Agency FT - FED TRADE COMMISSION						50. Signature/Authentication and Title of Approving Official 181373811 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL									
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 06/23/2018											

13/1

left message

JOB INFORMATION

Announcement Number:
Job Title:
Requested Grade:

PERSONAL INFORMATION

John A. Krebs

EDUCATION

Northeastern University School of Law, Boston, MA
Juris Doctor, May, 1996 (Northeastern University School of Law does not rank its students or provide law review)

Honors: Civil Procedure Teaching Assistant

Coordinator of Urban Economic Development Project

Admitted to the Bar: Commonwealth of Massachusetts, United States District Court for the District of Massachusetts

Northeastern University, Boston, MA
Master of Arts in Economics, June, 1991

Concentrations: Money and Finance

International Trade and Finance

Honors: Northeastern University Fellow

Bachelor of Science in Economics with a Minor in History, December, 1989

Honors: Graduated with honors

Omicron Delta Epsilon Honor Society

Activities: Senator, Student Government Association

President, Tau Kappa Epsilon Fraternity

Comptroller, Council for University Programs

Treasurer, Inter-Fraternity Council

Westford Academy, Westford, MA
High School Diploma, May, 1985



McCormack & Epstein

September 1998 - Present

One International Place, Boston, MA 02110

Associate Attorney: Represent corporate clients in civil litigation matters including disputes with other businesses and regulatory and administrative matters before the City of Boston and the Commonwealth of Massachusetts. Handle all aspects of litigation for cases involving fraudulent business practices, violation of non-competition contracts, unfair and deceptive business practices, wrongful death and dram-shop liability. Responsible for drafting legal coverage opinions relating to financial institution bonds, which are insurance policies that insure financial institutions against domestic and international loss. Advise corporate clients regarding contractual issues.

Responsible for developing litigation strategy for the numerous cases under my direct control. Draft and argue motions in court, including a motion for preliminary injunction to restrain an employee from violating the terms of a non-competition agreement. Handle settlement negotiations with opposing counsel, other business and regulatory agencies. Responsible for reviewing corporate financial documents in relation to business fraud actions. Handle all aspects of discovery, which involves taking depositions and drafting written discovery.

Supervisor: Marc L. LaCasse (b)(6)Salary: (b)(6)**Superior Court of Massachusetts**

September 1997 - August 1998

U.S. Post Office and Courthouse, 90 Devonshire Street, Boston, MA 02109

Law Clerk to the Justices: Clerked for the Justices of the top trial court in Massachusetts. Worked extensively with Justices in all phases of civil and criminal litigation. Conducted research, prepared draft decisions and jury instructions.

Supervisors: Hon. Thomas E. Connolly; Hon. E. Susan Garsh; Hon. Charles M. GrabeauPhone Number: (b)(6)Salary: (b)(6)**Connecticut Superior Court**

August 1996 - August 1997

Hartford and Litchfield, CT

Law Clerk to the Justices: Prepared draft decisions in various types of civil actions for Judges in Connecticut's highest trial court. Exclusive law clerk for the State of Connecticut's civil action against the tobacco industry.

Supervisors: Hon. Michael R. Sheldon, (b)(6) Hon. Walter M. Pickett, Jr.,

(b)(6)

Salary: (b)(6)**Miller, Cassidy, Larocca and Lewin**

March - May 1996

2555 M Street, N.W., Washington, DC 20037

Law Clerk: Researched and drafted litigation memoranda for law firm specializing in white collar criminal defense and complex civil litigation. Assisted in formulating litigation strategy for a criminal fraud trial.

Supervisor: Katherine L. Pringle, Esq., (b)(6)Salary: (b)(6)

Latronico and White
200 Berkeley Street, Boston, MA

September - November 1996

Law Clerk: Drafted an appellate brief, motions, memoranda, legal coverage opinions, interrogatories and requests for production of documents for in-house litigation counsel for a major insurance company.

Supervisor: Fredrick M. Doyle, Esq. (b)(6)

(b)(6)

Salary: (b)(6)

Law Office of Mark B. Johnson
12 Chestnut Street, Andover, MA 01810

March - May 1995

Law Clerk: Conducted legal research and drafted briefs and memoranda for small civil litigation firm. Attended, analyzed and summarized depositions; drafted discovery and responses to discovery.

Supervisor: Linda A. O'Connell, Esq., (b)(6)

Salary: (b)(6)

Honorable John M. Xifaras
Superior Court of Massachusetts

August - November 1995

U.S. Post Office and Courthouse, 90 Devonshire Street, Boston, MA 02109

Judicial Intern: Conducted legal research and prepared draft decisions for a variety of civil and criminal matters.

Supervisor: Hon. John M. Xifaras, (b)(6)

Salary: (b)(6)

GOVERNMENT EXPERIENCE

Georgia Department of Labor
International Drive, Atlanta, GA

September 1992 - August 1993

Administrative Assistant: Assistant to the director of the Job Training Division. Researched and briefed the director regarding policy issues relevant to employment training. Monitored statutory compliance of educational institutions that administered job training programs. Created writing guide to improve the quality of the Job Training Division's external correspondence.

Supervisor: Andrea Harper

Salary: (b)(6)

United States House of Representatives

January - September 1989

Intern: Researched issues and provided reports for upcoming Congressional issues. Interned for six months in the Congressional office in Washington, D.C. and three months in the district office. Responsible for drafting constituent correspondence.

Supervisor: Mary Paris

Salary: (b)(6)

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) KREBS, JOHN				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 02/05/2018															
FIRST ACTION						SECOND ACTION																	
5-A. Code 002		5-B. Nature of Action CORRECTION				6-A. Code 930		6-B. Nature of Action DETAIL NTE 06-05-18															
5-C. Code		5-D. Legal Authority				6-C. Code		6-D. Legal Authority															
5-E. Code		5-F. Legal Authority				6-E. Code		6-F. Legal Authority															
7. FROM: Position Title and Number GENERAL ATTORNEY (TR) 1154000 0111376						15. TO: Position Title and Number GENERAL ATTORNEY (TR) 0101000 0111376																	
8. Pay Plan GS		9. Occ. Code 0905		10. Grade or Level 15		11. Step or Rate 10		12. Total Salary 164200		13. Pay Basis PA		16. Pay Plan GS		17. Occ. Code 0905		18. Grade or Level 15		19. Step or Rate		20. Total Salary/Award		21. Pay Basis PA	
12A. Basic Pay 136659		12B. Locality Adj. 27541		12C. Adj. Basic Pay 164200		12D. Other Pay 0		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay									
14. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION DIVISION OF PRIVACY AND IDENTITY PROT WASHINGTON,DC						22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON,DC																	
EMPLOYEE DATA																							
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 1 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite						25. Agency Use		26. Veterans Preference for RIF YES X NO									
27. FEGLI B0 WAIVED FEGLI COVERAGE						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0											
30. Retirement Plan K FERS & FICA						31. Service Comp. Date (Leave) 06/12/2000						32. Work Schedule F FULL-TIME						33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA																							
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved						35. FLSA Category E E - Exempt N - Nonexempt						36. Appropriation Code						37. Bargaining Unit Status 7777					
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA																	
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 8		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI															
45. Remarks CORRECTS ITEM NUMBER 15 FROM ORG 0208 OPA TO 0101 OFFICE OF THE CHAIRMAN																							
46. Employing Department or Agency FT - FED TRADE COMMISSION												50. Signature/Authentication and Title of Approving Official 181490074 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471				49. Approval Date 08/03/2018																	

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) LEVINE, GAIL				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 10/15/2018			
FIRST ACTION				SECOND ACTION							
5-A. Code 146		5-B. Nature of Action SES NON-CAREER APPT				6-A. Code		6-B. Nature of Action			
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER				6-C. Code		6-D. Legal Authority			
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652				6-E. Code		6-F. Legal Authority			
7. FROM: Position Title and Number						15. TO: Position Title and Number DEPUTY DIRECTOR, BUREAU OF COMPETITION 1029000 ES05053					
8. Pay Plan ES		9. Occ. Code 0905		10. Grade or Level 00		19. Step or Rate 00		20. Total Salary/Award 179700		21. Pay Basis PA	
12A. Basic Pay 179700		12B. Locality Adj. 0		12C. Adj. Basic Pay 179700		12D. Other Pay 0		20A. Basic Pay 179700		20B. Locality Adj. 0	
20C. Adj. Basic Pay 179700		20D. Other Pay 0		22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON, DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30%						24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO	
27. FEGLI B0 WAIVED FEGLI COVERAGE						28. Annuitant Indicator 9 NOT APPLICABLE		29. Pay Rate Determinant 0			
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 01/10/2006		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK			
45. Remarks EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: NONE TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED APPOINTMENT AFFIDAVIT EXECUTED 10/15/18. SCD SUBJECT TO CHANGE UPON VERIFICATION OF PRIOR FEDERAL SERVICE. EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.											
46. Employing Department or Agency FT - FED TRADE COMMISSION						50. Signature/Authentication and Title of Approving Official 182051867 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL					
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 10/23/2018							

**Latham &
Watkins**

attorney profile

Abbott "Tad" Lipsky, Jr

Partner, Litigation Department



Education

J.D., Stanford Law School, 1976

M.A. (Economics), Stanford University, 1976

A.B. (Physics), Amherst College, 1971

Areas of Expertise

Mr. Lipsky is a partner in the Washington, D.C. office of Latham & Watkins. His thirty-year career, devoted entirely to antitrust, spans virtually every facet of competition law -- monopolization, vertical and horizontal relationships, mergers, acquisitions and joint ventures, civil and criminal practice, regulated industries, exemptions and immunities, intellectual property issues, legislation and policy -- from both public and private, U.S. and foreign, and in-house and law firm perspectives.

From 1981-83 Mr. Lipsky served as Deputy Assistant Attorney General under William F. Baxter. In that position he supervised the Executive Branch role in a series of groundbreaking Supreme Court antitrust cases that revolutionized antitrust law during the first Reagan Administration. He organized and supervised preparation of the Department of Justice *Merger Guidelines* (1982) and the Antitrust Division's review of *United States v. IBM Corp.*, which culminated in a joint stipulation of dismissal of the marathon case in 1982.

Following government service Mr. Lipsky developed a broad US and international antitrust practice, successfully managing a variety of important matters including BellSouth's participation in the First Triennial Review of the Bell System Divestiture Decree (*United States v. Western Electric Co*) (leading ultimately to the only broad *vacatur* of major Decree restrictions on the Bell Operating Companies), the hostile acquisition of J.P. Stevens Co. by West Point-Pepperell, Inc./Magnolia Partners, and both Fourth Circuit and Supreme Court consideration of *Brooke Group v. Broim & Williamson Tobacco*, the only plenary Supreme Court decision on predatory pricing law within a generation.

After moving in house to become the chief global antitrust lawyer for The Coca-Cola Company in 1992, he conducted and supervised competition matters before courts and antitrust authorities in the U.S., Canada, the E.U., E.U. Member States and dozens of other jurisdictions in Africa, Asia, Central and Eastern Europe and Latin America. Since joining Latham & Watkins in 2002

(together with antitrust-law colleagues from Coca-Cola), Mr. Lipsky has continued to develop a broad based and diverse domestic and international civil and criminal antitrust practice in a wide variety of industries, including aviation, computer software, ocean shipping, pharmaceuticals and petroleum products, among many others. He is a frequent author and speaker on antitrust topics. For over ten years he was a member of the governing Council of the ABA Section of Antitrust Law. Among other Officer positions, Mr. Lipsky was the first International Officer of the Section, and now serves on the Section's International Advisory Board. He is admitted to practice in California and the District of Columbia, the U.S. Supreme Court and various federal appellate courts.

Updated June 25, 2015

Tad Lipsky is a partner in the Washington, D.C. office of Latham & Watkins LLP. He is an internationally recognized expert on antitrust and competition law and policy and a veteran of numerous antitrust proceedings in scores of jurisdictions throughout the world. He served as Deputy Assistant Attorney General under William F. Baxter, playing central roles in preparation of the 1982 Justice Department Merger Guidelines, the review and ultimate dismissal of *United States v. IBM Corp.*, the amicus program that resulted in profound changes in Supreme Court interpretation of antitrust law, and the decisive realignment of the interface between antitrust enforcement policy and intellectual property law that occurred in the first Reagan Administration. After nine years of private practice in Washington D.C. he was appointed chief global antitrust lawyer for The Coca-Cola Company in 1992. After joining Latham & Watkins in 2002, he served as the inaugural International Officer of the ABA Section of Antitrust Law and since then has been closely associated with efforts to streamline antitrust enforcement around the world, advocating the reduction of compliance burdens and the harmonization of fundamental objectives of antitrust law. He served on the Editorial Board of *Competition Laws Outside the United States* (2001), the most ambitious annotated compilation of non-US competition laws yet produced. He continues to serve as Co-Chair of the Antitrust Section's International Task Force. He is a frequent author and speaker on issues of antitrust law, economics and policy.

Practice Areas

Internationally recognized competition law, economics and policy expert; extensive worldwide experience.

Professional Memberships

Co-chair, US Chamber of Commerce, International Competition Policy Working Group; Co-chair, ABA Antitrust Section, International Task Force; BNA Antitrust and Trade Regulation Report, Advisory Board; Journal of Competition Law & Economics, Advisory Board.

Career

Chief Competition Counsel, Coca-Cola Co.; Deputy Assistant Attorney General, US Department of Justice.

Publications

Managing Antitrust Compliance Through the Continuing Surge in Global Enforcement, 75 ANTITRUST L. J. 965 (2009); Improving Competitive Analysis, 16 GEO. MASON L. REV. 805 (2009).

Personal

JD, Stanford Law School; MA, Stanford University; BA, Amherst College.

Tad Lipsky is a partner in the Washington, D.C. office of Latham & Watkins LLP. He is an internationally recognized expert on antitrust and competition law and policy and a veteran of numerous antitrust proceedings in scores of jurisdictions throughout the world. He served as Deputy Assistant Attorney General under William F. Baxter, playing central roles in preparation of the 1982 Justice Department Merger Guidelines, the review and ultimate dismissal of *United States v. IBM Corp.*, the amicus program that resulted in profound changes in Supreme Court interpretation of antitrust law, and the decisive realignment of the interface between antitrust enforcement policy and intellectual property law that occurred in the first Reagan Administration. After nine years of private practice in Washington D.C. he was appointed chief global antitrust lawyer for The Coca-Cola Company in 1992. After joining Latham & Watkins in 2002, he served as the inaugural International Officer of the ABA Section of Antitrust Law and since then has been closely associated with efforts to streamline antitrust enforcement around the world, advocating the reduction of compliance burdens and the harmonization of fundamental objectives of antitrust law. He served on the Editorial Board of *Competition Laws Outside the United States* (2001), the most ambitious annotated compilation of non-US competition laws yet produced. He continues to serve as Co-Chair of the Antitrust Section's International Task Force. He is a frequent author and speaker on issues of antitrust law, economics and policy.

Latham & Watkins has pulled off a coup by poaching three of Coca-Cola's highly-rated competition team. The most prized addition is certainly that of senior competition counsel and former deputy assistant Attorney General at the DoJ Abbot 'Tad' Lipsky, who is joining the Washington office. Michael Egge, counsel, is going with Lipsky to Washington. John Colahan, also counsel, is moving to the office in London.

Firm chairman Robert Dell says the addition of competition lawyers with international experience will give vital back-up to the firm's new offices in Frankfurt, Hamburg and Paris. 'The high-end M&A and transactional practice we are building demands a strong competition law support,' said Dell.

Lipsky has been the senior competition figure at Coca-Cola since 1992. Previously, he was a partner at King & Spalding in Washington DC, and before that deputy assistant attorney general in the Antitrust Division at the DoJ (1981-83). He is also currently 'international officer' to the Antitrust Section of the ABA, the first holder of the post.

Lipsky says Latham & Watkins is the 'perfect firm' for him right now. He describes the firm's international offices as the 'best platform for building the premier globally integrated antitrust practice. 'International businesses need a coordinated global antitrust approach, not just with

respect to structural transactions but even day-to-day tactics like pricing, licensing and distributor management.'

Egge and Colahan have both been at Coca-Cola since 1998. Before joining Coca-Cola, Egge was with Morgan Lewis & Brockius.

John Colahan was at Watson, Farley & Williams and also served a stint in the UK's Cabinet Office, acting on occasion as 'agent' for the UK in relation to cases at the European Court.

All the moves were announced on November 16, 2002.

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) LIPSKY JR., ABBOTT FIRST ACTION		2. Social Security Number [REDACTED]	3. Date of Birth [REDACTED]	4. Effective Date 03/06/17
5-A. Code 002	5-B. Nature Of Action CORRECTION	5-A. Code 190	5-B. Nature of Action PROVISIONAL APPT NTE 07-06-17	
5-C. Code	5-D. Legal Authority	5-C. Code Y9K	5-D. Legal Authority SCH C, 213.3302 (A)	
5-E. Code	5-F. Legal Authority	5-E. Code	5-F. Legal Authority	

7. FROM: Position Title and Number					15. TO: Position Title and Number ACTING DIRECTOR, BUREAU OF COMPETITION 1029000 0100003										
8. Pay Plan	9. Occ. Code	10. Grade/Level	11. Step/Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade/Level	19. Step/Rate	20. Total Salary/Award	21. Pay Basis				
						GS	0905	15	10	\$161900	PA				
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay	
								\$134776		\$ 27124		\$161900		\$ 0	
14. Name and Location of Position's Organization						22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON, DC									

EMPLOYEE DATA				24. Tenure		25. Agency Use		26. Veterans Preference for RIF			
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite				<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
27. FEGLI BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE		29. Pay Rate Determinant 0					
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 03/06/17		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA	
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 17		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI			

45. Remarks
CORRECTS ITEM NUMBER 5 FROM NTE 03/06/18 TO 07/06/17

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official VICKI A. BARBER AUTHORIZING OFFICIAL		
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 06/01/17	46. Signature/Authentication and Title of Approving Official FTC-19-0044-A-000097 170988217		

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) LIPSKY JR., ABBOTT		2. Social Security Number [REDACTED]	3. Date of Birth [REDACTED]	4. Effective Date 07/03/17
FIRST ACTION		SECOND ACTION		
5-A. Code 317	5-B. Nature Of Action RESIGNATION	6-A. Code	6-B. Nature of Action	
5-C. Code RUM	5-D. Legal Authority REG 715.202 OTHER	6-C. Code	6-D. Legal Authority	
5-E. Code	5-F. Legal Authority	6-E. Code	6-F. Legal Authority	

7. FROM: Position Title and Number ACTING DIRECTOR, BUREAU OF COMPETITION 1029000 0100003					15. TO: Position Title and Number						
8. Pay Plan GS	9. Occ. Code 0905	10. Grade/Level 15	11. Step/Rate 10	12. Total Salary \$161900	13. Pay Basis PA	16. Pay Plan	17. Occ. Code	18. Grade/Level	19. Step/Rate	20. Total Salary/Award	21. Pay Basis
12A. Basic Pay \$134776	12B. Locality Adj. \$ 27124	12C. Adj. Basic Pay \$161900	12D. Other Pay \$ 0		20A. Basic Pay	20B. Locality Adj.	20C. Adj. Basic Pay	20D. Other Pay			
14. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON, DC						22. Name and Location of Position's Organization					

23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
27. FEGLI BASIC ONLY				28. Annuitant indicator 9 NOT APPLICABLE		29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 03/06/17		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period	
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888	
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA					
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 17		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI	

45. Remarks

FORWARDING ADDRESS: (b)(6)

REASON FOR RESIGNATION: PERSONAL REASONS.

SF 2819 WAS PROVIDED. LIFE INSURANCE COVERAGE IS EXTENDED FOR 31 DAYS DURING WHICH YOU ARE ELIGIBLE TO CONVERT TO AN INDIVIDUAL POLICY (NONGROUP CONTRACT).

HEALTH BENEFITS COVERAGE IS EXTENDED FOR 31 DAYS DURING WHICH YOU ARE ELIGIBLE TO CONVERT TO AN INDIVIDUAL POLICY (NONGROUP CONTRACT). YOU ARE ALSO ELIGIBLE FOR TEMPORARY CONTINUATION OF YOUR FEHB COVERAGE FOR UP TO 18 MONTHS.

LUMP-SUM PAYMENT TO BE MADE FOR ANY UNUSED ANNUAL LEAVE.

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL	
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 06/27/17	40. Signature/Authentication and Title of Approving Official FTC-19-0044-A-000098 171155318	

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) MACFARLANE, CATHY M				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/07/2018			
FIRST ACTION						SECOND ACTION					
5-A. Code 170		5-B. Nature of Action EXC APPT				6-A. Code		6-B. Nature of Action			
5-C. Code Y7M		5-D. Legal Authority SCH C, 213.3326				6-C. Code		6-D. Legal Authority			
5-E. Code		5-F. Legal Authority				6-E. Code		6-F. Legal Authority			
7. FROM: Position Title and Number						15. TO: Position Title and Number DIRECTOR, OPA 0208000 GS60034					
8. Pay Plan		9. Occ. Code		10. Grade or Level		11. Step or Rate		12. Total Salary		13. Pay Basis	
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		16. Pay Plan GS		17. Occ. Code 1035	
								18. Grade or Level 15		19. Step or Rate 10	
								20. Total Salary/Award 164200		21. Pay Basis PA	
20A. Basic Pay 136659		20B. Locality Adj. 27541		20C. Adj. Basic Pay 164200		20D. Other Pay 0					
14. Name and Location of Position's Organization						22. Name and Location of Position's Organization OFFICE OF PUBLIC AFFAIRS WASHINGTON,DC					
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point/Other 2 - 5-Point 4 - 10-Point/Compensable 6 - 10-Point/Compensable/30%						24. Tenure 3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO	
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0	
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 03/30/2006		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period	
POSITION DATA											
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888	
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 17		43. SUPV STAT 2		44. POSITION SENSITIVITY			
45. Remarks FROZEN SERVICE 07 YRS 00 MOS CREDITABLE MILITARY SERVICE: NONE APPOINTMENT AFFIDAVIT EXECUTED 05/07/18. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED EMPLOYEE IS COVERED BY FERS BECAUSE OF PREVIOUS ELECTION.											
46. Employing Department or Agency FT - FED TRADE COMMISSION						50. Signature/Authentication and Title of Approving Official 180859344 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL					
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/07/2018							

Experience

Senior Attorney, Federal Trade Commission, Bureau of Competition, Washington, D.C.
October 1990 to present

Lead attorney in charge of antitrust investigations and litigation, primarily in the health-care industry. Write memoranda, pleadings, motions, and briefs; negotiate consent agreements; conduct witness interviews, depositions, and direct and cross-examinations. Have examined economic and accounting experts at trial, and argued appeal before the Commission. Substantive experience includes cases involving price-fixing conspiracies, group boycotts, and mergers of insurers, hospitals, and other health-care providers. Recipient of the Commission's Team Effort Award and Paul Rand Dixon Award for outstanding service.

Special Assistant United States Attorney, United States Attorney's Office for the Eastern District of Virginia
July to December 1996

Performed all aspects of federal criminal practice from investigations through trial and appeal. Drafted arrest warrants, search warrants, and complaints; supervised traffic and misdemeanor dockets; managed a felony caseload including pleas and sentencing; presented cases to the grand jury; conducted motions hearings, bench trials, and a felony jury trial; wrote appellate briefs; argued before the Fourth Circuit Court of Appeals.

Law Clerk, Commonwealth Attorney's Office, Virginia Beach, Virginia
Summer 1989

Tried three felony cases, including one jury trial, and conducted preliminary hearings under supervision of attorneys; drafted Virginia Court of Appeals briefs; assisted attorneys preparing for trial.

Graduate Research Assistant, Old Dominion University, Norfolk, Virginia
June 1985 to July 1987

Helped direct strategic planning projects for the City of Virginia Beach, Virginia, and other government entities; assisted in the management of U.S. Department of Justice grant studying juvenile habitual offenders.

Captain, United States Army, Federal Republic of Germany
June 1982 to June 1985

Responsible for the training, welfare, morale, and combat readiness of a twenty-person Pershing Missile Section. Major additional duties included unit personnel, communications, and physical security officer.

Education

Juris Doctor, George Mason University School of Law, Arlington, Virginia
May 1990. Top fifteen percent of class. American Jurisprudence Award for Legal Research and Writing.

Master of Public Administration, Old Dominion University, Norfolk, Virginia
May 1987. Pi Alpha Alpha National Honor Society.

Bachelor of Arts, University of Virginia, Charlottesville, Virginia
May 1982. Government and German majors.

Interests

Softball, volleyball, basketball, and learning golf. Have lived in, and traveled throughout, Europe. Speak fluent German. Member of the Virginia Bar.

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) MEYER, ERIE KATHERINE				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 06/11/18			
FIRST ACTION				SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action					
5-C. Code Y7M		5-D. Legal Authority SCH C, 213.3334		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number TECHNOLOGY ADVISOR 0103000 0301030							
8. Pay Plan GS		9. Occ. Code 0301		10. Grade/Level 15		19. Step/Rate 03		20. Total Salary/Award \$143774		21. Pay Basis PA	
12A. Basic Pay \$112131		12B. Locality Adj.		12C. Adj. Basic Pay \$ 31643		12D. Other Pay \$143774		20D. Other Pay \$ 0			
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF COMM CHOPRA WASHINGTON, DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point Compensable 5 - 10-Point Other 6 - 10-Point/Compensable/30%				24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 06/11/18		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA				34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved		35. FLSA Category N E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888	
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA							
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 17		43. SUPV STAT 8		44. POSITION SENSITIVITY			

45. Remarks

APPOINTMENT AFFIDAVIT EXECUTED 06/11/18.

CREDITABLE MILITARY SERVICE: NONE

PREVIOUS RETIREMENT COVERAGE: ~~NEVER COVERED~~

EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.

SCD will be updated when we receive service record

48. Employing Department or Agency FT FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL		
47. Agency Code FT00		49. Approval Date 06/11/18		181085190	

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) PAHL, THOMAS B				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 04/21/2018															
FIRST ACTION						SECOND ACTION																	
5-A. Code 352		5-B. Nature of Action TERMINATION-APPT IN (CFPB)				6-A. Code		6-B. Nature of Action															
5-C. Code DBM		5-D. Legal Authority 5 CFR PART 715				6-C. Code		6-D. Legal Authority															
5-E. Code		5-F. Legal Authority				6-E. Code		6-F. Legal Authority															
7. FROM: Position Title and Number DIRECTOR 1141000 ES00030						15. TO: Position Title and Number																	
8. Pay Plan ES		9. Occ. Code 0905		10. Grade or Level 00		11. Step or Rate 00		12. Total Salary 179700		13. Pay Basis PA		16. Pay Plan		17. Occ. Code		18. Grade or Level		19. Step or Rate		20. Total Salary/Award		21. Pay Basis	
12A. Basic Pay 179700		12B. Locality Adj. 0		12C. Adj. Basic Pay 179700		12D. Other Pay 0		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay									
14. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR WASHINGTON,DC						22. Name and Location of Position's Organization TRFD																	
EMPLOYEE DATA																							
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite						25. Agency Use		26. Veterans Preference for RIF YES X NO									
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE						29. Pay Rate Determinant 0											
30. Retirement Plan K FERS & FICA						31. Service Comp. Date (Leave) 04/15/1992						32. Work Schedule F FULL-TIME						33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA																							
34. Position Occupied 3 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved						35. FLSA Category E E - Exempt N - Nonexempt						36. Appropriation Code						37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001						39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA																	
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI															
45. Remarks FORWARDING ADDRESS: (b)(6) EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C) BENEFITS AND LEAVE TRANSFERS TO GAINING AGENCY.																							
46. Employing Department or Agency FT - FED TRADE COMMISSION												50. Signature/Authentication and Title of Approving Official 180802013 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL											
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 04/27/2018																			

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) PAHL, THOMAS B				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 09/03/17			
FIRST ACTION				SECOND ACTION							
5-A. Code 546		5-B. Nature Of Action CONV TO SES NONCAREER APPT		6-A. Code		6-B. Nature of Action					
5-C. Code V4L		5-D. Legal Authority 5 U.S.C. 3394(A) NONCAREER		6-C. Code		6-D. Legal Authority					
5-E. Code AWM		5-F. Legal Authority OPM FORM 1652		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number DEPUTY DIRECTOR, BUREAU OF CONSUMER PROTECTION 1141000 GS60029				15. TO: Position Title and Number DIRECTOR 1141000 ES00030							
8. Pay Plan GS		9. Occ. Code 0905		10. Grade/Level 15		11. Step/Rate 10		12. Total Salary \$161900		13. Pay Basis PA	
16. Pay Plan ES		17. Occ. Code 0905		18. Grade/Level 00		19. Step/Rate 00		20. Total Salary/Award \$179700		21. Pay Basis PA	
12A. Basic Pay \$134776		12B. Locality Adj. \$ 27124		12C. Adj. Basic Pay \$161900		12D. Other Pay \$ 0		20A. Basic Pay \$179700		20B. Locality Adj. \$ 0	
20C. Adj. Basic Pay \$179700		20D. Other Pay \$ 0		22. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR		22. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR					
14. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR				22. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR							
WASHINGTON, DC EMPLOYEE DATA				WASHINGTON, DC							
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RUF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
27. FEGLI C0				28. Annuity Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 04/15/92		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
34. Position Occupied 3 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA							
40. Agency DOWNC CLS 00		41. VET-STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI			

45. Remarks
EMPLOYEE SUBJECT TO POST-EMPLOYMENT RESTRICTIONS UNDER 18 U.S.C. 207(C)
FROZEN SERVICE NONE
CREDITABLE MILITARY SERVICE: NONE
EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.
TENURE AS USED FOR 5 U.S.C. 3502 IS NOT APPLICABLE TO THE SENIOR EXECUTIVE SERVICE.
PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL 171703351 FTC-19-0044-A-000104		
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 09/02/17	

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) PAHL, THOMAS B		2. Social Security Number [REDACTED]	3. Date of Birth [REDACTED]	4. Effective Date 02/21/17
FIRST ACTION		SECOND ACTION		
5-A. Code 190	5-B. Nature Of Action PROVISIONAL APPT NTE 06-21-17	6-A. Code	6-B. Nature of Action	
5-C. Code Y9K	5-D. Legal Authority SCH C, 213.3302(A)	6-C. Code	6-D. Legal Authority	
5-E. Code	5-F. Legal Authority	6-E. Code	6-F. Legal Authority	

7. FROM: Position Title and Number					15. TO: Position Title and Number DEPUTY DIRECTOR, BUREAU OF CONSUMER PROTECTION 1141000 GS60029						
8. Pay Plan GS	9. Occ. Code 0905	10. Grade/Level 15	11. Step/Rate 10	12. Total Salary \$161900	13. Pay Basis PA	16. Pay Plan GS	17. Occ. Code 0905	18. Grade/Level 15	19. Step/Rate 10	20. Total Salary/Award \$161900	21. Pay Basis PA
12A. Basic Pay \$134776	12B. Locality Adj.	12C. Adj. Basic Pay	12D. Other Pay	20A. Basic Pay \$134776	20B. Locality Adj.	20C. Adj. Basic Pay \$161900	20D. Other Pay \$ 0				
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization BUREAU OF CONSUMER PROTECTION OFFICE OF DIRECTOR WASHINGTON, DC						

EMPLOYEE DATA					24. Tenure					25. Agency Use		26. Veterans Preference for RIF	
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%					3 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite							YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	
27. FEGLI BASIC ONLY C0					28. Annuitant Indicator 9 NOT APPLICABLE					29. Pay Rate Determinant 0			
30. Retirement Plan K FERS & FICA					31. Service Comp. Date (Leave) 04/15/92					32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period	
POSITION DATA					35. FLSA Category E E - Exempt N - Nonexempt					36. Appropriation Code		37. Bargaining Unit Status 8888	
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved					38. Duty Station Code 11-0010-001					39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA			
40. Agency Designation CLS 00		41. VET-STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI					

45. Remarks
 APPOINTMENT IS ON A PROVISIONAL BASIS. YOU ARE ELIGIBLE FOR RETIREMENT COVERAGE AND FOR HEALTH BENEFITS AND LIFE INSURANCE. IF YOUR PERFORMANCE IS SATISFACTORY, AND YOU MEET ALL LEGAL QUALIFICATIONS, AND OTHER APPLICABLE REQUIREMENTS, YOU MAY BE CONVERTED TO A NONTEMPORARY APPOINTMENT BEFORE THIS APPOINTMENT EXPIRES.
 APPOINTMENT AFFIDAVIT EXECUTED 02/21/17.
 CREDITABLE MILITARY SERVICE: NONE
 PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED
 FROZEN SERVICE NONE
 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.
 TRIAL PERIOD COMPLETED.

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official VICKI A. BARBER AUTHORIZING OFFICIAL	
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 02/21/17	170499651 FTC-19-0044-A-000105	

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) PARKER, EDITH				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/07/2018			
FIRST ACTION				SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action					
5-C. Code Y7M		5-D. Legal Authority SCH C, 213.3326		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number CONFIDENTIAL ASSISTANT 0101000 FS60028							
8. Pay Plan GS		9. Occ. Code 0301		10. Grade or Level 12		19. Step or Rate 07		20. Total Salary/Award 97858		21. Pay Basis PA	
12A. Basic Pay 76320		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay 76320		20B. Locality Adj. 21538	
								20C. Adj. Basic Pay 97858		20D. Other Pay 0	
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON,DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%				24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite				25. Agency Use		26. Veterans Preference for RIF YES X NO	
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 05/07/2018				32. Work Schedule F FULL-TIME			
								33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt				36. Appropriation Code			
								37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 08		43. SUPV STAT 8		44. POSITION SENSITIVITY			
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/07/18. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. FROZEN SERVICE 00YRS, 00MOS.											
46. Employing Department or Agency FT - FED TRADE COMMISSION				50. Signature/Authentication and Title of Approving Official 180859193 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/07/2018							

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) PHILLIPS, NOAH					2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/02/2018			
FIRST ACTION					SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT			6-A. Code		6-B. Nature of Action					
5-C. Code ZNM		5-D. Legal Authority 15 USC 2 (I, SEC 41)			6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number					15. TO: Position Title and Number COMMISSIONER 0104000 EX00004							
8. Pay Plan EX		9. Occ. Code 0905	10. Grade or Level 04	11. Step or Rate 00	12. Total Salary/Award 155500		21. Pay Basis PA					
12A. Basic Pay 155500		12B. Locality Adj. 0		12C. Adj. Basic Pay 155500		12D. Other Pay 0						
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF COMM PHILLIPS WASHINGTON,DC							
EMPLOYEE DATA												
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%					24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite			25. Agency Use		26. Veterans Preference for RIF YES X NO		
27. FEGLI C0 BASIC ONLY					28. Annuitant Indicator 9 NOT APPLICABLE			29. Pay Rate Determinant T				
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 05/02/2018		32. Work Schedule F FULL-TIME			33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA												
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code			37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA								
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY				
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/02/18. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. APPOINTED AS THE FEDERAL TRADE COMMISSIONER FOR THE SEVER YEAR TERM BEGINNING 05/02/2018 AND ENDING 09/25/2023 INELIGIBLE FOR LEAVE. SCD IS SUBJECT TO CHANGE UPON RECEIPT OF PRIOR FEDERAL SERVICE.												
46. Employing Department or Agency FT - FED TRADE COMMISSION					50. Signature/Authentication and Title of Approving Official 180859431 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/08/2018								

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SAYYED, BILAL				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 04/30/2018							
FIRST ACTION				SECOND ACTION											
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action									
5-C. Code Y7M		5-D. Legal Authority SCH C, 213.3326		6-C. Code		6-D. Legal Authority									
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority									
7. FROM: Position Title and Number				15. TO: Position Title and Number DIRECTOR 0412000 GS60033											
8. Pay Plan	9. Occ. Code	10. Grade or Level	11. Step or Rate	12. Total Salary	13. Pay Basis	16. Pay Plan	17. Occ. Code	18. Grade or Level	19. Step or Rate	20. Total Salary/Award	21. Pay Basis				
						GS	0905	15	10	164200	PA				
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay	
								136659		27541		164200		0	
14. Name and Location of Position's Organization						22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE POLICY PLANNING WASHINGTON,DC									
EMPLOYEE DATA															
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%						24. Tenure 3 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO					
27. FEGLI C0 BASIC ONLY						28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0					
30. Retirement Plan K FERS & FICA				31. Service Comp. Date (Leave) 06/03/2001		32. Work Schedule F FULL-TIME				33. Part-Time Hours Per Biweekly Pay Period					
POSITION DATA															
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code				37. Bargaining Unit Status 8888					
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA											
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY							
45. Remarks FROZEN SERVICE NONE CREDITABLE MILITARY SERVICE: NONE APPOINTMENT AFFIDAVIT EXECUTED 04/30/18. PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. SCD SUBJECT TO CHANGE UPON RECEIPT OF PRIOR FEDERAL SERVICE.															
46. Employing Department or Agency FT - FED TRADE COMMISSION						50. Signature/Authentication and Title of Approving Official 180859088 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL									
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/08/2018											

HAIDEE L. SCHWARTZ

Professional Experience

O'Melveny & Myers LLP, Washington, D.C.

Antitrust Counsel/Associate (Mar. 2007 - Present); **Summer Associate** (May 2006-Aug. 2006)

- Represent clients involved in Federal Trade Commission merger and civil conduct investigations and Department of Justice merger, civil conduct, and criminal investigations.
- Represent defendants in litigated merger challenges by the Federal Trade Commission and Department of Justice.
- Draft motions, briefs, and other filings for multidistrict civil litigations.
- Work with corporate business executives, economists, counsel from the U.S. and abroad, and third parties on regulatory agency investigations and civil litigations.
- Draft white papers, legal memoranda, and other agency presentations.
- Conduct complex analyses and provide counseling and policy guidance.
- Create corporate training and compliance programs.
- Draft congressional testimony and respond to congressional questions.
- Work closely with leading O'Melveny clients including Honeywell, Samsung, Precision Castparts Corp., Sysco, Apple, T-Mobile, and US Airways/American Airlines.
- Supervise and manage associates, staff attorneys, and contract attorneys.
- *Specific illustrative experience:*
 - Represented Sysco in *FTC v. Sysco Corp.*
 - Represented US Airways in *United States v. US Airways Group, Inc.*
 - Represented Mitchell International Inc. in *FTC v. CCC Holdings Inc.*
 - Represent Samsung Electronics Co., Ltd. in *In re Optical Disk Drive Antitrust Litigation*.
 - Obtained FTC clearance on behalf of Honeywell for its acquisition of Intermed Inc.
 - Obtained DOJ clearance on behalf of Honeywell for its acquisition of EMS Technologies
 - Obtained FTC clearance on behalf of Precision Castparts Corp. for its acquisition of Titanium Metals Corp. (Timet)
 - Represented technology company in DOJ criminal cartel investigation
 - Represented individual in DOJ automotive parts criminal cartel investigation
 - Represented technology company in reaching consent agreement on conduct with the DOJ
 - Represent large multi-national corporation in FTC conduct investigation involving patents and competitor collaboration

Haidee L. Schwartz, p. 2

- Admitted to the Virginia Bar and the District of Columbia Bar.
- Member, American Bar Association, Antitrust Section.

Powell Tate|Weber Shandwick, Washington, D.C.

Senior Vice President (Oct. 2002 - July 2005); **Group Vice President** (Oct. 1999 - Sept. 2002); **Vice President** (Dec. 1998 - Oct. 1999); **Group Director** (Aug. 1997 - Dec. 1998); **Deputy Director of Research** (Sept. 1994 - Aug. 1997); **Associate Director of Research** (Oct. 1991 - Sept. 1994)

- Created and directed communications programs for clients involved in federal and state government investigations.
- With legal counsel and government affairs teams, developed successful strategies and guided complex mergers and acquisitions through congressional scrutiny and regulatory reviews involving the Department of Justice, the Federal Trade Commission, the Committee on Foreign Investments in the United States (CFIUS), the Federal Communications Commission, and the Department of Transportation.
- Handled crisis communications, and directed and implemented national and international programs covering public policy and issue management.
- Conducted in-depth issue and public policy analyses.
- Developed and wrote communications plans, presentations, proposals, press releases, op-eds, and other advocacy and education materials.
- Worked with international, national, state, and local print and broadcast media.
- Led client teams, and supervised account directors, associates, and support staff.

Kostmayer Communications, Washington, D.C.

Media Relations Account Executive (Apr. 1991-Aug. 1991)

- Implemented national and targeted state media campaign to advance pending federal legislation.

Project 500, Washington, D.C.

Research and Training Director (June 1988 - Dec. 1990)

- Monitored electoral developments and advised state legislative caucuses on candidate campaigns.
- Created comprehensive census and redistricting research program working with national committee.
- Managed and expanded all aspects of Project 500's campaign training programs.

Haidee L. Schwartz, p. 3

Education

George Mason University School of Law, Arlington, VA

- J.D., Dec. 2006, *Magna Cum Laude* (Top 5%)
- *Federal Circuit Bar Journal*, Associate Editor

Duke University, Durham, N.C.

- A.B. Political Science, May 1987

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SCHWARTZ, HAIDEE LEAH				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 07/05/17			
FIRST ACTION				SECOND ACTION							
5-A. Code 930		5-B. Nature Of Action DETAIL NTE 11-05-17		6-A. Code		6-B. Nature of Action					
5-C. Code		5-D. Legal Authority		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number GENERAL ATTORNEY (TR) 0101000 0101000				15. TO: Position Title and Number ACTING DEPUTY BUREAU DIRECTOR 1000000 0101000							
8. Pay Plan GS		9. Occ. Code 0905		10. Grade/Level 15		11. Step/Rate 06		12. Total Salary \$153730		13. Pay Basis PA	
12A. Basic Pay \$120952		12B. Locality Adj. \$ 32778		12C. Adj. Basic Pay \$153730		12D. Other Pay \$ 0		16. Pay Plan GS		17. Occ. Code 0905	
18. Grade/Level 15		19. Step/Rate		20. Total Salary/Award		21. Pay Basis PA		20A. Basic Pay		20B. Locality Adj.	
20C. Adj. Basic Pay		20D. Other Pay		22. Name and Location of Position's Organization BUREAU OF COMPETITION WASHINGTON, DC							
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON, DC EMPLOYEE DATA				24. Tenure 2 0 - None 2 - Conditional 3 - Indefinite 1 - Permanent							
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				25. Agency Use				26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 04/28/07				32. Work Schedule F FULL-TIME			
33. Part-Time Hours Per Biweekly Pay Period				34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt			
36. Appropriation Code				37. Bargaining Unit Status 7777				38. Duty Station Code 11-0010-001			
39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA				40. Agency Data CLIS 00							
41. VET-STAT X				42. EDUC LVL 15				43. SUPV STAT 8			
44. POSITION SENSITIVITY MODERATE RISK				45. Remarks							

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL		
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 09/28/17	ATC-19-0044-A-000115 171813001		

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SCHWARTZ, HAIDEE LEAH				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 11/02/17			
FIRST ACTION				SECOND ACTION							
5-A. Code 931		5-B. Nature of Action EXT DETAIL NTE 03-01-18		6-A. Code		6-B. Nature of Action					
5-C. Code		5-D. Legal Authority		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number ACTING DEPUTY BUREAU DIRECTOR 1000000 0101000				15. TO: Position Title and Number ACTING DEPUTY BUREAU DIRECTOR 1000000 0101000							
8. Pay Plan GS		9. Occ. Code 0905		10. Grade/Level 15		11. Step/Rate		12. Total Salary		13. Pay Basis PA	
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay					
16. Pay Plan GS		17. Occ. Code 0905		18. Grade/Level 15		19. Step/Rate		20. Total Salary/Award		21. Pay Basis PA	
20A. Basic Pay		20B. Locality Adj.		20C. Adj. Basic Pay		20D. Other Pay					
14. Name and Location of Position's Organization BUREAU OF COMPETITION WASHINGTON, DC				22. Name and Location of Position's Organization BUREAU OF COMPETITION WASHINGTON, DC							
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				24. Tenure 2 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO			
27. FEGLI C0				28. Annuity Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 04/28/07				32. Work Schedule F FULL-TIME			
33. Part-Time Hours Per Biweekly Pay Period				34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code	
37. Bargaining Unit Status 7777				38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA			
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 15		43. SUPV STAT 8		44. POSITION SENSITIVITY MODERATE RISK			

45. Remarks
REASON FOR TEMPORARY APPOINTMENT TO CONTINUE WORK IN CHAIRMAN'S OFFICE.

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL		
47. Agency Code FT00	48. Personnel Office ID 1471	49. Approval Date 11/05/17	40. Agency Data FTC-19-0044-A-000116 172034992		

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SCHWARTZ, HAIDEE LEAH				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 03/04/18			
FIRST ACTION				SECOND ACTION							
5-A. Code 721		5-B. Nature Of Action REASSIGNMENT		6-A. Code		6-B. Nature of Action					
5-C. Code WDM		5-D. Legal Authority SCH A, 213.3102(D)		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number GENERAL ATTORNEY (TR) 0101000 0101000				15. TO: Position Title and Number GENERAL ATTORNEY (TR) 1029000 1029000							
8. Pay Plan GS		9. Occ. Code 0905		10. Grade/Level 15		11. Step/Rate 08		12. Total Salary \$164200		13. Pay Basis PA	
12A. Basic Pay \$129651		12B. Locality Adj. \$ 34549		12C. Adj. Basic Pay \$164200		12D. Other Pay \$ 0		16. Pay Plan GS		17. Occ. Code 0905	
								18. Grade/Level 15		19. Step/Rate 08	
								20. Total Salary/Award \$164200		21. Pay Basis PA	
20A. Basic Pay \$129651		20B. Locality Adj. \$ 34549		20C. Adj. Basic Pay \$164200		20D. Other Pay \$ 0					
14. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON, DC				22. Name and Location of Position's Organization BUREAU OF COMPETITION OFFICE OF DIRECTOR WASHINGTON, DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 3 - 10-Point/Disability 5 - 10-Point Other 2 - 5-Point 4 - 10-Point Compensable 6 - 10-Point/Compensable/30%				24. Tenure 2 0 - None 2 - Conditional 1 - Permanent 3 - Indefinite				25. Agency Use		26. Veterans Preference for RIF <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO	
27. FEGLI C0				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant 0			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 04/28/07				32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period	
POSITION DATA				35. FLSA Category E E - Exempt N - Nonexempt				36. Appropriation Code		37. Bargaining Unit Status 8888	
34. Position Occupied 2 1 - Competitive Service 3 - SES General 2 - Excepted Service 4 - SES Career Reserved				38. Duty Station Code 11-0010-001							
39. Duty Station (City - County - State or Overseas Location) WASHINGTON, DISTRICT OF COLUMBIA											
40. Agency Data CLS 00		41. VET-STAT X		42. EDUC LVL 15		43. SUPV STAT 8		44. POSITION SENSITIVITY NONSENSITIVE/LOW RI			
45. Remarks											

46. Employing Department or Agency FT - FED TRADE COMMISSION			50. Signature/Authentication and Title of Approving Official STEPHANIE F. SMITH AUTHORIZING OFFICIAL		
47. Agency Code FT00			48. Personnel Office ID 1471		
49. Approval Date 02/28/18			51. Action Number FTC-19-0044-A-000117		
49. Approval Date 02/28/18			52. Action Number 180511814		

David Charles Shonka



Experience

Office of General Counsel Federal Trade Commission

Assistant General Counsel for Litigation (1993-present; GS15 / step 10).

Supervise 13 senior attorneys and paralegals who staff the FTC's FOIA program or who represent the Commission in employment cases and review or advise on all employment-related legal issues. Also serve as the FTC's Chief FOIA Officer under Executive Order 13392; serve as second in command of a staff of 8 senior appellate attorneys who brief and argue all appeals concerning the FTC; chair an agency-wide E-Discovery Steering Committee composed of senior managers and executives from all major offices in the Commission. Advise, as necessary, on pending legislation. Take direct charge of selected cases as the need or opportunity arises.

Senior Trial Attorney, Office of General Counsel (1977-1992).

Tried and argued district court and courts of appeals cases in antitrust, consumer protection, employment, Administrative Procedure Act, and enforcement cases throughout the country. Advised and directed staff on law enforcement investigations; advised the Commission and senior staff on legal matters; drafted or consulted on Commission and staff opinions, decisions, and reports.

Career FTC Experiences and Responsibilities:

Litigation:

Supreme Court: Have contributed to five merits briefs on behalf of the FTC either as petitioner or as amicus upon the request of the Court seeking the view of the government. Have also written or supervised the drafting of several *certiorari* oppositions.

Appellate Courts: Have briefed and argued two dozen major antitrust, consumer protection, or Administrative Procedure Act ("APA") cases challenging final agency decisions and rules or appealing adverse district court decisions. Have also written merits briefs in numerous other cases and supervised the preparation of motions and briefs by others.

District Courts: Have represented the Commission as plaintiff in numerous evidentiary hearings and arguments in antitrust and consumer protection cases seeking permanent and preliminary injunctive relief under Section 13(b) of the FTC Act, 15 U.S.C. § 53(b); subpoena and civil investigative demand enforcement proceedings under Sections 9 and 20 of the FTC Act, 15 U.S.C. §§ 49, 57b-1; enforcement proceedings under the premerger notification statute, 15 U.S.C. § 18a(g)(2); and contempt hearings. Have also represented the Commission as defendant in a variety of FOIA cases, employment cases, and APA cases challenging final agency decisions or interpretations.

Administrative: Have on request served as lead staff counsel in one major FTC consumer protection case (Joe Camel) before an Administrative Law Judge. Have also represented the Commission in proceedings before the EEOC and MSPB.

Investigations and Enforcement:

Have either worked on, directed, or led Commission staff in numerous (50+) antitrust and consumer protection law enforcement investigations. Investigations have involved witness interviews, investigational hearings where testimony is taken under oath, subpoenas and civil investigative demands, special reports ordered under Section 6(b) of the FTC Act, 15 U.S.C. § 46(b), and access letters under Section 9 of the FTC Act, 15 U.S.C. § 49. In investigations involving reportable mergers, have used the full range of available authority under the premerger notification statute, 15 U.S.C. § 18a.

As noted above, have also filed enforcement actions, when necessary, in the federal district courts to secure compliance with the FTC's compulsory process. In addition, have frequently advised the FTC's competition and consumer protection enforcement offices on the available options and means of securing civil penalties under the FTC and Clayton Acts.

Policy and Rulemaking:

E-Discovery: Serve as Chair of the agency's E-Discovery Steering Committee, an agency-wide task force staffed by senior managers and executives from all major offices in the Commission and charged by the Chairman with ensuring the agency fully meets its electronic discovery ("e-discovery") obligations under the 2006 amendments to the Federal Rules of Civil Procedure. The Chairman has directed the Committee to develop an agency-wide operational plan for responding to e-discovery requests, to ensure that all litigating offices in the Commission take consistent positions on e-discovery issues, and to resolve any internal conflicts that may arise. Since mid-December, 2006, the Committee has

issued Interim Guidelines providing all agency staff with detailed instructions in document preservation and addressing the collection, review, and production of electronic information. The Committee has also conducted training for all agency offices and regions, developed model documents for the litigators, and created a web page on the intranet with training materials and links to external sources.

Personnel: Have led or facilitated several working groups in the agency to address personnel issues including: performance elements for attorneys, overtime pay and compensatory time for all agency employees, telework, and disciplinary procedures. All resulting policies statements are (or will be) set out in the agency's Administrative Manual.

Horizontal Merger Commentary: Served as the agency's OGC representative on a joint DOJ / FTC group that prepared *Commentary on the Horizontal Merger Guidelines*, which the two agencies jointly issued in March 2006. The *Commentary* is intended to give the public and the private bar insight into the way the two agencies have applied the Horizontal Merger Guidelines that they jointly issued in 1992.

Rules: Routinely advise agency staff on options and legal issues concerning proposed rulemaking proceedings.

Freedom of Information Act:

Responsible for oversight and operation of the agency's FOIA program. Took charge of the program in 1999 to correct perceived deficiencies in its operation. Moved the program into an all-electronic environment in which all aspects of the process – tracking, collection of responsive documents, redactions, and production – are performed electronically. Oversaw the re-creation of the agency's FOIA web-page. In 2007 the National Security Archive ranked the FTC's FOIA program is one of the federal government's five best.

Personnel Issues:

Human Resource Management Office ("HRMO"): Supervise staff responsible for reviewing all personnel documents of legal significance and advising HRMO and senior managers regarding legal options in dealing with employment-related issues. Represent the agency in proceedings before the MSPB.

Equal Employment Opportunity ("EEO") Office: Work closely with independent EEO Office to co-ordinate matters with HRMO and senior managers and ensure that the agency meets all its EEO obligations. Have assisted the EEO Office in preparing reports to Congress and developing

policies and practices for agency staff and managers. Represent the agency in matters before the EEOC. Two of the senior attorneys whom I supervise received an award in 2006 for their contributions to the agency's EEO program.

Union and Collective Bargaining: Have advised HRMO and senior managers about matters concerning the agency's Collective Bargaining Agreement and about requests by the Union to engage in negotiations. Work with the Union in resolving employee grievances and in mediating certain employee disputes.

Ethics: Have worked closely with the Inspector General's Office and the Office of Special Counsel in dealing with alleged misconduct by agency employees in instances concerning internet misuse, theft of government property, misuse of agency credit cards, misuse of office, Hatch Act violations, and alleged prohibited personnel practices, among others. Also regularly advise agency attorneys on their ethical responsibilities with respect to dealing with represented parties, returning inadvertently produced privileged materials, preparing for trials, and dealing with witnesses.

Training Programs:

Litigation: Principally through the National Institute for Trial Advocacy have team-taught trial practice courses for over 20 years. The programs have been conducted both within the FTC and through CLE programs sponsored by, among others, the Georgetown University Law Center, Temple University Law School, and Duke University Law School. The programs have dealt with depositions, oral advocacy, and trial practice. Have also presented several lectures and led FTC brown-bag lunches on litigation issues specific to FTC cases.

Employment: Have taught, solely and in teams, formally and in "brown-bags," a variety of employment-related programs to FTC managers that have dealt with such topics as performance management, reasonable accommodation, harassment, hostile work environment, overtime pay and compensatory time, and hiring practices.

Electronic Discovery: Have prepared and presented several in-house training programs on e-discovery under the 2006 amendments to the Federal Rules of Civil Procedure. Individual classes have been tailored for FTC managing attorneys, non-litigating attorneys, non-attorneys generally, and the Commissioners' attorney advisors. I have also spoken on e-discovery issues at several conferences.

International Technical Assistance Programs: Through USAID sponsored programs have team-taught competition-policy courses for the Andean Community and the Southeastern European community in Buenos Aires and Budapest, respectively, and for the Indonesian judiciary in Jakarta; and have participated as a speaker in a program sponsored by the Asian Competition Law Conference in Hong Kong. Through programs sponsored by the Inter-American Development Bank, have also taught programs for the Mexican Judiciary in Mexico City. In addition to these formal programs, frequently meet with visiting foreign dignitaries who are interested in the FTC and its programs, organization, and operations.

Billig, Sher & Jones, P.C., Washington, D.C.
Associate (1975-1976).

Responsibilities:

Assisted in the presentation of a matter to the Federal Maritime Commission in which the owner of a specialized ocean-going vessel sought preferential berthing rights at the Port of Anchorage. Also worked on contract and commercial law disputes on behalf of corporate clients.

United States Department of Justice, Washington, D.C. (1972-1975).

Trial Attorney, Honors Program, Civil Division, General Litigation Section.

Responsibilities:

Defended government agencies in federal district court actions, mostly under the APA, challenging agency rules, decisions, and interpretations. Principal client agencies included the Comptroller of the Currency, the Federal Reserve Board, the Department of Agriculture, and the National Mediation Board.

Education, Bar, and Court Memberships

University of Maine School of Law (J.D. with honors 1972).

- Managing Editor, Law Review.
- Published Note on choice-of-law issues.

University of Nebraska (B.A., Economics and English 1969).

State Bars: District of Columbia and Connecticut.

Federal Bars: United States Supreme Court (1980); United States Courts of Appeals for the District of Columbia (1976), First (1987), Second (1977), Fifth (1982), Sixth (1989), Seventh (1978), Ninth (1978), and Eleventh

(1987) Circuits; United States District Court for the District of Columbia (1976).

Awards and Recognition

2007 A. Leon Higginbothan, Jr. Award (for fostering EEO principles).
2000 Award for Excellence in Supervision.
1991-2000 Several FTC Outstanding Team Awards for work on mergers in petroleum and health care industries.
1988 Louis D. Brandeis Award for outstanding litigator.
1982-2007 Cash awards for outstanding work.

Illustrative Briefs, Projects and Speeches

Chicago Bridge and Iron Co., et al. v. FTC, 5th Cir. Docket 05-60192, FTC merits brief; available at <http://www.ftc.gov/os/adipro/d9300/060821oppbrief.pdf>, argued May 2, 2007.

Negotiating E-Discovery in Government Civil Law Enforcement Pre-complaint Investigations, Advanced E-Discovery Institute, Georgetown University Law Center (November 17, 2006); available for purchase at <http://www.law.georgetown.edu/cle/articlesResults.cfm>.

Plan for Improvement of the Administration of the Freedom of Information Act at the Federal Trade Commission, submitted to the Attorney General and the Director, Office of Management and Budget (June 6, 2006); available at <http://www.ftc.gov/foia/ftcplan.pdf>.

Role of the Judiciary in Enforcing and Legitimizing Competition Law and Policy, Remarks before the Asian Competition Law Conference 2005, Hong Kong (December 12, 2005); available at <http://www.ftc.gov/speeches/other/051212hongkongshonka>.

FTC v. H.J. Heinz Co., et al., D.C. Cir. Docket 00-5362, Emergency Motion for Injunction Pending Appeal, Merits Brief, and Reply Briefs (October 2000 - January 2001); available at <http://www.ftc.gov/bc/heinz/ftcvhjheinzcoetal.shtm>.

Current Supervisor

John F. Daly
Deputy General Counsel for Litigation
Federal Trade Commission
Washington, DC 20580

(b)(6)

PROFILE

JOSEPH J. SIMONS

Born



Admitted to Bar

- 1983 - District of Columbia; U.S. District Court for the District of Columbia
- 1984 - U.S. Court of Appeals for the District of Columbia Circuit
- 1989 - State of New York; U.S. Supreme Court
- 1993 - U.S. District Court for the Southern District of New York; U.S. District Court for the Eastern District of New York

Education

Cornell University (A.B., Economics and History, 1980)
Georgetown University Law Center (J.D., cum laude, 1983)

Selected Prior Experience

- Bureau of Competition, Federal Trade Commission (1987-1989)
 - Associate Director of Mergers
 - Assistant Director for Evaluation
 - Assistant to Bureau Director
- Adjunct Professor of Law - Georgetown University Law Center (1986)

GS-15

Present

Partner, Clifford Chance Rogers & Wells LLP

(b)(6)

Honors and Achievements

- Nominated by DOJ and approved by FCC and Federal District Court as Trustee for four wireless telephone businesses relating to GTE/Bell Atlantic/Vodafone transaction. Responsible for managing and divesting businesses valued at over \$2 billion.
- Selected to Crain's New York Business "40 Under 40" for 1991.
- Federal Trade Commission Award for Meritorious Service.
- Co-developer of technique for applying market definition test of DOJ/FTC Merger Guidelines -- widely used by DOJ and FTC and endorsed by the 8th Circuit Court of Appeals.

Representative Experience

Mergers and Acquisitions

- Represented major provider of local exchange service in seeking to modify consent decree resulting from acquisition of long distance service provider.
- Represented Travelocity.com in its acquisition of Preview Travel.
- Represented American Radio Systems in numerous acquisitions of competing radio stations before Department of Justice.
- Represented major defense contractor in numerous mergers, including district court litigation (*U.S. v. Lockheed Martin Corp. and Northrop Grumman Corp.*).
- Represented major U.S. airline before Department of Justice with respect to partial acquisition of competing foreign carrier.
- Represented major soft drink producer in challenging acquisition by competitor abroad.
- Supervised Federal Trade Commission's review of KKR's acquisition of RJR Nabisco.
- Litigated preliminary injunction action challenging Owens-Illinois' acquisition of Brockway on behalf of Federal Trade Commission.
- Litigated preliminary injunction action on behalf of Great Northern Nekoosa Challenging hostile takeover by Georgia Pacific and represented client before Federal Trade Commission.
- Litigated preliminary injunction action on behalf of Square D challenging hostile takeover by Schneider, S.A., and represented client before Department of Justice.
- Represented QVC in attempted acquisition of Paramount before Federal Trade Commission.
- Represented Genentech before Federal Trade Commission with respect to sale of 60% of its stock to Roche Holdings.
- Represented Stroh Brewery in preliminary injunction action blocking Heileman's attempted tender offer for Pabst.

Vertical Restraints Cases

- Represent Mylan Pharmaceuticals in exclusive dealing case brought by the FTC, numerous State Attorneys General and private parties.
- Represent MasterCard International in District Court litigation relating to alleged tying claims.
- Represent Liggett in litigation against RJR Tobacco involving vertical price restraint.
- Represented competitor of Microsoft before Department of Justice and Congress.
- Represented Stroh in initiating Justice Department investigation of exclusive sales of advertising on network sports events to Anheuser-Busch and Miller.

- Counseled Federal Trade Commission with respect to investigation of bidding for professional sports broadcast rights.
- Represented QVC in attempted acquisition of Paramount.

Horizontal Restraint Cases

- Representing respondent in FTC administrative proceeding involving challenge to patent pool covering patent for laser eye surgery.
- Counseled Bureau of Competition with respect to full range of horizontal restraints cases, including price fixing, division markets, professional association ethical codes, multiple listing services, exchanges of information among competitors, tie-ins, and exclusive dealing.

Monopolization Cases

- Representing leading computer reservation systems company before the European Commission with respect to first referral by the Department of Justice under positive comity agreement.
- Represented competitor of Microsoft before Department of Justice and Congress.
- Represented independent supplier of parts and supplies for Japanese copiers in litigation and before Department of Justice.
- Represented international communications company in litigation involving advertising practices.

Joint Ventures

- Counseled major pharmaceutical company with respect to competitive alliances.
- Represented McGraw-Hill with respect to McGraw-Hill/MacMillan School Publishing Company before Federal Trade Commission.
- Provided counseling with respect to joint bid on major defense procurement contract.

Publications

- Co-author of chapters on vertical mergers, conglomerate mergers, and potential competition in R. Schlossberg and C. Aronson (eds.), *MERGERS AND ACQUISITIONS* (ABA: 2000)
- *The Renaissance of Market Definition*, with Michael Williams, 38 *ANTITRUST BULLETIN* 799 (1993).
- *Horizontal Mergers in Spatially Differentiated Noncooperative Markets*, with Preston McAfee and Michael Williams, 40 *JOURNAL OF INDUSTRIAL ECONOMICS* 349 (1992).

- *New U.S. Merger Enforcement Guidelines: Competitive Effects*, with Michael Williams and Preston McAfee, 21 INTERNATIONAL MERGER LAW (May 1992).
- *Real Estate Multiple Listings Services and Antitrust Revisited*, with John Loptka, in M. Geurin-Calvert and S. Wildman (eds.), ELECTRONICS SERVICES NETWORKS: A BUSINESS AND PUBLIC POLICY CHALLENGE (Prager: 1991).
- *The Often-Forgotten Role of Price-Cost Margins in Antitrust Merger Analysis*, with Barry Harris, 6 INTERNATIONAL MERGER LAW (February 1991).
- *Focusing Market Definition: How much Substitution is Necessary?*, with Barry Harris, 12 RESEARCH IN LAW AND ECONOMICS 207 (1989), reprinted at 21 THE JOURNAL OF REPRINTS FOR ANTITRUST LAW AND ECONOMICS 151 (1992).
- *Fixing Price with Your Victim: Efficiency and Collusion with Competitor-Based Formula Pricing Clauses*, 17 HOFSTRA LAW REVIEW 599 (1989).
- *A Practical Guide to Merger Analysis*, with Steven Salop, 29 ANTITRUST BULLETIN 663 (Winter 1984).

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SIMONS, JOSEPH JAY				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/01/2018	
FIRST ACTION					SECOND ACTION				
5-A. Code 170		5-B. Nature of Action EXC APPT			6-A. Code		6-B. Nature of Action		
5-C. Code ZNM		5-D. Legal Authority 15 USC 2 (I,SEC 41)			6-C. Code		6-D. Legal Authority		
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority		
7. FROM: Position Title and Number					15. TO: Position Title and Number CHAIRMAN 0101000 EX00001				
8. Pay Plan EX		9. Occ. Code 0905	10. Grade or Level 03	11. Step or Rate 00	12. Total Salary/Award 165300		21. Pay Basis PA		
12A. Basic Pay 165300		12B. Locality Adj. 0	12C. Adj. Basic Pay 165300		12D. Other Pay 0				
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF THE CHAIRMAN WASHINGTON,DC				
EMPLOYEE DATA									
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO	
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE		29. Pay Rate Determinant T			
30. Retirement Plan K FERS & FICA			31. Service Comp. Date (Leave) 05/18/1999		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period		
POSITION DATA									
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved			35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code			37. Bargaining Unit Status 8888	
38. Duty Station Code 11-0010-001			39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA						
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK	
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/01/17. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED FROZEN SERVICE 02 YRS 01 MOS EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. EMPLOYEE IS COVERED BY FERS BECAUSE OF PREVIOUS ELECTION. APPOINTED AS FEDERAL TRADE COMMISSIONER FOR THE SEVEN YEAR TERM BEGINNING 05/01/2018 AND ENDING 09/25/2024 SCD SUBJECT TO CHANGE UPON RECEIPT OF PRIOR FEDERAL SERVICE. INELIGIBLE FOR LEAVE.									
46. Employing Department or Agency FT - FED TRADE COMMISSION					50. Signature/Authentication and Title of Approving Official 180843988 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL				
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/01/2018					

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) SLAUGHTER, REBECCA JOY KELLY				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 05/02/2018			
FIRST ACTION				SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action					
5-C. Code ZNM		5-D. Legal Authority 15 USC 2 (I, SEC 41)		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number COMMISSIONER 0105000 EX00005							
8. Pay Plan EX		9. Occ. Code 0905		10. Grade or Level 04		19. Step or Rate 00		20. Total Salary/Award 155500		21. Pay Basis PA	
12A. Basic Pay 155500		12B. Locality Adj. 0		12C. Adj. Basic Pay 155500		12D. Other Pay 0					
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF COMM SLAUGHTER WASHINGTON,DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO			
27. FEGLI C0 BASIC ONLY				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant T			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 05/02/2018		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK			
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 05/02/18. CREDITABLE MILITARY SERVICE: NONE PREVIOUS RETIREMENT COVERAGE: NEVER COVERED EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE. INELIGIBLE FOR LEAVE. APPOINTED AS THE FEDERAL TRADE COMMISSIONER FOR THE SEVEN YEAR TERM BEGINNING 05/02/2018 AND ENDING 09/25/2022. SCD SUBJECT TO CHANGE UPON RECEIPT OF PRIOR SERVICE.											
46. Employing Department or Agency FT - FED TRADE COMMISSION				50. Signature/Authentication and Title of Approving Official 180844536 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 05/02/2018							

Thomas Becket Pahl, Esq.



WORK EXPERIENCE

ARNALL, GOLDEN, GREGORY, LLP

Washington, D.C.

Sept. 2016 –present

Partner in Privacy and Consumer Regulatory Group.

Providing counseling and advice to debt collectors, debt buyers, consumer reporting agencies, creditors, and others in privacy and consumer financial services matters.

CONSUMER FINANCIAL PROTECTION BUREAU

Washington, D.C.

2013 to August 2016

**Division of Research, Markets, and Regulation
Office of Regulations**

Managing Counsel. Manager in office with responsibility for rulemaking, policy development, and regulatory guidance to implement the Fair Debt Collection Practices Act, Fair Credit Reporting Act, Gramm-Leach-Bliley Act, and Dodd-Frank Act.

FEDERAL TRADE COMMISSION

Washington, D.C.

Bureau of Consumer Protection, Division of Financial Practices, Assistant Director. Manager in division responsible for law enforcement, rulemaking, and policy development activities related to financial services, focusing on debt collection, payday lending, mortgage lending, and debt relief services.

2007 to 2013

Bureau of Consumer Protection, Division of Advertising Practices Assistant Director. Manager in division responsible for law enforcement, rulemaking, and policy development activities related to national advertising.

2002 to 2007

<i>Hon. Orson Swindle, III, Attorney Advisor</i> (Republican Commissioner)	1998 to 2002
Advised Commissioner on consumer protection and antitrust law and policy matters.	
<i>Hon. Mary L. Azcuenaga, Attorney Advisor</i> (Independent Commissioner)	1993 to 1996
Advised Commissioner on consumer protection law and policy matters.	
<i>Office of the General Counsel, Staff Attorney</i>	1990 to 1993
GEORGE MASON UNIVERSITY SCHOOL OF LAW Fairfax, Virginia	2008 to 2012
Adjunct Professor of Law. Teaching consumer protection, trademark, and unfair competition law course.	
U.S. SENATE COMMITTEE ON THE JUDICIARY, Counsel Orrin Hatch, Chairman/Ranking Member Washington, D.C.	2001
GADSBY & HANNAH, LLP, Trade Regulation Associate Washington, D.C.	1996 to 1998
AMERICAN BAR ASSOCIATION CENTRAL AND EASTERN EUROPEAN LAW INITIATIVE Pro Bono Rule of Law Liaison Bucharest, Romania (leave without pay from the FTC).	1992 to 1993
FAEGRE & BENSON, Litigation Associate Minneapolis, Minnesota	1989 to 1990
HON. D.D. WOZNIAK, Judicial Clerk Chief Judge, Minnesota Court of Appeals St. Paul, Minnesota	1988 to 1989

EDUCATION

NORTHWESTERN UNIVERSITY SCHOOL OF LAW

Chicago, Illinois

J.D., *cum laude*, 1988

COLLEGE OF ST. THOMAS

St. Paul, Minnesota

B.A., Economics, *summa cum laude*, 1985

PROFESSIONAL ACTIVITIES AND HONORS

Instructor, Catholic Charities, Financial Stability Network.

Editor, Editorial Review Board, Debt Collectors Compliance Professionals Forum.

Federal Trade Commission, Distinguished Service Award. 2013.

Co-Vice-Chairman, American Bar Association, Section of Business Law, Consumer Financial Affairs Committee, Federal and State Trade Practices Subcommittee. 2009 to 2012.

Federal Trade Commission, Janet D. Steiger Team Award for Mortgage Assistance Relief Services Projects. 2011.

Federal Trade Commission, Janet D. Steiger Team Award for Financial Regulatory Reform Projects. 2010.

Federal Trade Commission, Janet D. Steiger Team Award for Spyware Projects. 2006.

Federal Trade Commission Chairman's Award for Excellence in Supervision. 2005.

Federal Trade Commission Bureau Director's Award for Outstanding Performance. 2004.

International Election Observer, Romanian National Elections. 1992

Federalist Society

BAR MEMBERSHIPS

District of Columbia Bar Association

9465922v2

United States District Court for the District of Columbia

American Bar Association

PUBLICATIONS

Thomas B. Pahl, *The Tortoise, Not the Hare, Will Win the Deregulation Race*, The Hill (Feb. 2, 2017).

Thomas B. Pahl, *Collector Contact Caps and the Application of Regulatory Humility*, insideARM (Jan. 30, 2017).

Thomas B. Pahl, *Trump Makes a Wise Choice for the FTC*, The Hill (Jan. 26, 2017).

Thomas B. Pahl, *How Washington Will Decide the Consumer Watchdog's Fate*, The Hill (Jan. 26, 2017)

Thomas B. Pahl, *The CFPB and the FTC Should Divide up Debt Collection Enforcement*, Inside ARM (Jan. 9, 2016).

Thomas B. Pahl, *The CFPB is a Sleeping Giant on Data Security. Let's Not Wake It*, The Hill (Dec. 28, 2016).

Thomas B. Pahl, *The CFPB Should Not Single-out Collectors for non-English Disclosure Requirements*, Inside ARM (Dec. 13, 2016).

Thomas B. Pahl, *Consumer Financial Protection under President-elect Trump*, The Hill (Dec. 13, 2016).

Thomas B. Pahl and Sean Sullivan, *CFPB Examination of Auto Repossession Firms: An Unpleasant Surprise!*, AGG Client Alert (Dec. 5, 2016).

Thomas B. Pahl and David A. Felt, *DC Circuit Rules CFPB Structure Unconstitutional and Overturns \$106 Million Fine*, AGG Client Alert (Oct. 16, 2016).

Thomas B. Pahl and Evan R. Zullo, *Update on Federal Regulation of Attorneys Under Financial Services Law*, 67 Bus. Lawyer 617 (Feb. 2012).

Phyllis B. Marcus and Thomas B. Pahl, *Mother, May I (open a MySpace account)? The Children's Online Privacy Protection Act and Social Networking Sites: The FTC's Role*, American Bar Association, Antitrust Law Section, Privacy and Information Security Committee, Vol. 2 No. 1 The Secure Times (Fall/Winter 2006).

Thomas B. Pahl, *FTC Takes Aim at Spyware*, Vol. 10, Issue 3 Fraud Busters (Summer 2006).

Thomas B. Pahl and Maureen K. Ohlhausen, *FTC Bans on Charitable Solicitation Are Unconstitutional*, Vol. 3 No. 2 Federalist Society Free Speech and Election Law Magazine 13 (Winter 2000).

James M. Spears, Thomas B. Pahl, and Peter Bernstein, *New Guidance for Merger Efficiencies*, Gadsby & Hannah Legal Notes (Spring 1998).

James M. Spears and Thomas B. Pahl, *The Enforceability of Foreign Arbitration Awards in U.S. Courts: A Recent Decision In Re the Arbitration of Certain Controversies Between Chromalloy Aeroservices and the Arab Republic of Egypt*, Vol.2 No.3 Canadian International Lawyer 106 (Summer 1997).

Thomas B. Pahl, Tish Eggleston Pahl, and Sorin David, Contracte Tip Pentru Intreprinzatorii Particulari (1st ed. Editura Tehnica 1993) (book discussing basic contract principles and including simple contract forms in English and Romanian).

RECENT SPEECHES AND PRESENTATIONS

Repo Plus, “Give Me Back My Stuff: The Right Way to Handle Personal Property,” (Jan. 10, 2017) (speaker at webinar addressing CFPB views and actions in examinations concerning repossessed personal property found in repossessed motor vehicles).

Privacy and Security Forum, “The Fair Credit Reporting Act; 45 Years of Lessons from the World’s First Fair Information Practices Statute,” Washington, D.C. (Oct. 2016) (panelist at privacy conference discussing possible changes to the FCRA).

InsideARM, Second Annual First-Party Outsourcing Summit, “The Future of Debt Collection Regulation, Itasca, Illinois (Oct. 2016) (keynote speaker at event for creditors and collection firms engaged in first-party outsourcing).

Consumer Bankers Association, Default Management Committee, Washington D.C. (Sept. 2016) (speaker about debt collection rulemaking at meeting of large bank trade association group).

Debt Buyers Association, Debt Buying Inside the Beltway, Washington D.C. (Sept. 2016) (speaker about how to interact effectively with federal regulators at symposium for debt buyer trade association).

Resource Management Services, Inc., Debt Connection Symposium and Expo 2016, Tucson, Arizona (Sept. 2016) (speaker about FDCPA government amicus brief filings).

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ACA International, Washington Insights Conference, Washington, D.C. (April 2016) (speaker at conference for largest debt collection trade association).

InsideARM and Consumer Relations Consortium, Large Debt Collection Market Participant Roundtable, Washington, D.C. (April 2016) (panelist at roundtable with group of largest third-party debt collectors).

National Consumer Law Center, FDCPA Annual Conference, Miami, Florida (March 2016) (keynote speaker at conference for consumer advocates and attorneys who focus on debt collection).

Convoke Systems, Inc., Creditor Collections Executive Summit, Fort Myers, Florida (January 2016) (speaker at summit of top officials at creditors).

InsideARM, First-Party Outsourcing Summit, Chaska, Minnesota (October 2015) (panelist at event for creditors and collection firms engaged in first-party outsourcing).

American Bar Association, Business Law Section, Consumer Financial Services Committee, Financial Services Institute, Washington D.C. (October 2015) (panelist discussing unfair, deceptive, and abusive acts and practices to train new consumer financial services lawyers).

Georgetown University School of Law, Consumer Financial Services Seminar, Debt Collection Rulemaking (October 2015) (speaker at law school class discussing debt collection rulemaking).

Debt Buyers Association, Executive Summit, Washington, D.C. (August 2015) (speaker at annual summit for leaders of debt buyer trade association).

Delta Outsource Group, Midwest Compliance Symposium, St. Louis Missouri (September 2015) (speaker at a conference of compliance officials for third-party debt collectors located in the Midwest).

Federal Trade Commission and NAACP, Consumer Fraud Forum, Atlanta, Georgia (May 2015) (panelist at event discussing debt collection, debt relief, and payday lending work of the Consumer Financial Protection Bureau).

InsideARM and Consumer Relations Consortium, Large Debt Collection Market Participant Roundtable, Washington, D.C. (April 2015) (panelist at roundtable with largest third-party debt collectors).

ACA International, Washington Insights Conference, Washington, D.C. (April 2015) (speaker at conference for largest debt collection trade association).

Consumer Bankers Association, CBA Live, Debating Default: A Conversation with Regulators, Washington, D.C. (March 2015) (panelist at conference of banks and other large creditors).

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) WILSON, CHRISTINE SMITH				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 09/26/2018			
FIRST ACTION				SECOND ACTION							
5-A. Code 170		5-B. Nature of Action EXC APPT		6-A. Code		6-B. Nature of Action					
5-C. Code ZNM		5-D. Legal Authority 15 USC 2 (I,SEC 41)		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number COMMISSIONER 0102000 EX00002							
8. Pay Plan EX	9. Occ. Code 0905	10. Grade or Level 04	11. Step or Rate 00	12. Total Salary 155500	13. Pay Basis PA	16. Pay Plan EX	17. Occ. Code 0905	18. Grade or Level 04	19. Step or Rate 00	20. Total Salary/Award 155500	21. Pay Basis PA
12A. Basic Pay 155500		12B. Locality Adj. 0		12C. Adj. Basic Pay 155500		12D. Other Pay 0					
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization FEDERAL TRADE COMMISSION COMMISSIONER'S OFFICE OFFICE OF COMM WILSON WASHINGTON,DC							
EMPLOYEE DATA											
23. Veterans Preference 1 1 - None 2 - 5-Point 3 - 10-Point/Disability 4 - 10-Point/Compensable 5 - 10-Point/Other 6 - 10-Point/Compensable/30%				24. Tenure 0 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. Agency Use		26. Veterans Preference for RIF YES X NO			
27. FEGLI B0 WAIVED FEGLI COVERAGE				28. Annuitant Indicator 9 NOT APPLICABLE				29. Pay Rate Determinant T			
30. Retirement Plan KF FERS-FRAE & FICA				31. Service Comp. Date (Leave) 10/20/2015		32. Work Schedule F FULL-TIME		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 2 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E E - Exempt N - Nonexempt		36. Appropriation Code		37. Bargaining Unit Status 8888			
38. Duty Station Code 11-0010-001				39. Duty Station (City - County - State or Overseas Location) WASHINGTON,DISTRICT OF COLUMBIA							
40. Agency Data FUNC CLS 00		41. VET STAT X		42. EDUC LVL 15		43. SUPV STAT 2		44. POSITION SENSITIVITY HIGH RISK			
45. Remarks APPOINTMENT AFFIDAVIT EXECUTED 09/26/18. PREVIOUS RETIREMENT COVERAGE: PREVIOUSLY COVERED CREDITABLE MILITARY SERVICE: NONE FROZEN SERVICE 00YRS, 00MOS INELIGIBLE FOR LEAVE. APPOINTED AS A FEDERAL COMMISSIONER FOR THE SEVEN YEAR TERM BEGINNING 09/26/2018 AND ENDING 09/25/2025 EMPLOYEE IS AUTOMATICALLY COVERED UNDER FERS, FERS-RAE OR FERS-FRAE.											
46. Employing Department or Agency FT - FED TRADE COMMISSION				50. Signature/Authentication and Title of Approving Official 181879342 / ELECTRONICALLY SIGNED BY: STEPHANIE F. SMITH AUTHORIZING OFFICIAL							
47. Agency Code FT00		48. Personnel Office ID 1471		49. Approval Date 09/26/2018							